# Consolidated

# Annual

# Performance

# Evaluation

# Report

## Covering the Period June 1, 2010-May 31, 2011

**For the:**

**Community Development Block Grant**
**HOME Investment Partnership Program**
**Emergency Shelter Grant**
**Housing Opportunities for Persons With AIDS**

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# 2010 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

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# 2010 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

**June 1, 2010, to May 31, 2011**

## I. Executive Summary

The specific objectives of the 2010 program year activities were to expand and preserve the supply of decent housing, create suitable living environments and to expand economic opportunities. These objectives were realized through targeted minor housing repair, homeownership assistance, housing counseling, homeless and special needs housing, child care services, senior services, public facilities renovations, and economic development activities.

Expenditures by funding source for the program year were as follows:

- Community Development Block Grant (CDBG) funds in the amount of $8,233,126.10, with approximately 40% spent for emergency and targeted minor home repairs and public services;
- Under the Home Investment Partnerships Program (HOME), $1,349,882.53 was utilized exclusively to create homeownership opportunities and expand the availability of decent, safe, and affordable housing, with down payment assistance to 63 qualified, first-time homebuyers;
- The Emergency Shelter Grant (ESG) program expended $379,051.06; and
- The Housing Opportunities for Persons with HIV\AIDS (HOPWA) program expended $1,131,704.00 in program funds.

The total budget for all four entitlement grants was $13,688,010.00, not including any reprogrammed prior years' funds. No CDBG-R funds were budgeted for or expended during the program year; and $208,907.10 remained in the account. Activity expenses drawn down from the Integrated Disbursement and Information System (IDIS) were $11,093,763.69.

The performance indicators for the program year included availability/accessibility, affordability, and sustainability. While actual outcomes varied by activity, the outcome-based agreements and monitoring activities proved progress was made toward achieving the goals of the five-year housing and community development consolidated plan. The number of reported emergency assistance beneficiaries remained higher than anticipated and appears to be tied to the current economic conditions and the foreclosure crisis, as does the drop in the number of homebuyer down payment assistance loans. A complete five-year and one year performance summary can be found in Section VI, Summary Tables.

The Continuum of Care was successful in delivering assisted living, counseling, and job placement for homeless and special needs individuals and households. The ESG and HOPWA partners continued their homelessness prevention objectives; and the programs to assist at-risk and homeless persons in Kansas City generally met or exceeded their annual goals.

Housing activities focused on down payment assistance, housing counseling, single-family new construction, single-family purchase/rehab, multifamily rehabilitation, group home improvements, acquisition and environmental remediation, and emergency and minor home repairs. Performance fell short of the annual goals for the number of units of down payment assistance funding but exceeded expectations for number of units of single- and multifamily housing completed, as well as housing counseling services. Housing units completed during the program year were funded in prior program years, some of them through NSP grants. There were no funds expended out of the 2010 program year's budget for single- or multifamily home production, although some projects were awarded funding in the budget. Housing production has been hampered in recent years by the inability of community housing providers to secure construction financing in light of the current economic conditions, but the near-total stoppage appears to be ending. Production is expected to remain slow but steady, as several additional past years' single-family and multifamily projects have started construction and are anticipated to be completed during the next program year.

Public service activities included housing counseling, child care, senior services, and other community development services. The performance of the public service providers was satisfactory overall, with many of the funded agencies providing as much as double the service levels anticipated at the time goals were set. The attached summary tables indicate each funded agency's goals and outcomes.

Economic development activities were undertaken to encourage the creation of area benefit businesses, as well as the creation of jobs that primarily benefit low-income persons. The City's Small Business Development Division and two community-based economic development organizations added jobs and businesses to several neighborhood service delivery areas. The City's Section 3 Office, which registers eligible low-income residents for potential placement on applicable construction projects, also created economic opportunities. In addition, public facility funding benefited the community and created jobs for area residents.

The activities funded in local designated Neighborhood Service Delivery Strategy Areas (NSAs) and Neighborhood Revitalization Strategy Areas (NRSAs) had a positive impact on area residents through the completion of new or rehabilitated housing units and targeted minor home repair assistance during the program year. Some of the public service benchmarks established in the NSAs and NRSAs were not funded in the current program year; therefore, the proposed outcomes were not realized.

Monitoring efforts and interdepartmental coordination have continued to improve. There has been an increased level of communication and cooperation among the departments having oversight for the various grants and activities.

Program evaluation and adherence to cross-cutting regulations such as affirmative action, Davis-Bacon prevailing wage, environmental regulatory compliance, and Section 3 efforts continue to improve. The CDBG, HOME, ESG, and HOPWA programs have been successful in completing their objectives by accomplishing activities that provide an outcome of decent housing, a suitable living environment, and economic opportunities for the low-income residents of Kansas City, Missouri.

Throughout the program year, the Housing and Community Development Department (HCDD) and other City staff were actively involved in working with HUD and the Housing and Economic Development Financial Corporation (HEDFC) Receiver to resolve long-standing issues surrounding the return and/or disposition of CDBG and HOME assets. Following are the major activities and accomplishments from the year:

- The development of a detailed plan by City staff for the restoration to the City of assets that have been held by the HEDFC. The result was the **reBUILDKC/Neighborhoods Plan,** which was approved by the City Council on October 28, 2010, through Committee Substitute for Resolution No. 100903, and submitted to the Receiver, the U.S. District Court, and HUD. The Plan provides a management framework for the use of previously held HEDFC assets and applies recently completed Area Plans to guide housing and development strategies and decision-making over the next five years;
- The issuing of an order by the U.S. District Court in January 2011 for the termination of Receivership, which set critical dates for the return or disposition of assets to the City. These assets include the single- and multifamily housing loan portfolios, scattered site vacant lots, the Holy Temple Homes and Beacon Hill Development areas, and use of CDBG and HOME funds; and
- The City in May 2011 entering into a contract with the Land Clearance for Redevelopment Authority and the EDC Charitable Fund for the immediate or eventual transfer, maintenance, and management of scattered site vacant lots, Beacon Hill and Holy Temple Home sites, and the multifamily loan portfolio.

The City will continue to work toward the return and reuse of all CDBG and HOME assets until the Receivership ceases daily operations on December 31, 2012.

## II. Five-Year Plan Assessment of Progress

The 2007-2011 Consolidated Plan (Con Plan) was constructed on a strategic framework which emphasized increased homeownership, support for community development activities, and increased access to affordable housing free from discrimination. In addition, the Five-Year Plan goals were created to ensure equal opportunity in housing, embrace high standards of management and accountability, and to promote participation of faith-based and community organizations. The summary of specific housing/community development objectives can be found in Table 2C in Section VI, Summary Tables.

The Five-Year Plan was a collaborative effort between City departments, community organizations, public agencies, neighborhood associations and citizens. This report summarizes

the fourth year of the 2007-2011 Consolidated Plan—program year 2010, which ran from June 1, 2010, through May 31, 2011.

During program year 2010, the City carried out the following amendments to multi-year annual action plans.

- No CDBG amendments were required during the program year.
- Action Plan Amendment #2 for HOME funds was approved by the City Council on May 26, 2011. The amendment allocated previously uncommitted CHDO funds and $1.7 million in HOME program income being transferred from the HEDFC.

One of the major goals of the Con Plan was to enhance the City's ability to effectively address community development issues by establishing a single point of contact with HUD for matters pertaining to the CDBG and HOME programs, as well as any other federal or state housing-related programs. The City moved aggressively to accomplish this by creating the Housing and Community Development Department (HCDD) in 2009 and continued to expand its role in housing issues in 2010. In addition to its direct work with administration of the aforementioned programs, the department actively participated in other planning-related housing activities such as the reBuild KC/Neighborhoods plan, as well as in administering various stimulus funding efforts like CDBG-R, weatherization, and NSP.

The Con Plan also focused heavily on the need for the City to utilize competitive processes for the awarding of new grant funds. HCDD continued in 2010 to award CDBG and HOME funding through the use of a point system based (at a minimum) upon several criteria: consistency with the Con Plan, location within a target neighborhood, community support of the project, the availability/accessibility of jobs appropriate to neighborhood residents, the capacity of the agency (both financial and administrative), and the availability of private funding. Recommendations to the City Council for the awarding of LIHTC by the Missouri Housing Development Commission also employed a point system based on a similar set of evaluative criteria.

The City continued to make good progress in 2010 toward accomplishing priority needs associated with homeownership—home purchase assistance to first-time home buyers and financial assistance for home rehabilitation. The down payment assistance (DPA) and minor home repair programs both produced significant accomplishments. In fact, the five-year goals for DPA and for housing rehabilitation were increased last August by 80 (to 540) and 100 (to 1,850) respectively.

The area where the City has failed over the four-year span of the Con Plan to make adequate progress toward meeting its goals is housing production and rental rehabilitation. Five-year goals for development of both rental and owner-occupied units were reduced last year as well as the goal for rental rehabilitation. These goals were revised to reflect changes in economic conditions occurring since the Plan was written that have resulted in significantly decreased housing values in target neighborhoods and the corresponding tightening of underwriting criteria applied to mortgage loan applicants.

Public service needs that were classified in the Con Plan as "medium" have been effectively addressed over the four years by the full allocation of the 15% maximum allowed under the CDBG program. Activities conducted by a Community Based Development Organization in the Westside Neighborhood Revitalization Strategy Area allowed additional public service funds to be committed to this activity. Four agencies needing facility improvements to maintain the level of service being provided to their clientele were also provided with CDBG funds, consistent with the City's desire to ensure that social service agencies have the proper resources with which to deliver their assistance.

## Neighborhood Service Delivery Strategy Areas (NSAs)

The targeted housing and neighborhood service delivery areas identified in the five-year plan are as follows:

- Northland Service Delivery Strategy Area
- Westside Service Delivery Strategy Area
- Northeast Service Delivery Strategy Area
- Vine Street Corridor Service Delivery Strategy Area
- Central City Service Delivery Strategy Area
- Downtown Service Delivery Strategy Area
- All LMI Service Delivery Strategy Area

The City's Housing Policy dictates that its subrecipients provide services in one of the strategy areas or in any Low/Mod Area; its own efforts will be channeled through such targeted services provided by City contractors.

# 2007-2011 Goals and Outcomes

## Northland Service Delivery Strategy Area

**Northland Neighborhoods, Inc.**
**Housing Repair Services**
**$119,853.83**

**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 78 | 78 | 78 | 70 | 78 |

**Outcomes:**

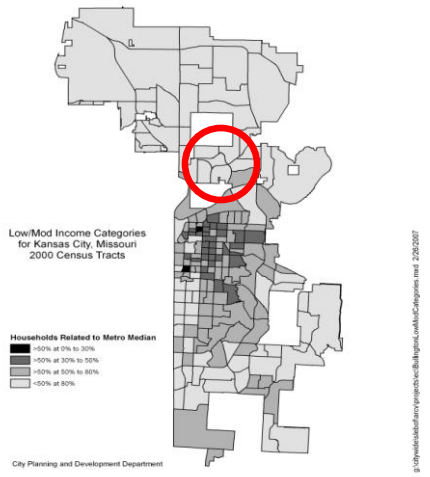
| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 61 | 12 | 55 | 23 | |

**Public Service Activities:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 4 | 4 | 4 | 4 | 4 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 0 | 0 | 0 | |




There were 28 emergency and minor home repairs totaling $142,428.83 in the Northland NSA during the program year; and there were two new Section 3 hires. One senior housing project, Destiny Towers (47 units), was substantially completed. The public services component was not funded in the 2010 Action Plan.

***Note:*** The 2010 Action Plan listed incorrect borders for the Northland NSA. The borders are correctly laid out in the narrative of this report.

# Central City Service Delivery Strategy Area

## Neighborhood Housing Services

**Housing Repair Services**
**$315,026.10**
**Second Mortgage Program**
**$0**
**Single-Family Unit Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 10 | 10 | 10 | 10 | 10 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 0 | 0 | 0 | |

**Home Loan Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 50 | 50 | 50 | 50 | 50 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 65 | 67 | 0 | |

**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 25 | 25 | 25 | 28 | 25 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 28 | 7 | 194 | 23 | |

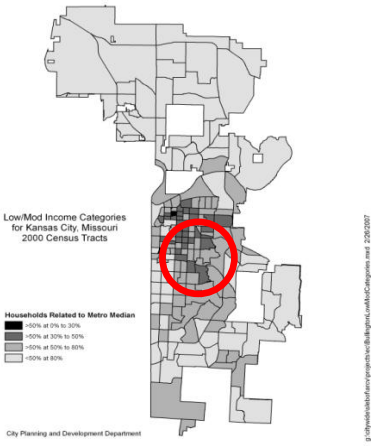
## Ivanhoe Neighborhood Council

**Housing Repair Services**
**$169,045**
**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 15 | 15 | 30 | 0 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 6 | 13 | 26 | |




## Blue Hills Community Services

**Housing Repair Services**
**$419,996.30**
**Single-Family Unit Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 6 | 6 | 6 | 6 | 6 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 6 | 0 | 2 | 0 | |

**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 25 | 25 | 25 | 35 | 25 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 27 | 19 | 58 | 42 | |

Six NSP-funded single-family purchase/rehab/sales were completed in the Central City NSA, as was an additional nonNSP-funded single-family purchase/rehab sale from a prior-year contract. Rehab work was completed on eight single-family homes, five of them currently on the market and three awaiting tenants (EDI grant). One public facility renovation project is nearing completion (Palestine Senior Center) and an acquisition/rehab project (Palestine Neighborhood Learning Center) and a repair project (Palestine Neighborhood Resource Center), being carried out by Palestine Neighborhood Development Corporation, have just commenced. The Jamison Temple application was withdrawn by the developer due to City insurance requirements, and property acquisition for the Wabash Village rental project has begun. There were 65 owner-occupied home repairs completed by the City-administered Emergency Home Repair Program in the Central City NSA at a cost of $343,418.00, in addition to the 91 completed under the Minor Home Repair Program by community development corporations at a cost of $904,067.40. Six Section 3 jobs were created during the program year.

# Westside Service Delivery Strategy Area

## Westside Housing Organization

**Housing Repair Services**
**$193,528.00**
**Single-family new and purchase of rehab**
**$0**

**Single-Family Unit Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 3 | 3 | 3 | 3 | 3 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 3 | 1 | 1 | 1 | |

**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 12 | 12 | 12 | 12 | 12 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 20 | 13 | 7 | 16 | |

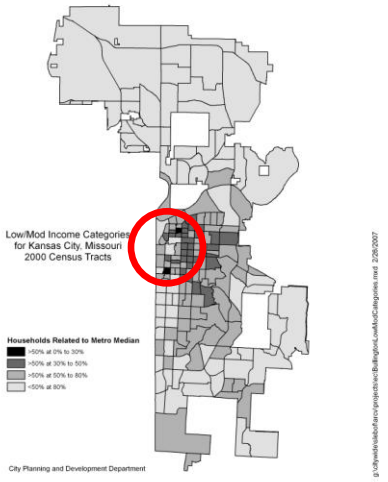
**Public Service Activity Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 5 | 5 | 5 | 5 | 5 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 0 | 0 | 0* | |

***See page 40 for Westside NRSA public service activities.**




One single-family purchase/rehab home was completed and sold in the Westside Service Delivery Area from a prior-year contract. A total of 17 emergency and targeted minor home repairs were completed in this NSA during the program year at a cost of $201,128.00. Rehabilitation of Jefferson Place, a CHDO project, will be completed in program year 2011. The single-family component was not funded in the 2010 Action Plan.

# Northeast Service Delivery Strategy Area

## Housing Repair Services

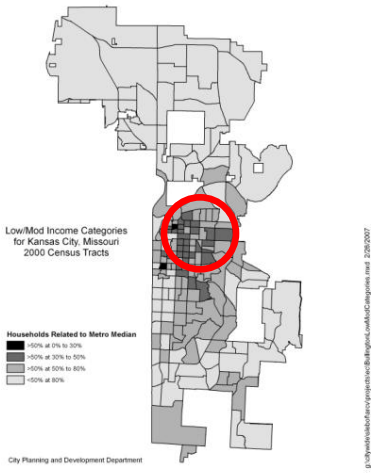
**$143,798.97**

**Home Repair Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 10 | 10 | 10 | 10 | 10 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 9 | 1 | 30 | 21 | |




One NSP-funded single-family purchase/rehab/sale was completed in the Northeast NSA. One senior living facility, St. Joseph Place (47 units), was completed. A combined total of 21 owner-occupied home repairs were completed by various community development organizations and the City through the Minor and Emergency Home Repair programs during the program year at a cost of $143,798.97.

***Note:*** The 2007-2011 Consolidated Plan listed the Northeast NSA graphically as the Blue Hills Community Services activities in error. The Blue Hills activities are correctly placed in the Central City NSA in this report.

## Vine Street Corridor Service Delivery Strategy Area

### Housing Repair Services

**$24,400.00**
**3 owner-occupied home repairs**

**Single Family Unit Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 5 | 5 | 5 | 5 | 5 |

**Outcomes:**

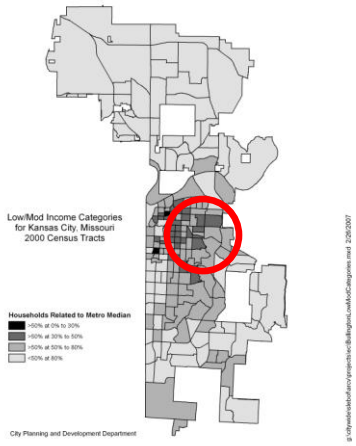
| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 0 | 47 | 0 | |

**Multi Family Unit Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 1 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 0 | 0 | 0 | 0 | |




Three NSP-funded single-family purchase/rehab/sales were completed in the Vine Street NSA. Rehabilitation of the Basie Court multifamily project (88 units) is expected to be completed in the 2011 program year. Predevelopment activities for the Vine Street Views townhome project (50 units) are underway. The historic Lincoln Building rehabilitation (14 commercial units), funded through CDBG-R, is substantially completed and is 93% occupied. Three emergency home repairs were completed during the program year at a cost of $24,400.00. There were three Section 3 new hires. No new multifamily activity was funded in the 2010 Action Plan.

## Downtown Service Delivery Strategy Area

### Downtown Homeless Shelter & Homeless Services Coalition

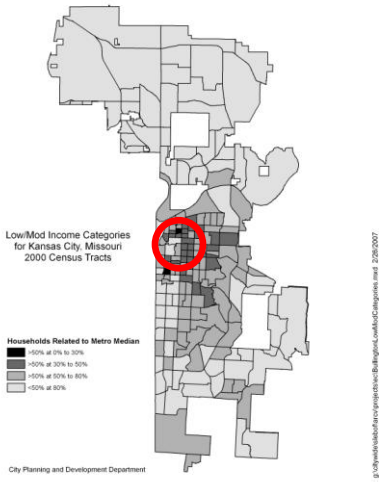
**$102,531.00**

**Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 50 | 50 | 50 | 3,110 | 50 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 50 | 2,115 | 6,933 | 7,563 | |




reStart, Inc. facilities provided 7,563 homeless persons emergency shelter and related services during the 2010 program year. The Grand Boulevard Lofts rehab project (134 units) was completed during the program year.

# All LMI Service Delivery Strategy Area

## Housing Repair Services

**$714,304.90**
**92 owner-occupied home repairs**

## Social Services

**Community Assistance Council and the Community Gardens projects**
Not funded in 2010 program year

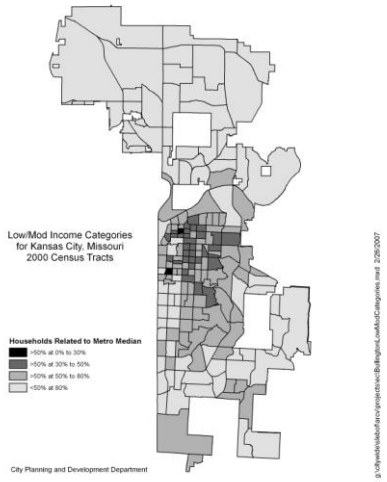
**Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 250 | 250 | 250 | 250 | 250 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 2,706* | 3,036* | 23,160* | 0 | |

*Unduplicated beneficiary numbers




## Childcare Services

**Youth Services**
**YMCA childcare and the Mattie Rhodes Northeast Youth Crime Prevention Program**
**$95,000.00**

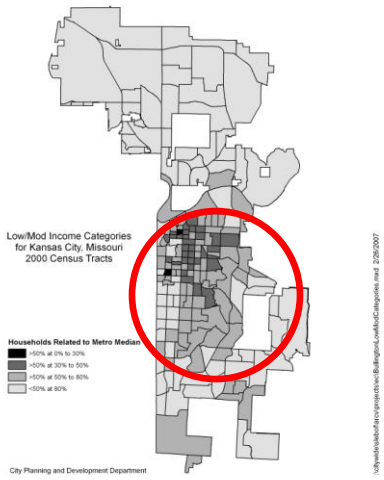
**YMCA not funded in 2010 program year; overall performance goal revised for 2010 program year**

**Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 6,600 | 6,600 | 6,600 | 300 | 6,600 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 4,292* | 1,112* | 226* | 2,474 | |



# All LMI Service Delivery Strategy Area (continued)

## Housing Information Center & Guadalupe Center

**Housing Counseling Services**
**$26,918**

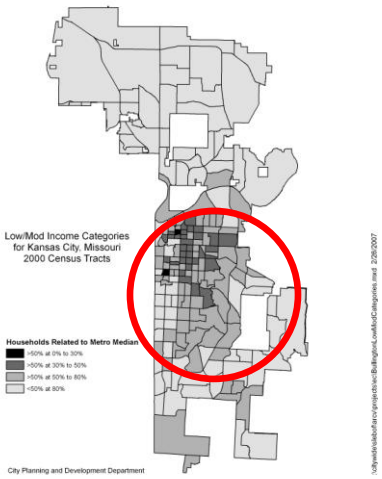
**Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 7,000 | 7,000 | 7,000 | 4,600 | 7,000 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 3,077* | 7,110* | 7,501* | 4,080* | |

***Unduplicated beneficiary numbers**




## Senior Services—Mohart Center & Palestine Center

**Not funded in 2009, 2010 program years**

**Mohart Center Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 61,000 | 61,000 | 61,000 | 61,000 | 61,000 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 445* | 430* | 0 | 0 | |

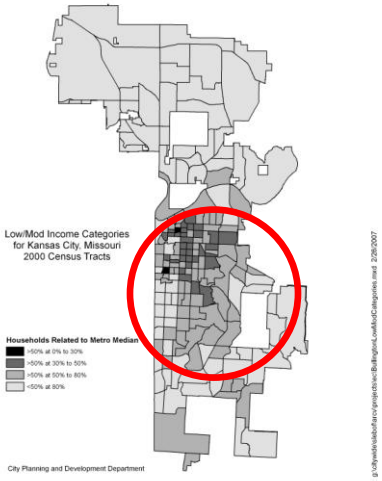
**Palestine Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 41,000 | 41,000 | 41,000 | 41,000 | 41,000 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 215* | 295* | 0 | 38,155 | |

***Unduplicated beneficiary numbers**



# All LMI Service Delivery Strategy Area (continued)

**Black Economic Union & Hispanic Economic Development Corp.**
**$153,450**

**BEU not funded in 2009, 2010 program years**

**BEU Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 4 | 4 | 4 | 4 | 4 |

**Outcomes:**

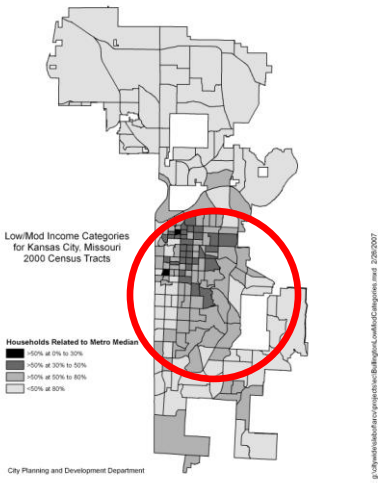
| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 2 | 2 | 0 | 0 | |

**HEDC Goals:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 6 | 6 | 6 | 8 | 6 |

**Outcomes:**

| Yr. 1 | Yr. 2 | Yr. 3 | Yr. 4 | Yr. 5 |
|---|---|---|---|---|
| 6 | 2 | 4 | 6 | |




One single-family home purchase/rehab project was completed and the unit was sold; and 20 NSP-funded single-family purchase/rehab/sales were completed in the All LMI NSA. In addition, rehab work was completed on eight single-family homes, which are currently awaiting buyers. There were 92 owner-occupied emergency and minor home repairs in this NSA during the program year at a combined cost of $714,304.90. The Hispanic Economic Development Corporation facilitated the establishment of six area benefit microenterprises, which created ten jobs during the 2010 program year; and Swope Community Builders assisted with 19 small area-benefit businesses. There were 46 Section 3 jobs created in the All LMI NSA during the program year.

# III. Assessment of Annual Progress

## Affirmatively Furthering Fair Housing

Each year, HUD funded recipients are required to demonstrate in their Action Plan that they are continuing to address impediments to fair housing that were discovered as a result of an Analysis of Impediments that is prepared every five years as part of the Consolidated Plan. Under the Consolidated Plan, HUD recipients are required to examine and attempt to alleviate housing discrimination within their jurisdiction, promote fair housing choice for all persons, provide opportunities for all persons to reside in any given housing development, regardless of race, color, religion, sex, disability, familial status, or national origin, promote housing that is accessible to and usable by persons with disabilities, and comply with the nondiscrimination requirements of the Fair Housing Act. The Civil Rights Division of the Human Relations Department is the primary entity within the City of Kansas City, Missouri, that addresses fair housing and enforces the City's fair housing laws.

In preparing its Analysis of Impediments for the Consolidated Plan, the City elected to participate in a Regional Analysis of Impediments to Fair Housing (AI). Other jurisdictions that participated in the regional AI are Blue Springs, Independence and Lee's Summit in Missouri, and the Unified Government, Shawnee, Johnson County, Leavenworth and Overland Park in Kansas. The study undertaken by BBC Research & Consulting was completed during the reporting year. The AI is a review of impediments to fair housing choice in the public and private sectors. The AI involves a review of a state or entitlement jurisdiction's laws, an assessment of how those laws affect the location, availability and accessibility of housing, an assessment of conditions affecting fair housing choice for all protected categories, and an assessment of the availability of affordable, accessible housing in a range of unit sizes.

Several impediments to fair housing were identified in the AI, and recommendations were made. Impediments identified include: (1) no regional coordination in mitigating fair housing barriers and raising awareness of fair housing in the region; (2) information about fair housing is difficult to find; (3) Kansas City, Missouri, contains a disproportionate number of minority and low-income households and a disproportionate number of low-rent units; (4) there is a shortage of accessible housing units; and (5) African Americans and Hispanics have much higher loan denial rates than Caucasians. **In order to address these impediments, the Civil Rights Division will hire an individual on a one-year contract to work with the other jurisdictions and determine how best to overcome the identified barriers.**

In addition to the findings in the AI, the Civil Rights Division had previously identified other impediments to fair housing in Kansas City. The Division has in past years instituted a number of measures to attempt to alleviate these barriers. During the upcoming Action Plan year, the Division will continue to work towards the identification, evaluation and remedying of impediments to fair housing practices in the City. Efforts will be made to expand relationships with other local agencies that have fair housing functions in order to

diminish impediments to fair housing and to ensure that all residents of Kansas City have equitable access to decent and affordable housing.

Previously identified impediments to fair housing and the Division's plan to remedy them are outlined below:

**The Need for Consistent Enforcement of Kansas City's Fair Housing Laws**

The Civil Rights Division enforces the fair housing provisions of the City ordinance. The ordinance prohibits discrimination in housing based on a person's race, color, religion, sex, disability, familial status, national origin, sexual orientation or gender identity. The Division receives cases based on its outreach activities, as well as through referrals from HUD. During the past year (July 1, 2010, through June 30, 2011) the Division fielded over a hundred inquiries regarding possible discriminatory conduct, and conducted 49 formal investigations of discrimination. Many of the claims involved allegations of discrimination based on disability (16) and race (11). Of the 49 formal complaints filed, 24 were resolved through successful conciliation either prior to or after a Reasonable Cause determination had been rendered. Complainants received over $53,000.00 in settlements.

**Discrimination Based Ex-Offender Status**

A recent initiative of the Civil Rights Division has been helping to promote the civil rights of ex-offenders. The Division has been approached in the past by citizens who complained that they could not get housing or jobs because of their status of being an ex-offender. In this jurisdiction, ex-offender status is not a protected category. However, because a disproportionate number of African-Americans and Hispanics are ex-offenders and therefore are disproportionately affected by housing providers or employers who will not rent to or hire ex-offenders, the Civil Rights Division has filed race and national origin fair housing cases using the disparate impact approach. Six cases have been filed against apartment complexes in Kansas City. The cases are currently under investigation. In addition, the Civil Rights Division has distributed over 1,500 Ex-Offender Fact Sheets at various outreach activities that its representatives have attended.

**Barriers to Independent Living For People with Disabilities**

The Civil Rights Division continues to receive inquiries and complaints regarding compliance with accessibility guidelines from people with disabilities. These inquiries and complaints include problems with existing housing stock, as well as noncompliance with accessibility guidelines in newly constructed housing. Although many more housing providers are now in compliance with the guidelines due to the education efforts of the civil rights agencies, there is still a problem in Kansas City in that many providers still lack a thorough understanding of the requirements of providing equal opportunity for housing for people with disabilities.

The Civil Rights Division recently investigated nine cases alleging violations of the design and construction guidelines of the fair housing act. The cases were filed by The Whole Person, an agency dedicated to advocating for the rights or persons with disabilities.

Violations were found in all nine cases. Seven of the cases have been settled. Settlement discussions are continuing in one case and one respondent has requested that the case be removed to circuit court for adjudication. In all of the settled cases, the respondents have agreed to correct all violations and to pay The Whole Person funds for diversion of resources.

### Other Impediments to Fair Housing

Two other areas that the Civil Rights Division will be paying particular attention to are redlining in writing insurance policies, and discriminatory advertising. The Division recently filed three cases against publications for discriminatory advertising. All three ads contained statements that would discourage applicants with children from applying. All three of the cases have been settled. The Civil Rights Division also recently settled an insurance case whereby the complainant alleged that the house was overvalued in order to increase the premium amount.

### Education and Outreach

During the period from July 1, 2010, through June 30, 2011, the Civil Rights Division participated in 46 outreach activities. These activities included fair housing training conducted by Division staff, City Council members' community meetings, community fairs and expos, town hall meetings, etc. During these outreach activities, Division representatives have distributed over 8,000 pieces of the City's fair housing literature. Civil Rights Division staff have also appeared on the City's government television channel and had an article in the local African American newspaper.

### Conclusion

As demonstrated above, impediments to fair housing continue to exist in Kansas City; and City government must continue to play a significant role in eradicating them. Both federal and state fair housing agencies have determined that they don't have the resources to handle a significant percentage of the fair housing violations that occur in Kansas City. Only a strong City agency, fully supported with resources, can reduce barriers to fair housing and help make Kansas City a livable city for all of its citizens.

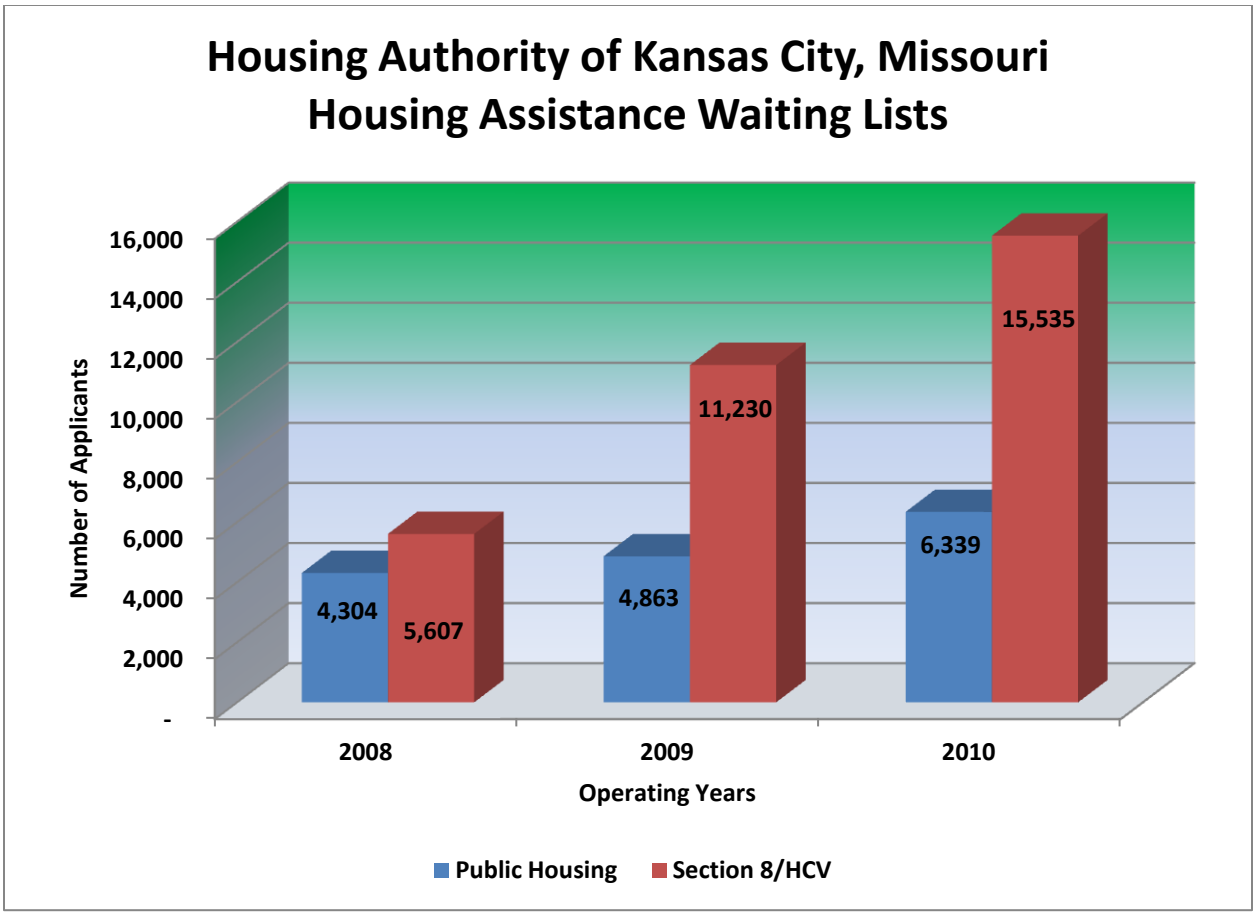
## Affordable Housing

The 2010 Consolidated Action Plan funded numerous activities that implemented the housing strategies outlined in the 2007-2011 Consolidated Plan. The overall performance related to the production of single-family housing units for 2010 was better than anticipated, with the completion and sale of two purchase/rehab homes; completion of rehab work on three rental homes; the acquisition, purchase, rehab, and sale of 30 NSP-1 (formula grant) funded homes; the acquisition and rehab of 13 existing homes currently awaiting sale (NSP-MO); and five more under construction. Multifamily rental housing production was also better than projected, with the completion of four projects—Beacon Park Townhomes (45 units), Destiny Towers (47 units), Grand Boulevard Lofts (134 units), and St. Joseph Place (47 units). It should be noted that the referenced starts and completions were

from prior years' contracts and that the overall numbers for the four-year period are still somewhat under projections. The annual affordable housing completion goals summary can be found in Table 3B in Section VI, Summary Tables. With 317 completed, owner-occupied housing repair activity accomplishments were slightly less than expected. Special needs housing exceeded one agency's service goal but fell short of the other's, leaving the total number served a little less than anticipated. Overall emergency housing, homeless prevention services, and related assistance at 9,938 was nearly double the projected 5,022—consistent with the current housing and job markets and foreclosure crisis. 4,080 persons received housing counseling services during the program year, slightly under projections. The down payment assistance program assisted 63 households with first-time homeownership. The number of households assisted with affordable housing activities and their percentage of area median income can be found in the activity and beneficiary data tables found in Section VI.

## Public Housing

The need for assistance in order for families to afford suitable, quality housing continues to rise in Kansas City. This need is reflected in the numbers of low-income families that attended the Housing Authority Kansas City, Missouri, (HAKC) weekly application sessions in 2010. The average attendance at these sessions is approximately 100 families. There was a noticeable change in the characteristics of participating families the past two years. Many of them had been working families who had lost their homes due to a recent change in their employment status. The waiting lists for HAKC's Public Housing (PH) and Housing Choice Voucher (Section 8) Programs continue to grow monthly, with the PH waiting list at 6,570 (a 46% increase over the previous year) and the Section 8 waiting list at over 15,000 (a 36% increase over the previous year). During the past three years of the recession, the waiting list for public housing has increased 47% and the waiting list for Section 8 has increased nearly threefold (see Figure 1).




Over 90% of the households on the public housing and Section 8 waiting lists are extremely low income. The average annual household income on the public housing waiting list is $3,723.00, and the average on the Section 8 waiting list is $5,716.00. Approximately 35% of those served by public housing or the Housing Choice Voucher program are disabled.

The Public Housing Program, which consists of properties that are owned, managed, and maintained by HAKC, has 1,920 housing units and is currently at 97% occupancy. The Section 8 HCV Program administered by HAKC has 7,510 Housing Choice vouchers (including 122 Project-Based Vouchers) with a utilization rate of 97%. Allowing for routine turnover, this is essentially full occupancy and utilization in both programs. HAKC also administers 100 Mainstream vouchers, 29 Shelter Plus Care vouchers, and 122 Veterans Administration Supportive Housing vouchers, which are operating at capacity.

The obstacles that many low-income families encounter either in their search for affordable housing or in their attempt to retain their existing housing include:

1. Transportation during their housing search and to and from employment and services.
2. Utility costs. Families with unpaid utility bills are unable to transfer services. Even families who participate in the public housing or Section 8 programs may lose their housing because of high utility costs and their inability to maintain service.

3. Families seeking lower rents from subsidized housing programs have limited funds to search for and/or move into alternative housing.
4. Many of the supportive services (e.g. employment training, adult education, daycare, and nutrition programs) have been eliminated due to budget cuts, thus hindering families from becoming "self-sufficient."

HAKC continues to take action to address the need for affordable housing by working in partnership with private developers and other public and nonprofit agencies to provide housing with supportive services to low-income families, seniors, and disabled residents. HAKC fully occupied the newly rehabilitated 108-unit Martin Luther King Village for seniors, and began construction on two new sites for families in 2010 utilizing Low-Income Housing Tax Credits (LIHTC). These projects are discussed further below.

A. **2010 Accomplishments**—HAKC completed the following activities to encourage family self-sufficiency and increase the supply and quality of the City's affordable housing stock in 2010:

- **Homeownership**—26 Section 8 voucher holders and four public housing residents successfully purchased homes in 2010 as a result of their enrollment in the HAKC Public Housing and Section 8 homeownership programs. Currently there are 16 Section 8 voucher holders receiving mortgage assistance through the Section 8 Homeownership Program.
- **Public Housing Maintenance and Capital Improvements**—HAKC completed $6,106,141.00 in capital improvements for existing public housing developments in 2010. This amount included both Public Housing Capital Funds and ARRA stimulus funds. HAKC continues to maintain success in the areas of Capital Fund and Public Housing Work Order management receiving maximum eligible points for both categories under the Public Housing Assessment System (PHAS).
- **Public Housing Rent Collection**—HAKC collected 97% of rent for total billed amounts (comparable to private sector rental management performance).
- **Public Housing Work Order Completion**—HAKC has successfully maintained an average work order completion time of 5.7 days, which is a grade "A" under the HUD Public Housing Assessment System (PHAS).
- **Housing Choice Voucher Program**—HAKC achieved "High Performer" status through the Section Eight Management Assessment Program (SEMAP) achieving a score of 100 out of 100 possible points.
- **Martin Luther King Village**—HAKC, through its nonprofit affiliate, achieved full occupancy at this newly rehabbed 108-unit site for seniors and the disabled. A supportive services program was implemented, with a full-time professional social worker.
- **Pemberton Park for Grandfamilies**—HAKC, through its nonprofit affiliate, worked with Cougar Capital, LLC to begin construction in 2010 on this new 36-unit development for "grandfamilies." Grandfamilies consist of grandparents who are raising their grandchildren. The development is located on four acres of

vacant land owned by HAKC near the intersection of Blue and Swope parkways. Supportive services will be provided through Phoenix Family Services and the Family Friends Program of Children's Mercy Hospital.

- **Beacon Park Townhomes**—HAKC, through its nonprofit affiliate, and in partnership with Paseo Baptist Church and Michaels Development, closed on financing and substantially completed construction of this new mixed-income 45-unit townhome development for families. The site is located in the Beacon Hill Redevelopment District between 25th and 27th Streets, Paseo and Vine.
- **St. Joseph Place—HUD 202 Development for Seniors**—Construction was completed on 47 units of independent–living apartments for seniors utilizing vacant land located on the Wayne Miner public housing site. Funding in the amount of $5.5 million was provided by the HUD 202 program. Catholic Charities sponsored the project and leased the land from HAKC. The Yarco Company will develop and manage the site.
- **Columbus Park/Phase III**—HAKC continued to work with the Land Clearance for Redevelopment Authority (LCRA) and Columbus Park Developers to prepare plans for the redevelopment of twenty-one acres in the Columbus Park neighborhood, including seven acres of vacant land owned by HAKC.
- **Shelter-Plus-Care Program**—HAKC continued work with the Homeless Services Coalition and the local Continuum of Care to implement two Shelter-Plus-Care grants. The five-year grants provide supportive housing for formerly homeless clients who are also receiving drug and alcohol rehabilitation or suffering from mental disability. HAKC partners in providing case management and supportive services for this project include the KC VA Medical Center, Swope Health Services, and Truman Behavioral Health Network. Five of the units are occupied by veterans. Supportive services will include programs directed toward employment and self-sufficiency.

  **YouthBuild Program**—HAKC began its third year of the YouthBuild program. A total of 100 urban core youth have participated in the program to date. In 2010, the youth began construction of a new Platinum-LEED-Certified home at 5307 Wayne and the rehabilitation of two houses at 402 Jackson and 438 Monroe. Four YouthBuild trainees were hired as painters and masonry laborers on the Beacon Park construction site and paid prevailing wage as part of the Section 3 Program. 46% of the trainees completing the program were placed either in continuing education program or obtained regular employment; 56% obtained either a GED or other certifications and 62% attained documented academic gains. Three youth are in the process of enrolling in college. The one-year case management follow-up will continue through 2011 for the graduates of 2010. In addition to their GEDs, some students obtain certifications through the National Center for Construction Education and Research (CORE-NCCER) or the Home Builders Institute (HBI-PACT), both nationally recognized construction certifications. Half of the trainees also obtained painting certification through Sherman Williams.

- **Impact of Housing and Services on Homeless Families Study**—Up to 64 vouchers are being provided to homeless families to provide evidence to help federal policy makers, community planners, and local practitioners prioritize the use of resources and structure local homeless assistance programs.

- **HUD Veterans Affairs Supportive Housing (HUD VASH)**—HAKC continues to provide Housing Choice Voucher (HCV) rental assistance for homeless veterans, with case management and clinical services provided by the Department of Veterans Affairs (VA). The VA provides these services for participating veterans at VA medical centers (VAMCs) and community-based outreach clinics.
- **Job Readiness Program**—240 public housing and HCV families completed the Job Readiness Program offered by United Services.
- **Self-Sufficiency Program**—30 participants graduated from the HAKC Family Self-Sufficiency Program in 2010. 234 current participants in the program have established escrow savings accounts, with an aggregate balance of over $800,000.00. Residents may apply these accounts to debt reduction, education, transportation, or home purchases.
- **FDIC Money Smart Program**—117 public housing residents and Section 8 voucher holders graduated from the 10-week Money Smart financial education program developed by the FDIC. 1,656 individuals attended at least one Money Smart Program module in 2010.
- **Welfare-to-Work**—115 residents were enrolled in the Welfare-to-Work Program. They received case management services through a partnership with Full Employment Council and the Missouri Family Support Division.
- **HAKC Computer Labs**—1,838 adults were provided computer training, job skill training, job readiness programs, and literacy programs, including online tutoring for the GED test or high school diploma. There were 1,867 youth visits for tutoring and homework assistance.
- **HAKC Tutoring Program**—90 students from Riverview, Clymer Center, and Guinotte Manor received tutoring five days a week, two hours daily. These services were provided by the Upper Room and the Learning for Today organization, in partnership with a sorority.
- **HAKC Wayne Miner LINC Site**—120 public housing and community children are served by LINC in their before/after school and summer full day program at Wayne Miner. LINC established a Caring Community Site in 2010, providing additional programming for the residents. In the fall of 2010, LINC moved five case managers to Wayne Miner Community Center to work with the Sanctioned TANF families in the community.
- **Summer Nutrition Program**—Approximately 10,000 meals were provided to children and disabled residents residing in the public housing family developments during eight weeks of the summer.

B. **2011 Goals**—The Housing Authority of Kansas City, Missouri has the following goals for 2011 to encourage family self-sufficiency and increase the supply and quality of its affordable housing stock:

- **Performance**—Achieve the status of "High Performer" in HUD's Public Housing Assessment System (overall score of 90+) and maintain "High Performer" in HUD's Section Eight Management Assessment Program.
- **Occupancy**—Maintain Public Housing occupancy and Section 8 utilization at 97% or higher.
- **Capital Improvements**—Complete improvements at public housing developments and scattered site units utilizing Capital Grant funds. This work will include replacement of major systems, roofing, safety improvements, and rehabilitation of older scattered-site housing.
- **Agency-Wide Energy Performance Contract**—HAKC intends to engage an energy services company (ESCO) in assessing energy performance and completing major energy conservation improvements in HAKC's developments. Funding for the improvements is paid with the utility cost savings. HAKC staff and residents will receive training in energy conservation equipment, materials, and techniques.
- **Pemberton Park for Grandfamilies**—Complete construction of the 36-unit development and begin a supportive services program for resident grandfamilies in partnership with Phoenix Family Services and the Family Friends Program of Children's Mercy Hospital.
- **Beacon Park Townhomes**—Complete occupancy of the 45-unit LIHTC townhome development in the Beacon Hill neighborhood.
- **St. Joseph Place**—Complete occupancy of the 47-unit HUD 202 development for seniors sponsored by Catholic Charities on the Wayne Miner site.
- **Columbus Park Redevelopment**—Approve the Columbus Park redevelopment plan, execute a property transfer agreement for the seven acres of ground owned by HAKC, and begin construction.
- **Choice Neighborhoods Initiative**—Obtain award of a HUD Choice Neighborhoods Initiative Planning Grant. Begin planning for the replacement of Chouteau Courts, and submit an Implementation Grant application to HUD in the fall.

  **Homeownership**—Graduate 15 first-time homebuyers from the public housing and Section 8 Homeownership Programs. The Housing Authority is working with the Green Zone to coordinate a home fair to educate the families about homeownership opportunities available through Neighborhood Stabilization Funds. The fair will consist of participation in a Homes Tour and information from the five agencies that received NSP funds and provide home financing.
- **Shelter Plus Care**—Continue implementation of HAKC's two Shelter Plus Care grants and provide 29 vouchers for supportive housing for clients of HAKC's

partners including the KC VA Medical Center, Swope Health Services, and Truman Behavioral Health Network.

- **YouthBuild**—In 2011, the HAKC will begin its fourth year of the YouthBuild program, with funding provided by the U.S. Department of Labor. The staff will recruit 37 new trainees. Construction of the new Platinum LEED–Certified home at 5307 Wayne will be completed, and two public housing homes will be rehabilitated.
- **Job Readiness**—100 residents will complete the Job Readiness Program offered by United Services.
- **Family Self-Sufficiency**—There will be 30 graduates from the HAKC Family Self-Sufficiency program. There will be 412 total participants with 235 escrow savings accounts with an aggregate balance over $600,000.00.
- **FDIC Money Smart Program**—100 public housing residents and Section 8 voucher holders will graduate from the 10-week Money Smart financial education program developed by the FDIC.
- **Welfare-to-Work**—150 residents will be enrolled in the Welfare-to-Work Program and receive case management services. Services will be provided through a partnership with Full Employment Council and the Missouri Family Support Division.
- **HAKC Computer Labs**—Despite major cuts in funding, HAKC staff will maintain the computer lab three days a week with sessions in the morning and evening.
- **LINCWORKS Program**—The Greater KC LINC, INC contracted with the Housing Authority's Resident Services department to provide case management services to TANF families under the Missouri Work Assistance Program. There are three HAKC case managers working with TANF families who reside in public housing, Section 8, and the community to assist them in removing barriers to employment.

C. **Long-Range Goals**—HAKC's long-range goals for 2011-2014 include:

- **High Performance**—Annually achieve and maintain "High Performer" status per HUD's Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP).
- **Property Management**—Continue to maintain effective property management to uphold high occupancy rates, attractive property appearance, and resident safety.
- **Columbus Park Redevelopment**—Work with the City to oversee the successful development of Phase III of the Guinotte Manor redevelopment as a vital part of the Columbus Park redevelopment plan, and to include an affordable housing component for first-time homebuyers.
- **Homeownership**—Provide training and financial assistance to help at least 15 Public Housing and Section 8 families become first-time homebuyers each year.

- **Housing Counseling**—Provide financial literacy and debt management training to 100 urban-core families through certification as a HUD-sponsored Housing Counseling Agency.
- **Homelessness**—Continue to coordinate with service providers assisting the homeless to identify and implement measures to reduce and eliminate chronic and other forms of homelessness.
- **Family Self-Sufficiency**—Maintain funding for Family Self-Sufficiency and supportive services including employment training and youth activities by seeking out nontraditional sources in partnership with local service agencies.
- **LINCWORKS Program**—Maintain funding for the program, add life skills classes to the case management mix of services, and increase the rate of work-related activities by 25%.
- **Capital Improvements**—Make effective use of all available HUD capital funds to improve and maintain existing public housing developments and scattered sites.
- **Affordable Housing Development**—Coordinate with the Missouri Housing Development Commission and the City of Kansas City, Missouri, to address the need for affordable housing and redevelopment of the urban core.
- **Joint Ventures for Affordable Housing**—Continue to form partnerships with CDC's, nonprofit service agencies, and for-profit developers to increase the availability of affordable housing and supportive services for low-income residents of Kansas City, Missouri.

## Lead-Based Paint Assessment and Strategies

### Actions Taken During 2010 to Evaluate and Reduce Lead-Based Paint Hazards

Reducing lead-based paint hazards is of major concern to the City of Kansas City, Missouri. Although lead was banned from house paint in 1978, the City estimates that approximately 78% of Kansas City's housing stock could still contain lead-based paint.

### Childhood Lead Poisoning Prevention Program

Kansas City's primary program to reduce residential lead-based paint hazards is the Childhood Lead Poisoning Prevention Program, which is operated by the Kansas City, Missouri, Health Department. The goal of the Childhood Lead Poisoning Prevention Program (CLPPP) is to eliminate lead poisoning in Kansas City. To attain this goal, the CLPPP provides blood lead screening, case management services (lead hazard inspection and nurse home visits) for lead-poisoned children, community education, and lead hazard control. The CLPPP serves to articulate and enforce the Kansas City lead ordinance, meet state contract obligations in promoting the Centers for Disease Control's guidelines for lead poisoning in children, and provide the services necessary for the U.S. Department of Health and Human Services to meet national health objectives.

The CLPPP program receives funds through several federal and state agencies. In April, 2009, the City was awarded a $2.9 million Lead Hazard Control grant from The U.S. Department of Housing and Urban Development (HUD) as part of the American Recovery and Reinvestment Act. This grant, known locally as the Lead Safe KC Program, is the primary

resource for funding to reduce lead hazards and increase the number of lead-safe dwelling units for low to moderate income families. The goal of the Lead Safe KC Program is to conduct lead hazard control activities in 164 housing units. Currently, 189 units (115%) have been completed.

While the target area of the Lead Safe KC Program includes all 29 Kansas City zip codes, the highest risk area is noted in the table below. The Kansas City Consolidated Plan estimates that over 53,000 dwelling units containing lead-based paint are occupied by low to moderate income persons.

In May of 2009, the CLPPP was budgeted a small amount of Health Levy funding to provide lead hazard control in the homes of lead poisoned children, approximately three homes per year.

| ZIP CODE | % HOUSING UNITS BUILT PRIOR TO 1978 | % HOUSEHOLDS ≤ 80% MEDIAN INCOME | % CHILDREN <6 YEARS OF AGE |
|---|---|---|---|
| 64108 | 86% | 71% | 9% |
| 64109 | 91% | 80% | 8% |
| 64110 | 97% | 62% | 8% |
| 64111 | 96% | 66% | 4% |
| 64123 | 98% | 61% | 10% |
| 64124 | 99% | 66% | 11% |
| 64127 | 93% | 86% | 10% |
| 64128 | 94% | 69% | 9% |
| 64130 | 96% | 69% | 9% |

Source: 2000 Census

**Outreach**

Community Outreach and Education is the backbone of primary prevention and an integral part of the CLPPP Program. Program resources include educational supplies such as brochures, booklets, research library, HEPA vacuums, cooking pots, sandboxes and cleaning kits. Teaching tools include demonstration articles and shadowboxes, an interactive standing display, videos, Glo-germ hand washing demonstration curriculum, a prepackaged basic lead poisoning prevention slide show, and a dinosaur mascot. A speakers bureau is available for presentations to healthcare providers and community groups. Clinical preceptors are available for graduate and undergraduate nursing students.

Local data suggests many citizens/parents are unaware of the risks associated with lead and have not had their children screened. According to local surveillance data there are many zip code areas in the Kansas City area where 52 to 98% of the children six years and

under have not been screened. Providing screening services and increasing screening rates is one of the deliverables required under the Department of Health and Senior Services/CDC Childhood Lead Poisoning Prevention contract. The CLPPP is one of the largest blood lead screening providers in Kansas City, testing 2,071 children in 2010.

**Lead Hazard Control**

Lead hazard control is one of the most significant resources the CLPPP Program offers to the community. The Lead Safe KC Program provides free and low-cost training, (Renovation, Repair and Painting Rule, Lead Abatement Supervisor, Lead Abatement Worker, Healthy Homes Practitioner), lead paint risk assessment, lead hazard removal, and community education for low-income families and property owners. In 2010, 311 homes received risk assessments; and lead hazards were removed from 149 homes. This provided safe housing for 253 children under six years of age. Additional 2010 performance data are included in the following table:

**Table 1. CLPPP Service Totals 2010**

| Service Category | Total |
|---|---|
| HUD Lead Safe KC Homes Remediated | 142 |
| HUD Grant Lead Risk Assessments | 145 |
| People Living in Homes Remediated by Lead Safe KC | 184 |
| Outreach/Educational Events | 68 |
| Number of Residents Reached via Outreach Events (excludes media outreach) | 4,764 |
| Blood Lead Screenings | 195 |
| Number of Children Tested for Lead | 2,071 |
| EBL(Elevated Blood Lead) Cases Closed for Remediation | 25 |
| EBL Case Management Visits-Risk Assessors | 166 |
| Children Receiving Case Management for Moderate/Severe Lead Poisoning | 63 |

## Continuum of Care

The Continuum of Care (COC) process is coordinated by the Homeless Services Coalition of Greater Kansas City (HSCGKC) and is a year-round collaborative process involving 14 different agencies. The HSCGKC handles grant research, writing, and administration and has for approximately 14 years, over which time the grant amount has risen by $1.5 million, to

a high of $8.9 million during program year 2010. The HSCGKC provides direction, establishes relevant policies and service goals, and monitors the performance of the 36 subrecipients twice per year for compliance.

HSCGKC holds separate monthly meetings of the COC agencies and the Homeless Services Coalition (HSC) agencies and provides training and program updates for those two groups, as well as for the COC subcommittees on Grants, Special Populations, and Homeless Management Information Systems (HMIS). Training focuses on meeting the community's needs,
HUD's requirements, and ever-evolving federal, state, county, and local government regulations. Upcoming topics will include HEARTH, the new HUD Notice of Funding Availability (NOFA) to be instituted in program year 2013. HSCGKC also coordinates training through various licensing agencies, including the Council on Accreditation for Social Work and the Council on Accreditation for Rehabilitation Facilities.

A representative from HSCGKC serves on the Missouri Interagency Council on Homelessness (MICH). That individual attends monthly MICH meetings and serves on the Discharge Planning, HMIS, and Annual Conference committees. Discussions focus on research, development and implementation of systematic means of ending homelessness (especially the chronic variety), and eliminating precarious housing situations.

The HSCGKC is responsible for coordinating Point-In-Time Counts (PITCs) for the community in January and July of each year and publishing the results. The July PITC was initiated by the HSC to bring the City's Continuum of Care in line with HUD preferences and the other seven Missouri consortia.

HSC staff regularly educate local governmental agencies and civic organizations regarding homelessness, as well as the systemic issues that impact homelessness directly (affordable, accessible, safe housing; childcare for those transitioning to non-subsidized housing; employment and educational support for those who are homeless; etc.). Their goal is to facilitate effective community planning while addressing the systematic reduction of homelessness in the metropolitan area.

During the 2010 program year, member agencies provided 1,136,029 services to 81,456 individuals; 54,116 homeless persons received emergency shelter; 1,490 were assisted with transitional housing; 1,556 obtained permanent housing; and 959 individuals received 12,754 services through HPRP programs.

***Program Year 2011 Goals***

- 72 on-site HUD monitoring visits to agencies (2 visits to each agency)
- 30-36 meetings with HSC and COC membership
- 72 meetings with community agencies external to the HSC/COC
- 20-24 technical assistance sessions with HSC/COC members
- 20-24 consultations with community programs external to HSC/COC

- 6-8 Community trainings to support homeless programming in the Kansas City area/Jackson County
- NOFA completion once a year with ten supporting submissions

## Chronic Homelessness

The Mayor's Homelessness Task Force published its final report in July 2011. The report presented nine priorities that merited further study. The Mid-America Regional Council, a quasi-governmental agency that shepherds many regional issues that impact the metropolitan area as a whole, is charged with convening nine taskforces to conduct that study, with an advisory council comprised of members of the original Mayor's Homelessness Task Force and new members selected by the current membership.

The HSCGKC has submitted a plan to the City's Consolidated Plan Committee that includes a detailed analysis of how to resolve the problem of homeless families in the coming year. They are the latest demographic to suffer chronic homelessness (homelessness lasting longer than three years). HSCGKC will follow-through on the recommendations concerning chronic homelessness during the next Consolidated Plan period.

## Identification of New Federal Resources Available Within the Community

The City received stimulus grants created by the American Recovery and Reinvestment Act of 2010. Kansas City received a Homelessness Prevention and Rapid Re-housing Program (HPRP) award of $3,628,139.00; a Community Development Block Grant Recovery Act Program award of $2,371,367.00; and, most recently, two Energy Efficiency and Conservation Block Grant Program awards totaling $24.82 million.

### A. Homelessness Prevention and Rapid Re-Housing Program

The Homelessness Prevention and Rapid Re-housing Program's (HPRP's) mission is to assist persons who are homeless or at imminent risk of becoming homeless. As a part of the Program, participants' financial situations are reviewed to determine what barriers keep a person/household from becoming stable by the end of the assistance period. The HPRP served 1,295 households during the 2010 program year—approximately 74% of the Program's established base of 1,754 households (including families and individuals).

Out of the total number of households served with financial assistance, 924 households received prevention assistance and 371 received rapid re-housing assistance. Expressed as a ratio, 72% of households received prevention services while 28% of the households received rapid re-housing services. The total number of persons/clients served equaled 1,754. Of this number, 75% were homeless prevention clients while only 25% were homeless and rapidly re-housed. Services offered during the grant term included case management, housing search and location, rental assistance, utilities and security deposits/assistance, credit repair and legal services, just to name a few.

The Program has currently expended $3,018,541.00 of the $3,628,139.00 award for the HPRP. This total represents 84% of the total funds awarded and demonstrates the HPRP's success in surpassing the 60% milestone required by the grant for the two-year period.

In order to increase time spent assisting clients, the HPRP has reduced the frequency of meetings from monthly meetings with just the lead agencies to quarterly meetings with all of the agencies involved with the project. The HPRP continues to meet the quarterly service data, expenditure, and housing outcome reporting requirements through e-Snaps and Federal Reporting.gov. The process was streamlined to eliminate most barriers to persons who may be eligible for the program and to serve more clients, as well as to expedite the process and to more efficiently meet clients' needs. This was accomplished by moving the intake process in-house. The intake process required a lot of time and follow-up, which had an effect on the amount of time the case managers could spend with a client. This reorganization of the intake process has had a positive impact on both the staff and the clients. Program administrators continue to evaluate HPRP processes on a regular basis to ensure that the program reaches the greatest potential for all participants and the funding is maximized.

## B. Community Development Block Grant Recovery Act Program (CDBG-R)

Multifamily senior housing project Destiny Towers ($997,449.00/47 units) and the historic Lincoln Building renovation ($386,781.00) are substantially completed. Multifamily senior housing project Palestine Commons ($750,000.00/69 units) is anticipated to be completed during the 2011 program year.

## C. Neighborhood Stabilization Program Activities

The Neighborhood Stabilization Program (NSP-1) was established by the U.S. Department of Housing and Urban Development in 2009 using $3.8 billion in funding authorized by the Housing and Economic Recovery Act of 2008 (HERA). NSP was intended to help cities conserve neighborhoods by reducing the number of abandoned and foreclosed properties in areas heavily impacted by foreclosure activity and an increased rate of property abandonment. The program authorized the acquisition and rehabilitation of such properties for sale to buyers with household incomes below 120% of the Area Median Income (AMI). It also allowed the development of new housing on foreclosed or abandoned lots.

NSP-1 provided $7,323,734.00 in HERA funds to the City of Kansas City. The City also applied to the State of Missouri for a portion of the funding that the State had received under NSP-1, and Kansas City was awarded $1,162,997.00 from the State. Additional funding was made available in a second round of funding known as NSP-2. Whereas recipients in the first funding round were determined by the actual rates of foreclosure and abandonment, the second round was competitive. Although the City of Kansas City submitted an application for funding, it was not awarded NSP-2 funds—only 56 applicants were funded nationally.

An additional allocation of stabilization funds was provided by Congress through the Wall Street Reform and Consumer Protection Act of 2010 (Dodd-Frank Act). This funding was directed to those recipients of NSP-1 funds, and in early 2011 Kansas City received a commitment of

$1,823,888.00 (NSP-3). NSP-3 funds may only be used in two areas of the city—the Green Impact Zone and Ruskin. Activity under NSP-3 has begun as of July 1, 2011.

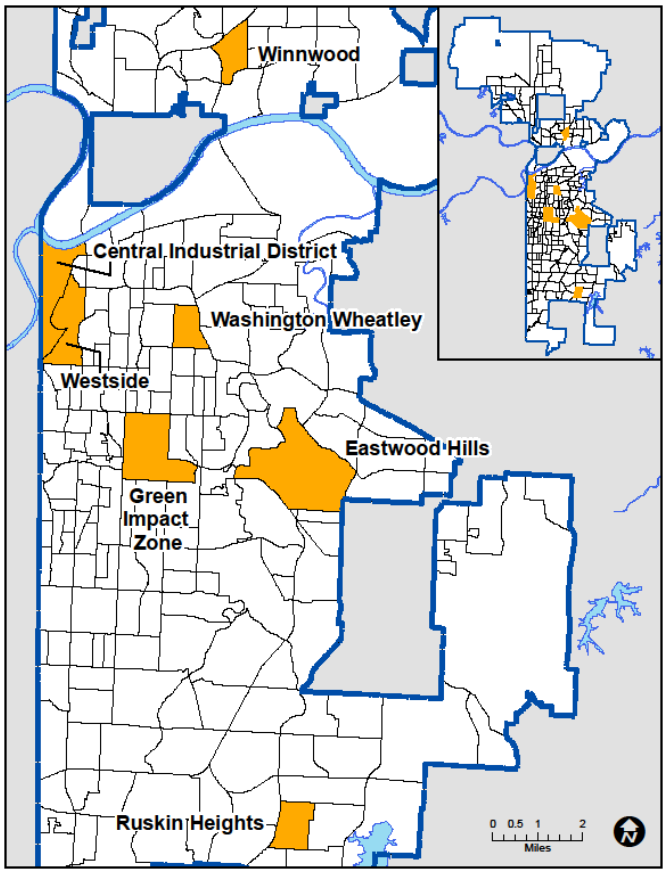
During the reporting year, 24 properties were acquired for rehabilitation or redevelopment under NSP-1 bringing the total number of properties to 77. Information on the 30 homes that have been sold through May 31, 2011 is shown below. The difference between the cost of acquiring and rehabilitating a property and the amount that it can be sold for is considered a development subsidy under the program. While all houses must be sold to buyers with annual incomes below 120% AMI, seven of the homes were sold to households with incomes below 50% AMI.

| | |
|---|---|
| Average Acquisition Cost | $ 46,527.00 |
| Average Rehabilitation Cost | $ 54,693.00 |
| Average Total Development Cost | $128,979.00 |
| Average Sales Price | $ 82,815.00 |

## D. Energy Efficiency Block Grants

The City has obtained two Energy Efficiency Block Grants (EEBGs) totaling $24.82 million from the U.S. Department of Energy to help reduce energy use and greenhouse gas emissions, develop green jobs and a green workforce, and transform the energy efficiency market. The principal benefits are making the City a healthier and therefore more suitable place to live; promoting a sustainable lifestyle and protecting the environment by encouraging alternate transportation modes, recycling and reuse of building components, commercial and residential construction that is 30% more efficient than under current codes, and promoting economic opportunity in the form of green construction jobs. Financial assistance will also be available to homeowners for energy assessments and improvements, as well as asbestos assessment and abatement costs. By providing a more conducive environment for energy-efficient construction, upgrades, and retrofits, and educating local citizens, developers, builders, and lenders as to the need for and financial and environmental benefits of green construction (and deconstruction), the City anticipates the demand for an energy-efficient built environment will grow; and with it, the availability of green jobs and businesses. Homes and the environment will be healthier; utility costs will be lower, making new or rehabbed homes more affordable to maintain; less construction and deconstruction debris will go to the landfill; and precious natural resources will be spared.

Areas of focus for use of these grant funds are indicated on the attached map. In an attempt to increase the degree of targeting for community development funds, the two neighborhoods included in NSP-3 are included in this effort, as well as the Westside NRSA.




**Leveraging Resources**

A. **CDBG/HOME**

| **Project** | | **Grant Funds** | **Amount Leveraged** |
|---|---|---|---|
| Single Family Housing Production | HOME | $253,346 | $0 |
| KC Dream | HOME | $1,200,994 | $3,954,643 |
| Multifamily Housing Production | CDBG-R | $997,449 | $5,244,350 |
| Multifamily Housing Production | CDBG | $241,670 | $57,000 |
| **Totals** | | $2,693,459 | $9,255,993 |

B. **HOPWA**

Leveraging information for the HOPWA program can be found on page 82 of this document.

C. **ESG**

ESG's leveraging information is shown on the following page.

HUD GRANT # S-10-MC-29-003

**Emergency Shelter Grant**
**FY 2010-2011**

Matching Funds CFDA 14.231

| | AGENCY NAME | Award | Federal | State | Local | County | Other | Actual Match | Modified Amount | Modified Award |
|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Benilde Hall | | | | | | | | | |
| | Jackson County Combat | | | | | | | | | |
| | **Subtotal** | **$50,827.00** | **$250,000.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$250,000.00** | **$10,397.79** | **$61,224.79** |
| | | | | | | | | | | |
| 2 | Community Assistance Council, Inc. | | | | | | | | | |
| | Community Development Block Grant | | | | | | | | | |
| | **Subtotal** | **$15,000.00** | **$15,000.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$15,000.00** | **-$12,486.07** | **$2,513.93** |
| | | | | | | | | | | |
| 3 | Guadalupe Center, Inc. | | | | | | | | | |
| | United Way | | | | | | | | | |
| | **Subtotal** | **$29,220.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$51,323.00** | **$51,323.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| 4 | Housing Information Center | | | | | | | | | |
| | Community Development Block Grant | | | | | | | | | |
| | **Subtotal** | **$21,918.00** | **$21,918.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$21,918.00** | **-$16,911.72** | **$5,006.28** |
| | | | | | | | | | | |
| 5 | Newhouse | | | | | | | | | |
| | Victim of Crime Act (VOCA) | | | | | | | | | |
| | **Subtotal** | **$47,700.00** | **$0.00** | **$47,700.00** | **$0.00** | **$0.00** | **$0.00** | **$47,700.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| 6 | reStart, Inc. | | | | | | | | | |
| | In-Kind Donations | | | | | | | | | |
| | **Subtotal** | **$62,531.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$72,531.00** | **$72,531.00** | **$10,000.00** | **$72,531.00** |
| | | | | | | | | | | |
| 7 | Rose Brooks Center, Inc. | | | | | | | | | |
| | Cabaret Fundraiser | | | | | | | | | |
| | **Subtotal** | **$48,508.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$86,600.00** | **$86,600.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| 8 | Sheffield Place | | | | | | | | | |
| | REACH Healthcare Foundation | | | | | | | | | |
| | Halls Family Foundation | | | | | | | | | |
| | **Subtotal** | **$30,000.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$40,000.00** | **$40,000.00** | **$9,000.00** | **$39,000.00** |
| | | | | | | | | | | |
| 9 | Synergy | | | | | | | | | |
| | Clay, Platte, Ray Mental Health Board | | | | | | | | | |
| | **Subtotal** | **$31,268.00** | **$0.00** | **$0.00** | **$0.00** | **$31,268.00** | **$0.00** | **$31,268.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| 10 | The Salvation Army | | | | | | | | | |
| | United Way | | | | | | | | | |
| | **Subtotal** | **$31,000.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$31,000.00** | **$31,000.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| | NCSD | | | | | | | | | |
| | Admin. | | | | | | | | | |
| | **Subtotal** | **$19,366.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** | **$0.00** |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | **Total** | **$387,338.00** | **$286,918.00** | **$47,700.00** | **$0.00** | **$31,268.00** | **$281,454.00** | **$647,340.00** | **$0.00** | **$180,276.00** |

## Self Evaluation

The 2010 CAPER presents the accomplishments of the fourth year of the 2007-2011 Consolidated Plan. There was positive progress toward meeting the objectives of decent housing, a suitable living environment, and economic opportunities during the 2010 Action Plan year.

The affordable housing goal achievement was better than expected, despite heightened homeless and related preventive services needs resulting from increased unemployment and foreclosures. There were 16 single-family homes acquired and rehabbed in the 2010 program year from prior-year contracts that remain to be sold or rented. Four multifamily projects (Destiny Towers, Grand Boulevard Lofts, St. Joseph Place, and Beacon Park Townhomes) totaling 273 units were completed. One commercial rehab (the historic Lincoln Building) was completed. 317 owner-occupied home repair activities were completed during the program year, which was slightly under goal. The second mortgage homebuyer program was also under the projected goal, with only 63 new homeowners assisted. One application for assistance was withdrawn (Jamison Temple) and one project was unexpectedly delayed (Squier Park Townhomes). With 4,080 households served, housing counseling assistance activities were slightly below the predicted level. Although individual agencies may have served fewer than anticipated, the overall number of homeless individuals assisted, 9,938, remained well above the predicted level.

The efforts for creating a suitable living environment achieved results from increased systematic neighborhood code enforcement and community development activities. City property maintenance code inspectors performed 63,012 systematic code enforcement inspections—exceeding the annual goal of 15,000 roughly four times over. In addition, contracts with Legal Aid of Western Missouri and the City's own Law Department have drawn resources to slum and blight elimination as they work out the legal issues with properties that have been abandoned, are in ill repair, and/or are in receivership. Legal Aid exceeded its goal by opening 65 new cases during the program year.

Economic opportunities were expanded by the City's Small Business Development Division, the Hispanic Economic Development Corporation, and Swope Community Builders. They assisted in the establishment or continued success of 103 microenterprises and 19 small businesses and the creation of 165 jobs. Due to their efforts, 102 eligible, low-income, Section 3 residents were employed on applicable Section 3 projects.

## Monitoring

### Program Monitoring (91.230)

The comprehensive program evaluation techniques utilized during the 2010 program year to measure program compliance and performance begin at the selection process with ensuring eligible activities meet national objectives and necessary qualifying provisions. These specific measures were applied to all programs. Each contract/project is monitored for compliance with local, state, and Federal regulations and provisions of the contract to ensure performance goals are met. Monitoring procedures include technical assistance

visits, desk reviews, and on-site visits annually. Prior to contract execution, program managers conduct an initial technical assistance visit to ensure all contract requirements are understood. Thereafter, during the program year, a comprehensive compliance monitoring review of all administrative, financial and any other contractual obligations is conducted. Finally, a year-end monitoring review is required to resolve outstanding areas of noncompliance or underperformance.

Quarterly and year-end reports are submitted to program managers by federally funded subrecipients. Program managers monitor subrecipients' expenditures with great specificity to ensure that those expenditures coincide with scheduling goals contained in their contracts. Underperforming contracts are brought to the attention of the Director of Housing for immediate follow-up and remedy. Subrecipients are given 30 days to cure compliance issues. Formal site monitoring and/or follow-up monitoring verify that compliance issues have been resolved. Noncompliance issues are closed when resolved, or a notice of default is issued.

There are specific performance outputs for programs and agencies funded with CDBG and HOME dollars; those outputs are time-phased and quantifiable. Evaluation of funded programs serves not only to assess performance outputs; but also to verify that targeted populations have indeed received the intended services, whether a given program has had the desired effect upon the community, and whether funded programs have been cost-effective.

The City's executed subrecipient agreements clearly specify performance objectives, outcomes, and outputs to satisfy HUD's new Performance Measurement System and to assist staff with contract monitoring. The City's reporting standards for all subrecipients support agreements to facilitate IDIS reporting and contract monitoring.

Staff particularly monitored subrecipients for compliance with the following:

- Contract Performance Objectives and Outcomes
- CDBG and HOME Program Regulations and National Objectives
- CDBG and HOME Program Cost Eligibility and Accounting
- CDBG and HOME Program Income Accountability
- CDBG and HOME Program Records Retention
- Procurement Requirements
- Davis Bacon and Related Acts
- Section 3 and MBE/WBE
- Cross-Cutting Federal Regulations
- OMB A-133 Audit Reporting

**Financial and Administrative Compliance**

The City will continue transitioning back into the driver's seat on several key housing programs. As part of the transition process, the City will strengthen its own capacity to directly manage homeowner loan programs, provide financial management of program

income and entitlement funds, procure and select subrecipients, monitor subrecipient performance, and utilize IDIS as a management tool.

**Internal Monitoring Procedures:**

Internal compliance with HUD regulations is coordinated by a HCDD staff member. That individual ensures that program managers are following departmental monitoring policy. Internal monitoring activity includes:

- Compliance with all HOME and CDBG Regulations
- Compliance with Federal Cross-Cutting Regulations
- Financial and IDIS Management/Reporting
- Davis Bacon, Section 3, and MBE/WBE Requirements

# IV. Program Narratives

## Assessment of Relationship of CDBG & HOME Funds to Goals and Objectives

The HUD Performance Measurement System provided a basis for the City to undertake subrecipient evaluations to ensure funded activities met at least one of the three HUD objectives(decent housing, a suitable living environment and economic opportunities). The City executed subrecipient agreements that clearly specified performance objectives, outcomes, and outputs to satisfy the following outcomes: availability/accessibility, affordability, and sustainability.

The specific summary of annual objectives (Table 3A) is located in Section VI, Summary Tables. There is an identifying code for every funded activity found in the summary. Each code links the project to a specific HUD objective. Code ***DH*** identifies a project as decent housing, ***SL*** identifies the activity that creates a suitable living environment, and ***EO*** represents economic opportunity.

A majority of the CDBG and HOME activities were intended to accomplish the objective of decent housing, with availability being the most important outcome. The bulk of CDBG and HOME funds went to further housing-related activities, most of whose goals and outcomes met or exceeded expectations for program year 2010. There are several reasons for this.

Single-family new construction, rehabilitation, and housing activities exceeded the 2010 goal and involved completions or near-completions of projects from prior program years. There were 32 existing single-family homes acquired, rehabbed, and sold—30 with NSP funds—and one new one that was built and sold. In addition, there were five new construction starts and 16 purchase/rehab units on which construction was completed, awaiting sale or rent.

New construction, rehabilitation, and multifamily housing activities exceeded expectations and also involved completions of projects from prior program years. The multifamily activities identified in the 2010 Action Plan are in different stages of development, one was

delayed, and one was cancelled. Completed senior and multifamily projects include Destiny Towers, Grand Boulevard Lofts, St. Joseph Place, and Beacon Park Townhomes. The specific annual housing completion goals summary (Table 3B) is located in Section VI, Summary Tables.

The owner-occupied home repair programs fell short of the expected number of units during the program year. There were 317 owner-occupied housing units repaired during the program year, compared with the 400-unit goal.

Rehabilitation of Basie Court (88 units) is in progress, and completion is anticipated during 2011. Wabash Village (48 units) and Vine Street Views (50 units) are still in the predevelopment phase.

HOME-funded homeownership activities created 63 new first time-homeowners, which was below the projection; but still good, considering the economy, local unemployment figures, and tightened lending rules.

It is important to note that many of the five-year public service goals were based on units of services, while the beneficiaries reported in the annual summary Table 3A are primarily based on nonduplicated numbers.

Two of the CDBG-funded public facilities renovation activities (Palestine Senior Activity Center and Niles Home for Children) were substantially completed during the program year but could not be closed out due to unresolved compliance issues. Two others (Palestine Neighborhood Resource Center and Palestine Neighborhood Learning Center) were stalled due to environmental issues. All four are expected to be completed during the next program year. One holdover financed with CDBG-R funds from the prior contract year also remains incomplete (Palestine Gardens).

## Changes in Program Objectives

Economic conditions related to energy, housing, unemployment, and financial lending practices caused lower-than-expected performance outcomes in several objective categories. There were only five new single-family housing starts during the program year; but there were a number of rehabs, with plans for the rehabilitation of several multifamily projects. One multifamily project (Jamison Temple) was cancelled by the developers, and another multifamily project (Squier Park Townhomes) was modified, resulting in a loss of 44 anticipated units.

## Assessment of Efforts in Carrying Out Planned Actions

The City has made significant progress in achieving many of its stated goals and objectives through the use of subrecipients and other partners. The City's delivery system has been refined to provide greater cost-effectiveness as well as to increase the number of low-income program beneficiaries annually. Some of the planned activities addressing housing

and community development will need to be evaluated and the five-year goals adjusted by amendment of the Consolidated Plan.

## Use of CDBG Funds for National Objectives

Every activity must meet a HUD national objective. All funded activities benefitted low- to moderate-income persons or prevented or eliminated slums and blight. The activities identified in the 2010 Action Plan have the national objective documented in all contractual agreements. The national objective is also notated in the HUD Integrated Disbursement and Information System (IDIS).

## Anti-Displacement and Relocation

There were no activities undertaken in the 2010 Action Plan that displaced individuals or businesses that would have required relocation assistance from the City.

## Low/Mod Job Activities

### What's New

The 2010 program year was a very constructive year for the City's Small Business Development Division (SBDD). The SBDD was fully operational with its changed focus, providing services to 97 documented microenterprises, in accordance with the Department of Housing and Urban Development's (HUD's) requirements. To be certifiable as a microenterprise, a business must be "a commercial enterprise that has 5 or fewer employees, 1 or more of whom owns the enterprise." In addition, SBDD requires microenterprises to be certified as to family income. The microenterprise owner's family income must fall within the low- and moderate-income limits established for Kansas City. To complete the certification process, each microenterprise's file must include: a completed application, an intake form, documentation supporting household income and composition, a CDBG/National Objectives Determination Form, a current business license, and proof of residency.

### Microenterprise Programming Services

The SBDD offered a comprehensive program of services that targeted startup, and growing microenterprise entities. Entrepreneurs experienced a microenterprise development system supported by:

- Intensified case management (monthly one-on-one meetings with a case manager)
- Timely and accurate referrals
- Business plan assistance
- Map room-bid assistance
- Business entity identification
- Assistance with state registration
- Assistance in registering fictitious name with the state

- Assistance in obtaining federal identification number and Missouri state tax identification number
- Licensing assistance
- Brochure design assistance
- Creating databases/marketing lists
- Development of marketing material assistance
- Newsletter assistance
- Targeted monthly seminars, including:
    - Cash Flow Management
    - Cash is King
    - How to Make a Great Presentation
    - Creating a Presence on the Social Networking Sites
    - How to Start a Business in Kansas City, Missouri
    - Identifying New Opportunities During the Recession
    - 2010 Taxes
    - Starting a Business in the State of Missouri
    - Time Management
    - Marketing Concepts
    - Branding Your Business
    - Credit Education
    - Business Budgeting
    - Dream to Reality
    - Evaluate Your Business
    - Cash is King
    - Credit Education
    - Leveraging Your Account Receivables
    - 10-hour OSHA
    - Startup Success
    - How to Do Business With the City of Kansas City, Missouri
    - Section 3
- Partnering with several local banks to present financial planning seminars
- Marketing and branding assistance (dependent upon funding availability)
- Bonding assistance (dependent upon funding availability)
- Initial setup of financial record keeping (dependent upon funding availability)
- Financial software computer training class (dependent upon funding availability)

Additionally, the SBDD had previously added an exciting tool to the center's amenities, The Lifesize Video Teleconferencing Cart, which continued to serve as one of many important business supports the Division offered. The Lifesize Video Teleconferencing Cart provided an opportunity for microenterprise clients to:

- Have a business electronic service presence
- Participate in distance learning and training programs
- Join national forums focusing on microenterprise business issues and concerns
- Video teleconference
- Minimize travel costs

SBDD's staff implemented a new five-step plan. During the initial case management meeting, a collective action plan is developed based on the individual microenterprise's needs. Once the action plan is agreed upon, there are monthly follow-up case management meetings, during which participants:

- Review the previous month's plan
- Create the current month's tasks
- Identify who is to complete tasks
- Set deadlines
- Revise plan and strategies (if needed)
- Set goals and milestones

In conjunction with the aforementioned services, the SBDD's business incubator is the jewel of its programming products. The SBDD incubator program is a two-tiered facility located
within the SBDD's office building that provides reduced-cost office space for microenterprises. The incubator is an economic development tool designed to accelerate the growth and success of entrepreneurial companies through an assortment of business support resources and services. The two tiers are the East and West wings. The East Wing is dedicated to startup and/or fledgling microenterprises that need more support and resources. The West Wing is targeted toward further developed and growth-oriented microenterprises that need less support. The goal of the incubator is to ultimately graduate the growing businesses into Kansas City's private leasing market without the need for additional subsidizing. During the 2010 program year, the Division successfully graduated five residents: Accent Computers, Urban Web Solutions, Professional Elevator Service, Higher-M-Pact, and The Comfort Group.

Microenterprises served by the Division reflect a combination of each stage of business: startup, developing, and maturing. During the year, the Division collectively served incubator microenterprises and nonresident microenterprises in the following industries: insurance underwriting, printing, contracting consulting, contracting, engineering consulting, general consulting, general engineering, renovation and remodeling contracting, job placement and job readiness training, legal services, cleaning service, furniture restoration, youth consulting, electrical specialties, childcare, beauty salons, marketing, computer consulting, caricature artistry, home health care, elevator repair services, janitorial services, real estate, project management, organizational development, and social services.

The following chart indicates SBDD incubator residents' gender and race statistics for 2010.

| Incubator Residents | Female | Male | Black | White | Other |
|---|---|---|---|---|---|
| Glynite Construction | 1 | 1 | 2 | 0 | 0 |
| Wheatley Grass Cutting | 1 | 1 | 1 | 0 | 1 |
| Sunshine Graphics | 0 | 2 | 2 | 0 | 0 |
| The Comfort Group | 0 | 1 | 1 | 0 | 0 |
| Custom Home Creations | 0 | 1 | 1 | 0 | 0 |
| Triple 777 Construction | 0 | 1 | 1 | 0 | 0 |
| Vision5 | 1 | 0 | 1 | 0 | 0 |
| AJ Law Firm | 1 | 0 | 1 | 0 | 0 |
| Professional Elevator Services | 1 | 1 | 2 | 0 | 0 |
| B & W Contractors | 0 | 1 | 1 | 0 | 0 |
| The Mogul Group LLC | 1 | 1 | 2 | 0 | 0 |
| GWK Design & Construction | 0 | 1 | 1 | 0 | 0 |
| MidWest Contractors Inc. | 1 | 1 | 2 | 0 | 0 |
| Urban Web Solutions | 0 | 2 | 2 | 0 | 0 |
| Prosperity Electric | 1 | 3 | 4 | 0 | 0 |
| Accent Computers | 0 | 1 | 1 | 0 | 0 |
| **Totals** | **8** | **18** | **25** | **0** | **1** |

Throughout the 2010 program year, community partnerships with SBDD continued to thrive; and new ones were initiated. The following companies and organizations were essential in accomplishing these tasks: American Funding Solutions; Commerce Bank; Five Star Tax and Business Solutions; Occu Tec Energy; Environmental and Safety Solutions; Small Business Administration; Kansas City, Missouri, Health Department; Metropolitan Community College: Business & Technology; Compliance Consulting LLC; National OSHA Institute; Mazuma Credit Union; MED Week Committee; Parks and Recreation Department; Craig Safety Technologies; The Leader's Perspective, LLC; and the Full Employment Council.

Opportunities for SBDD to increase its microenterprises' access to new contracts, despite a sluggish economy, continued to expand. During the 2010 program year, Section 3-certified microenterprises participating in the City's SBDD program received contracts totaling more than $7,768,800.00.

## Program Income Received

The City received $207,055.37 in CDBG program income during the 2010 program year. This figure is not inclusive of any program income received by the Housing and Economic Development Finance Corporation (HEDFC), during the same period.

## Loans and Other Receivables

The HEDFC, through the court-appointed receiver, has custodial care of a large amount of program and miscellaneous income that may be received by the City in the near future. The exact amount of this receivable is unknown at this time pending settlement of the claims against HEDFC and disposition of the real estate owned portfolio held by HEDFC.

## Lump Sum Agreements

There were no lump sum agreement disbursements during the 2010 program year.

## Neighborhood Service Delivery Strategy Areas

The following local strategy areas (NSAs) were identified in the Consolidated Plan:

- Northland Service Delivery Strategy Area
- Westside Service Delivery Strategy Area
- Northeast Service Delivery Strategy Area
- Vine Street Corridor Service Delivery Strategy Area
- Central City Service Delivery Strategy Area
- Downtown Service Delivery Strategy Area
- All LMI Service Delivery Strategy Area

The HUD approved Neighborhood Revitalization Strategy Areas (NRSAs) are identified as follows:

- Beacon Hill Neighborhood Revitalization Strategy Areas
- Columbus Park Neighborhood Revitalization Strategy Areas
- Northeast Neighborhood Revitalization Strategy Areas
- Westside Neighborhood Revitalization Strategy Areas

The **Northland NSA** is generally bounded by Englewood and Pleasant Valley Road on the North; 210 Highway and the southern Kansas City, Missouri, boundary north of the Missouri River on the south; Highway 169 on the west; and the Kansas City, Missouri, City limits on the east. The Northland NSA had 28 emergency and minor home repairs for the 2010 program year. The Destiny Towers senior housing project (47 units) was substantially
completed. There were two new Section 3 hires in this NSA. Public service activities for this area were not funded for the subject year.

The **Westside NSA** is bordered by the State Line on the west, 1-670 to the north, Broadway and Southwest Trafficway on the east, and 31st Street on the south. The NSA achieved the outcome of the completion and sale of one rehabbed single-family unit and 17 emergency and minor home repair units during the program year. Rehabilitation of Jefferson Place, a CHDO project, will be completed in program year 2011. The Guadalupe Center provided child care services to 256 children and housing counseling to 3,397 households.

The **Northeast NSA's** boundaries are Cliff Drive and Gladstone Boulevard on the north, Truman Road on the south, Belmont Avenue and Winchester Avenue on the east, and Paseo Boulevard on the west. One NSP-funded single-family purchase/rehab unit was completed and sold; and one senior housing project, St. Joseph Place (47 units), was completed. The NSA achieved 21 emergency and minor home repair units during the program year. There was some past confusion in reporting for this area because the 2007-2011 Consolidated

Plan named the area but the service area was graphically incorrect. This correction appears in Section II, Five-Year Plan Assessment of Progress.

The **Vine Street Corridor NSA** is bordered by Truman Road on the north, 27th Street on the south, Troost Avenue on the west, and Jackson Avenue on the east. Three NSP-funded single-family purchase/rehab units were completed and sold, and there were three owner-occupied emergency home repairs completed during the program year. The service area was funded the prior year for two multifamily projects, Basie Court (a multifamily rehab consisting of 88 units) and Vine Street Views (a new townhome community with 50 units), which are still under development. Reportable units are anticipated for Basie Court during the 2011 program year. In addition, the rehab of the historic Lincoln Building was completed. There were three new Section 3 hires in this NSA.

The **Central City NSA** is bounded by 27th Street on the north, Gregory Boulevard on the south, Troost Avenue on the east, and Cleveland Avenue on the west. Seven single-family houses were acquired, rehabbed, and sold, six of them using NSP funding. The service area achieved 156 owner-occupied home repair completions. Three public facilities renovations are ongoing (Palestine Senior Activity Center, Palestine Neighborhood Learning Center, and Palestine Neighborhood Resource Center). The application for Jamison Temple, a multifamily project, was withdrawn. There were six new Section 3 hires in this NSA. The Neighborhood Housing Services homebuyer assistance program was not funded for the 2010 program year.

The **Downtown NSA** is surrounded by the Missouri River on the north, 18th Street on the south, I-35 on the west, and Troost Avenue on the east. The service area exceeded its anticipated goals for homeless prevention services with 3,810 individuals assisted; and multifamily project Grand Boulevard Lofts (134 units) was completed.

The **All LMI NSA** achievements account for the remaining activities related to the implementation of the 2010 Action Plan. The specific goals and accomplishments for these activities can be found in Table 3A located on Section VII, Other Attachments and Narratives.

The **Columbus Park NRSA** is located between Cherry Street and Lydia Avenue, and Independence Avenue and 3rd Street. The NRSA made little progress toward achieving its benchmarks for the 2010 program year. The specific five-year goals are: Design and complete redevelopment plan in June of 2006, begin construction in August of 2006, create 40 Section 3 jobs, and achieve 20% MBE/WBE participation.

The **Northeast NRSA** shares the same boundaries as the Northeast NSA. One single-family purchase/rehab unit financed with NSP funds was completed and sold, there were 21 owner-occupied home repairs, and the NRSA received extensive area benefit from systematic code enforcement activities during the program year. Also, one senior housing project, St. Joseph Place (47 units), was completed. The specific five-year goals are: Establish a small business office by June of 2008; create 40 new job opportunities; provide 80 workshops on crime prevention, property maintenance, and new business technical assistance; and complete 50 minor home repairs.

The **Westside NRSA** shares the same boundaries as the Westside NSA. The NRSA achieved 17 emergency and minor home repairs; the completion and sale of one single-family purchase/rehab unit; and area benefit from systematic code enforcement activities during the program year. The Guadalupe Center provided child care services to 128 children and housing counseling to 1,754 households. The five-year goals are: Create 30 jobs; provide 50 neighborhood workshops and other community service activities for crime prevention, property maintenance, and new business technical assistance; and complete 60 minor home repairs.

## Assessment of Specific HOME Program Actions

### Results of On-Site Inspections of Rental Housing

On-site inspections and compliance monitoring of HOME-assisted rental housing were conducted during the program year for the period under review beginning January 1, 2010, and ending December 31, 2010, for projects currently subject to HOME affordability periods.

The following is a summary of the results:

**Alexandria Apartments**—Concerns were noted regarding rent restrictions for over-income HOME tenants. Corrective action requires amendment to HOME addendum; specifying income and rent calculations based on over-income tenants' earnings. 2011 monitoring was conducted on July 6; the results will be reported in next year's CAPER.

**Cardinal Ridge Apartments**—There were no deficiencies noted during the HOME monitoring review conducted April 6, 2010. This project is in compliance with HOME program regulations. 2011 monitoring was conducted on July 21; the results will be reported in next year's CAPER.

**Metropolitan Community Economic Development Corporation (Park Street Homes)**—Findings noted regarding failure to meet minimum Housing Quality Standards and to duly document and/or retain records to ensure HOME compliance. 2011 monitoring was conducted on April 19; the results will be reported in next year's CAPER.

**Mt. Cleveland II Townhomes**—Findings noted regarding rent restrictions for over-income HOME tenants. Corrective action required; upon recertification, over-income tenants' rent payments must be equal to 30% of their adjusted income. 2011 monitoring was conducted on April 21; the results will be reported in next year's CAPER.

**North Park Place Apartments**—Project in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on July 5; the results will be reported in next year's CAPER.

**Park Gate Apartments**—Project in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on April 14; the results will be reported in next year's CAPER.

**Parkway Apartments**— During March 16, 2010, monitoring, deficiencies noted concerning income discrepancies, abbreviated lease agreements, and/or prohibited language in the written agreement. Corrective action required; resolution provided. Project found to be in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on July 25; the results will be reported in next year's CAPER.

**Professional Building**—Project in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on April 7; the results will be reported in next year's CAPER.

**Ridgeview Heights Apartments**—Project found to be in compliance with HOME program regulations and requirements during October 16, 2010, monitoring. Next HOME monitoring scheduled for fall, 2011.

**Swope Parkway Estates**—Project found to be in compliance with HOME program regulations and requirements during October 23, 2010, monitoring. Next HOME monitoring scheduled for fall, 2011.

**Twin Elms**—During April 27, 2010, monitoring, deficiencies noted concerning income calculations and reported income discrepancies. Inferior Housing Quality Standards (HQS) noted. Corrective action required; resolution provided. Project in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on July 25; the results will be reported in next year's CAPER.

**Vine Street Lofts**—Project in compliance with HOME program regulations and requirements. 2011 monitoring was conducted on July 7; the results will be reported in next year's CAPER.

**Woodland Heights Phase I & II**—During monitoring November 11, 2010, deficiencies noted regarding the calculation of income and reported income discrepancies. Inferior Housing Quality Standards were also noted. Corrective actions required; resolution provided. Project in compliance with HOME program regulations and requirements. Next HOME monitoring scheduled for winter, 2011.

**Woodland Heights Phase III**—During October 29, 2010, monitoring, deficiencies noted regarding calculation of income, reported income discrepancies, and prohibited lease provisions. Corrective action required; resolution provided. Project in compliance with HOME program regulations and requirements. Next HOME monitoring scheduled for winter, 2011.

**Woodland Heights Phase IV**—No deficiencies noted during monitoring November 17, 2010. Project in compliance with HOME program regulations and requirements. Next HOME monitoring scheduled for winter, 2011.

### Affirmative Marketing

Every contract that includes HOME-funded activities includes a provision that requires the contractor to exercise affirmative fair housing marketing and to comply with provisions of 24 CFR 92.351. This includes requiring them to keep records of their efforts to provide information and otherwise attract eligible persons from all racial, ethnic and gender groups. Additionally, they are required to use the equal housing opportunity logo in advertising and display a fair housing poster in the rental office.

The type of advertising selected by a property manager will vary based on budgets and current market conditions. Some projects advertise in the Apartment Guide while others use fliers or websites. All have outreach programs and make contact with the Housing Authority, local businesses, large employers, and shopping centers.

During HOME monitoring activities for the year, no properties were found to be deficient in terms of their affirmative marketing efforts.

### Outreach to Minority- and Women-Owned Businesses

Participants in HOME-funded activities are encouraged to utilize local minority- and women-owned businesses. There are mechanisms to ensure that these businesses have opportunities to participate in all HOME projects. Information on any HOME-funded project or contracting opportunity is published as a public notice in several local newspapers. All contracts awarded have MBE and WBE goals. The Section 3 Office and Small Business Development Division (SBDD) offer workshops on HOME and other federal funded business opportunities. The Human Relations Department certifies MBE/WBE businesses, which receive technical assistance from the SBDD on various topics relative to contracting opportunities.

### HOME Program Income

There was $124,090.88 in program income from HOME in program year 2010. This figure is not inclusive of any program income received by HEDFC during the same period.

### HOME Match Report

The Home Match Report can be found on page 91 of this document.

## Assessment of Relationships of ESG Funds to Goals and Objectives

**Identification of actions taken to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).**

The objectives of the Emergency Shelter Grant Program continue to be the first step in the Continuum of Care. The Emergency Shelter Grants (ESG) Program created by the Stewart B. McKinney Homeless Assistance Act of 1987, as amended, provides grants for safe, sanitary shelters; supportive services for those in shelters; and other assistance to homeless people and families. These funds are used to help people achieve independent living.

**Evaluation of process made using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the consolidated plan.**

The Emergency Shelter Grant Program funding helps agencies work with subpopulations within this group, such as victims of domestic violence, youth, people with mental illness, families with children, and veterans. ESG funds can also be used to aid people who are in imminent danger of becoming homeless due to evictions, foreclosure, or utility shutoff. Continued inability to expend Homeless Prevention funds remains an area of concern as clients are frequently unable to meet HUD's eligibility guidelines for assistance. During the 2010 program year, $29,397.79 in homeless prevention funds originally allocated to organizations that exclusively work with homeless clients had to be reallocated to another budget category in order for the grant money to be spent. $5,152.03 in Homeless Prevention funds went unspent.

**Details of how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.**

The 2010/2011 ESG funding was used to serve several subpopulations, as shown in the following charts.

**Chart 1** **2010 Emergency Shelter Grant Program**

| Subpopulation | No. | % of Total |
|---|---|---|
| Chronically Homeless | 785 | 23% |
| Severely Mentally III | 181 | 5% |
| Chronic Substance Abuse | 293 | 8% |
| Veterans | 168 | 5% |
| Persons w/HIV Aids | 18 | 1% |
| Victims of Domestic Violence | 1451 | 42% |
| Elderly | 60 | 2% |
| Youth Under 18 | 527 | 15% |
| **Total** | **3,483** | |

**Chart 2** **2011 Continuum of Care Homeless Assistance Grant**

| Sub-Population (Sheltered) | No. | % of Total |
|---|---|---|
| Chronically Homeless | 228 | 18% |
| Severely Mentally III | 230 | 18% |
| Chronic Substance Abuse | 382 | 30% |
| Veterans | 196 | 15% |
| Persons w/HIV Aids | 22 | 2% |
| Victims of Domestic Violence | 195 | 15% |
| Elderly | 0 | 0% |
| Youth Under 18 | 16 | 1% |
| **Total** | **1,269** | |

## Method of CAPER Distribution

Copies of Kansas City, Missouri's 2010 CAPER are available at these locations:

- Kansas City, Missouri, Main Public Library, 14 West 10th Street
- Housing and Community Development Department, 11th Floor, City Hall, 414 E. 12th Street
- Robert J. Mohart Multi-Purpose Center, 3200 Wayne Avenue
- Online at: http://www.kcmo.org/housing.nsf/web/home

# V. Public Participation

**CITIZEN PARTICIPATION PLANNING & CITY COUNCIL APPROVAL SCHEDULE**

**September 27, 2009—Public Notice for the October Public Informational Hearings and Overall Schedule Issued for Publication in three Kansas City Area Newspapers (*Kansas City Star, The Call, and Dos Mundos*). Also posted online at www.kcmo.org/housing.**

**2010 Application—Public Informational Hearings:**

| | |
|---|---|
| October 1, 2009 | 1st Meeting – Hillcrest Community Center, 10401 Hillcrest Road – 6:00 p.m. |
| October 6, 2009 | 2nd Meeting – Robert J Mohart Center, 3200 Wayne Avenue – 6:00 p.m. |
| October 8, 2009 | 3rd Meeting – City Hall, 6th Floor – 10:00 a.m. |
| October 15, 2009 | 4th Meeting – Line Creek Community Center – 5940 Northwest Waukomis Drive – 6:00 p.m. |
| October 22, 2009 | 5th Meeting – Brush Creek Community Center – 3801 Emanuel Cleaver Boulevard – 6:00 p.m. |
| October 31, 2009 | Citizen Participation Plan administratively adopted (30-day review period) |
| November 13, 2009 | All 2010 funding requests due to City by 12:00 p.m. |
| December 11, 2009 | Funding requests reviewed by staff and recommendations completed |
| December 18, 2009 | Submittal of Consolidated Plan Funding Recommendations to City Manager for review |
| January 29, 2010 | Briefing of Citizens Advisory Council on 2010 Consolidated Plan Funding Recommendations – Public Meeting – 10th Floor Committee Room – 9:00 a.m.–11:00 a.m. |
| February 21, 2010 | Draft Consolidated Plan Funding Recommendations published in Kansas City Star, Dos Mundos, The Kansas City Call and available on City's website, www.kcmo.org/housing |
| February 24, 2010 | 2010 Consolidated Plan Funding Recommendations presented to Housing Committee; public testimony* taken by the City Council; City Council Chambers, 10th Floor, City Hall, 414 East 12th Street – 12:00 p.m. |
| March 3, 2010 | 2010 Consolidated Plan Funding Recommendations presented to Housing Committee; public testimony* taken by the City Council; City Council Chambers, 10th Floor, 414 E. 12th Street – 12:00 p.m. |
| March 10, 2010 | Consolidated Plan reviewed; public testimony* taken by the City Council; City Council Chambers, 10th Floor, 414 East 12th Street – 12:00 p.m. |
| March 11, 2010 | City Council approval of 2010 Consolidated Plan prior to submission to HUD |
| March 31, 2010 | Consolidated Plan submitted to HUD; begins HUD 45-day review period |
| June 1, 2010 | 2010 Consolidated Plan program year begins |
| September 20, 2011 | Public hearing on 2010 Consolidated Annual Performance and Evaluation Report (CAPER), MainCor, 3215 Main Street – 6:00 p.m. |
| September 30, 2011 | 2010 Consolidated Annual Performance and Evaluation Report (CAPER) due to HUD |

# VI. Summary Tables

**Summary of Resources and Distribution of Funds**

**Activity and Beneficiary Data**

**Table 1C—Summary of Specific Housing/Community Development Objectives (annual performance ESG, HOPWA & Continuum of Care)**

**Table 2C—Summary of Specific Housing/Community Development Objectives (year four CDBG & HOME performance compared to the five-year plan)**

**Table 3A—Summary of Specific Annual Objectives (CDBG, HOME, ESG, HOPWA)**

**Table 3B—Annual Affordable Housing Completion Goals**

# Summary of Resources and Distribution of Funds

| | Funds | Funds | # Inspections | # Persons | | # Housing | | # Jobs | # Businesses |
|---|---|---|---|---|---|---|---|---|---|
| **Summary of Expenditures:** | **Budgeted** | **Expended** | | **Goal** | **Actual** | **Goal** | **Actual** | | |
| | | | | | | | | | |
| **Programs for the Homeless and Very Low Income Persons** | $367,972.00 | $359,691.60 | | 5,022 | 11,422 | | | | |
| **Special Needs Housing** | $1,075,267.00 | $1,098,449.00 | | 362 | 300 | | | | |
| **Public Services to Low-Income Persons** | $1,397,402.00 | $1,267,235.56 | | 9,514 | 20,745 people & 65 cases | | | | |
| **Neighborhood Center Services** | $0.00 | $0.00 | | | | | | | |
| | | | | | | | | | |
| **Total Human Services** | 2,840,641.00 | 2,692,121.16 | | 14,898 | 23,860 & 65 cases | | | | |
| | | | | | | | | | |
| **Systematic Code Enforcement Program/Building Conditions Survey** | $1,000,000.00 | $999,999.51 | 63,012 inspections | | | | | | |
| **Vacant Building Receivership** | $78,520.00 | $44,100.23 | 117 cases | | | | | | |
| **Business Façade Rebate** | $200,000.00 | $0.00 | | | | 40 | 0 | | |
| | | | | | | | | | |
| **Total for Blight Elimination** | $1,278,520.00 | $1,044,099.74 | 63,012 Inspections & 117 cases | | | 40 | 0 | | |
| | | | | | | | | | |
| **Public Facilities** | $495,270.00 | $233,133.68 | Goal=2 structures; actual=0 | 445 | 55,628* | | | | |
| | | | | | | | | | |
| **Multifamily Housing Activities in Neighborhood Service Delivery Areas:** | $1,109,040.00 | $682.50 | | | | 70 | 273 | | |
| **Single Family Housing Activities in Neighborhood Service Delivery Areas** | $5,243,933.00 | $3,447,512.66 | | | | 480 | 412 | | |
| | | | | | | | | | |
| **Total for Housing Production Programs** | $6,352,973.00 | $3,448,195.16 | | | | 550 | 685 | | |
| | | | | | | | | | |
| **Total for Economic Opportunity Activities** | $568,433.00 | $458,698.10 | | | | | | 165 | 122 |
| | | | | | | | | | |
| **Planning, Management, & Audit Costs** | $2,187,287.00 | $1,731,915.10 | | | | | | | |
| | | | | | | | | | |
| **Section 108 Debt Repayment** | $1,452,346.00 | $1,452,345.75 | | | | | | | |
| | | | | | | | | | |
| **Total 2010 CAPER Budget/ Expenditures** | $15,175,470.00 | $11,093,763.69 | | | | | | | |

**Represents number of services provided; may be some duplication of clients.*

## 2010 CAPER – Beneficiary Data by Activity

June 1, 2010, to May 31, 2011

| CDBG Public Service | | Black | White | Asian | American Indian/ Native Alaskan | Hawaiian/ Pacific Is-lander | Other/ Multi-Racial | Hispanic Ethnicity | Female Head of Household | Total Assisted | Number Assisted 0-30%AMI | Number Assisted 31-50%AMI | Number Assisted 51-80%AMI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 3703 | Boys and Girls Club | 521 | 36 | 1 | 2 | 2 | 44 | 87 | 0 | **606** | 0 | 0 | 0 |
| 3605 | Community Assistance Council | 6,807 | 2,300 | 47 | 42 | 5 | 264 | 450 | 1,419 | **9,465** | 0 | 0 | 0 |
| 3600 | Guadalupe Center (Child Care) | 34 | 86 | 2 | 0 | 0 | 6 | 63 | 65 | **128** | 0 | 0 | 0 |
| 3601 | Guadalupe Center (Housing Counseling) | 257 | 1,294 | 3 | 12 | 4 | 184 | 1,275 | 368 | **1,754** | 1,455 | 299 | 0 |
| 3607 | Housing Information Center | 1,781 | 410 | 13 | 10 | 8 | 104 | 68 | 1,517 | **2,326** | 0 | 0 | 0 |
| 3602 | Mattie Rhodes | 124 | 2,290 | 4 | 0 | 0 | 56 | 2,002 | 0 | **2,474** | 0 | 0 | 0 |
| 3604 | Operation Breakthrough, Inc. | 614 | 78 | 0 | 2 | 0 | 18 | 21 | 0 | **712** | 0 | 0 | 0 |
| 3606 | ReStart Homeless Services | 1,373 | 2,052 | 13 | 57 | 9 | 374 | 0 | 117 | **3,878** | 3,842 | 36 | 0 |
| 3599 | United Inner City Services | 154 | 16 | 5 | 0 | 0 | 0 | 0 | 103 | **175** | 0 | 0 | 0 |
| 3603 | W.E.B. DuBois Learning Center | 3,105 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | **3,105** | 0 | 0 | 0 |
| **Total CDBG Public Services** | | **14,770** | **8,562** | **88** | **125** | **28** | **1,050** | **3,966** | **3,589** | **24,623** | **5,297** | **335** | **0** |

| CDBG Economic Development | | Black | White | Asian | American Indian/ Native Alaskan | Hawaiian/ Pacific Is-lander | Other/ Multi-Racial | Hispanic Ethnicity | Female Head of Household | Total Assisted | Number Assisted 0-30%AMI | Number Assisted 31-50%AMI | Number Assisted 51-80%AMI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 3587 | City Small Business Assistance Office (Section 3 new hires) | 53 | 27 | 0 | 1 | 0 | 3 | 8 | 3 | 84 | 69 | 3 | 12 |
| 3682 | HEDC Business Assistance Program | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 0 |
| | Swope Community Builders | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 0 |
| **Total CDBG Economic Development** | | **53** | **27** | **0** | **1** | **0** | **3** | **8** | **3** | **113** | **69** | **3** | **12** |

| Citywide Housing Grant Programs | | Black | White | Asian | American Indian/ Native Alaskan | Hawaiian/ Pacific Is-lander | Other/ Multi-Racial | Hispanic Ethnicity | Female Head of Household | Total Assisted | Number Assisted 0-30%AMI | Number Assisted 31-50%AMI | Number Assisted 51-80%AMI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 3591 | Emergency Home Repair | 98 | 31 | 1 | 0 | 0 | 16 | 9 | 34 | **146** | 84 | 62 | 0 |
| 3594 | Blue Hills Targeted Minor Home Repair | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | **42** | 18 | 13 | 11 |
| 3699 | Neighborhood Housing Services Targeted Minor Home Repair | 34 | 9 | 0 | 0 | 0 | 0 | 1 | 28 | **43** | 20 | 9 | 14 |
| 3595 | Northland Neigh. Targeted Minor Home Repair | 1 | 30 | 0 | 0 | 0 | 0 | 2 | 2 | **31** | 2 | 6 | 23 |
| 3700 | Ivanhoe Targeted Minor Home Repair | 24 | 2 | 0 | 0 | 0 | 0 | 1 | 21 | **26** | 18 | 7 | 1 |
| 3701 | Westside Housing Targeted Minor Home Repair | 2 | 27 | 0 | 0 | 0 | 0 | 24 | 18 | **29** | 5 | 19 | 5 |
| **Total Housing Grants** | | **201** | **99** | **1** | **0** | **0** | **16** | **37** | **139** | **317** | **147** | **116** | **54** |
| | | | | | | | | | | | | | |
| **Citywide Housing Loan/Grant Programs** | | **Black** | **White** | **Asian** | **American Indian/ Native Alaskan** | **Hawaiian/ Pacific Islander** | **Other/ Multi-Racial** | **Hispanic Ethnicity** | **Female Head of Household** | **Total Assisted** | **Number Assisted 0-30%AMI** | **Number Assisted 31-50%AMI** | **Number Assisted 51-80%AMI** |
| **CDBG** | | | | | | | | | | | | | |
| | Home Ownership Assistance | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| | Multi-Family | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| **HOME** | | | | | | | | | | | | | |
| | Home Ownership Assistance (KC Dream) | 22 | 39 | 1 | 0 | 0 | 1 | 0 | 42 | 63 | 1 | 11 | 51 |
| | Single-Family** | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 2 | **2** | 0 | 0 | 2 |
| **Total Loan/Grants Beneficiaries** | | **22** | **39** | **1** | **0** | **0** | **3** | **1** | **44** | **65** | **1** | **11** | **53** |
| | | | | | | | | | | | | | |
| **CDBG/HOME** | | **Black** | **White** | **Asian** | **American Indian/ Native Alaskan** | **Hawaiian/ Pacific Is-lander** | **Other/ Multi-Racial** | **Hispanic Ethnicity** | **Female Head of Household** | **Total Assisted** | **Number Assisted 0-30%AMI** | **Number Assisted 31-50%AMI** | **Number Assisted 51-80%AMI** |
| | | **15,046** | **8,727** | **90** | **126** | **28** | **1,072** | **4,012** | **3,775** | **25,118** | **5,514** | **465** | **119** |

| ESG | | Black | White | Asian | American Indian/ Native Alaskan | Hawaiian/ Pacific Is-lander | Other/ Multi-Racial | Hispanic Ethnicity | Female Head of Household | Total Assisted | Number Assisted 0-30%AMI | Number Assisted 31-50%AMI | Number Assisted 51-80%AMI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 3547 | Benilde Hall | 144 | 106 | 0 | 4 | 0 | 3 | 0 | 0 | **257** | 136 | 121 | 0 |
| 3557 | Community Assistance Council | 0 | 18 | 0 | 0 | 0 | 0 | 0 | 6 | **18** | 18 | 0 | 0 |
| 3551-3555-3556 | Guadalupe Center | 82 | 3 | 0 | 0 | 0 | 6 | 21 | 27 | **91** | 71 | 20 | 0 |
| 3558-3559 | Housing Information Center | 8 | 31 | 0 | 1 | 0 | 4 | 0 | 0 | **44** | 0 | 0 | 0 |
| 3560 | NewHouse | 178 | 393 | 1 | 12 | 0 | 139 | 88 | 390 | **723** | 0 | 0 | 0 |
| 3561 | reStart, Inc. | 1,425 | 2,146 | 11 | 53 | 13 | 37 | 18 | 107 | **3,685** | 3,626 | 51 | 8 |
| 3562 | Rose Brooks Center, Inc. | 109 | 276 | 2 | 9 | 1 | 113 | 43 | 510 | **510** | 510 | 0 | 0 |
| 3548 | Sheffield Place | 12 | 18 | 0 | 0 | 0 | 2 | 2 | 34 | **34** | 34 | 0 | 0 |
| 3648-3564 | The Salvation Army | 22 | 39 | 0 | 0 | 0 | 14 | 0 | 23 | **75** | 0 | 0 | 75 |
| 3563 | Synergy Services | 293 | 276 | 10 | 13 | 0 | 31 | 25 | 150 | **623** | 0 | 0 | 0 |
| 3565 | NCSD | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | **5,362** | 0 | 0 | 0 |
| **Total ESG** | | **2,275** | **3,306** | **24** | **92** | **14** | **349** | **197** | **1,247** | **11,422** | **4,395** | **192** | **83** |
| | | | | | | | | | | | | | |
| **HOPWA** | | **Black** | **White** | **Asian** | **American Indian/ Native Alaskan** | **Hawaiian/ Pacific Is-lander** | **Other/ Multi-Racial** | **Hispanic Ethnicity** | **Female Head of Household** | **Total Assisted** | | | |
| 3575-3576 | reStart, Inc. | **49** | **22** | **0** | **1** | **0** | **0** | **0** | **0** | **72** | | | |
| 3578-3579-3580-3581-3582 | SAVE, Inc. | **131** | **96** | **0** | **1** | **0** | **0** | **0** | **0** | **228** | | | |
| **Total HOPWA** | | **180** | **118** | **0** | **2** | **0** | **0** | **0** | **0** | **300** | | | |

**Transition Table 1C**
**Summary of Specific Housing/Community Development Objectives**
**(Table 1A/1B Continuation Sheet)**
**2010 Action Plan Year**

| Obj # | Specific Objectives | Sources of Funds | Performance Indicators | Expected Number | Actual Number | Outcome/ Objective* |
|---|---|---|---|---|---|---|
| | **Homeless Objectives** | | | | | |
| SL | Transitional Housing **Benilde Hall** | ESG 61,224.79 | Provide transitional housing for homeless veterans. | 225 men/year | 257 | SL-1 |
| DH | Supportive Services **Community Assistance Council** | ESG 2,513.93 | Provide homeless prevention services. | 100 individuals/ year | 18 | DH-1 |
| DH | Supportive Services **Greater Kansas City Housing Information Center** | ESG 5,006.28 | Provide homeless prevention services. | 125 individuals/ year | 44 | DH-1 |
| SL | Transitional Housing **Guadalupe** | ESG 29,220.00 | Provide transitional housing for homeless individuals. | 150 individuals/ year | 91 | SL-1 |
| SL | Transitional **NewHouse** | ESG 47,700.00 | Provide emergency shelter for domestic violence victims and their families. | 600 women and children/year | 723 | SL-1 |
| SL | Transitional **reStart, Inc**. | ESG 72,531.00 | Provide emergency shelter for homeless individuals. | 1,810 individuals/ year | 3,685 | SL-1 |
| SL | Transitional **Rose Brooks Center, Inc.** | ESG 48,508.00 | Provide emergency shelter for domestic violence victims and their families. | 210 individuals/ year | 510 | SL-1 |
| SL | Transitional Housing **Sheffield Place** | ESG 30,000.00 | Provide transitional housing for homeless women with children. | 40 families/year | 34 | SL-1 |
| SL | Transitional **Synergy** | ESG 31,268.00 | Provide emergency shelter for domestic violence victims and their families. | 400 women and children/year | 623 | SL-1 |
| SL | Transitional **The Salvation Army** | ESG 31,000.00 | Provide transitional housing for homeless families and women. | 50 individuals/ year | 75 | SL-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| SL | Permanent Supportive Housing **SAVE Inc.** | CoC SHP 299,483.00 | Provide permanent supportive housing for individuals living with AIDS. | 36 units | 36 units | SL-1 |
| SL | Permanent Supportive Housing **SAVE Inc.** | CoC SHP 201,153.00 | Provide housing for chronically homeless individuals with AIDS. | 8 units | 8 units | SL-1 |
| SL | Permanent Supportive Housing **reStart, Inc. A New Start Program** | CoC SHP 206,817.00 | Provide housing for chronically homeless individuals with mental illness. | 17 units 19 beds | 17 units 19 beds | SL-1 |
| SL | Permanent Supportive Housing **reStart, Inc.** | CoC SHP 124,915.00 | Provide housing for chronically homeless individuals with mental illness. | 12 units | 12 units | SL-1 |
| SL | Transitional Housing **reStart, Inc** | CoC SHP 226,306.00 | Provide transitional housing for homeless families with children. | 26 units | 26 units | SL-1 |
| SL | Transitional Housing **reStart Convent Transitional Housing Project** (City of KCMO) | CoC SHP 48,300.00 | Provide housing for single individuals or families. | 11 units 29 beds | 11 units 29 beds | SL-1 |
| SL | Supportive Services Day Resource Center **reStart, Inc. Homeless Service Center** (City of KCMO) | CoC SHP 199,399.00 | Provide supportive services encouraging street/shelter homeless individuals to move into programs or self-sufficiency. | 107 individuals | 276 | SL-1 |
| SL | Permanent Supportive Housing **Mental Health Association of the Heartland—Heartland Housing** | CoC SHP 64,099.00 | Provide housing for 8 chronically homeless or mentally ill or disabled individuals. | 8 units | 8 units | SL-1 |
| SL | Permanent Supportive Housing **Benilde Hall** | CoC SHP 51,350.00 | Provide housing for 12 men with substance abuse. | 12 units | 12 units | SL-1 |
| SL | Transitional Housing **Benilde Hall** | CoC SHP 100,380.00 | Provide transitional housing for 170 individual men recovering from substance abuse. | 50 beds 170 individuals | 50 beds 170 individuals | SL-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| SL | Transitional Housing **The Salvation Army Linwood Supportive Housing** | CoC SHP 236,698.00 | Provide transitional housing for 10 families, 5 single women (39 individuals). | 15 units | 15 units | SL-1 |
| SL | Transitional Housing **Sheffield Place** | CoC SHP 163,079.00 | Provide housing for 45 single women with children. | 45 individuals | 68 | SL-1 |
| SL | Transitional Housing **NewHouse, Inc.** (City of KCMO) | CoC SHP 32,935.00 | Provide transitional housing for 29 individuals. | 11 units 29 beds | 11 units 29 beds | SL-1 |
| SL | Safe Haven—Transitional Housing **Truman Medical Center: Access House** | CoC SHP 518,157.00 | Provide transitional housing for 10 seriously mentally ill, homeless individuals at any point in time. | 10 units | 10 units | SL-1 |
| SL | Transitional Housing **Community LINC** | CoC SHP 110,058.00 | Provide transitional housing for 12 families or single individuals at any point in time. | 12 units | 12 units | SL-1 |
| SL | Transitional Housing **Community LINC** (City of KCMO) | CoC SHP 125,890.00 | Provide transitional housing for 12 families or single individuals at any point in time. | 12 units 48 beds | 12 units 48 beds | SL-1 |
| SL | Transitional to Permanent Housing **United Services Community Action Agency** (City of KCMO) | CoC SHP 133,891.00 | Provide transitional housing for 45 families or single individuals per year. | 12 units 45 beds | 12 units 48 beds | SL-1 |
| SL | Supportive Services **Swope Health Services** | CoC SHP 185,281.00 | Provide supportive services through Health Care Outreach to 150 homeless individuals per year. | 150/year | 150 | SL-1 |
| SL | Supportive Services **Swope Health Services** | CoC SHP 114,450.00 | Provide supportive services through Health Care Outreach to 1,400 homeless individuals per year. | 1,400/year | 1,400 | SL-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| SL | Supportive Service—Housing Placement Assistance **Metro Lutheran Ministry—Project Care** | CoC SHP 213,515.00 | Provide intensive supportive services for housing placement to individuals and/or families. | 206/year | 206 | SL-1 |
| SL | HMIS **Mid America Assistance Coalition** | CoC SHP 43,358.00 | Provide HMIS support to area CoC. | N/A | N/A | SL-1 |
| SL | Homeless Housing Counseling **GKC Housing Information Center** | CoC SHP 24,857.00 | Provide supportive services, housing counseling to 90 individuals per year. | 90/year | 90 | SL-1 |
| SL | Supportive Services Job Assistance **Helping Hand of Goodwill** (City of KCMO) | CoC SHP 36,131.00 | Provide supportive services, job search services to 800 individuals per year. | 800/year | 800 | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 1,024,104.00 | Provide permanent supportive housing for chronic, disabled homeless. | 100 units 170 beds | 100 units 170 beds | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 307,860.00 | Provide permanent supportive housing for chronic, disabled homeless. | 35 units 35 beds | 35 units 35 beds | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 532,860.00 | Provide permanent supportive housing for chronic, disabled homeless. | 50 units 96 beds | 50 units 96 beds | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 264,828.00 | Provide permanent supportive housing for chronic, disabled homeless. | 26 units 45 beds | 26 units 45 beds | SL-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 1,699,464.00 | Provide permanent supportive housing for chronic, disabled homeless. | 162 units 298 beds | 162 units 298 beds | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 262,800.00 | Provide permanent supportive housing for chronic, disabled homeless. | 25 units 44 beds | 25 units 44 beds | SL-1 |
| SL | Permanent Supportive Housing Rental Assistance Vouchers **Mo Dept. of Mental Health** | CoC S+C 83,088.00 | Provide permanent supportive housing for chronic, disabled homeless. | 9 units 12 beds | 9 units 12 beds | SL-1 |
| SL | Permanent Supportive Housing **Mo Dept. of Mental Health** | CoC S+C 183,240.00 | Provide permanent supportive housing for chronic, disabled homeless. | 21 units 21 beds | 21 units 21 beds | SL-1 |
| SL | Shelter + Care **KC Housing Authority** | CoC S+C 98,256.00 | Provide 12 rental subsidies for chronic disabled homeless. | 18 units 18 beds | 18 units 18 beds | SL-1 |
| SL | Shelter + Care **KC Housing Authority** | CoC S+C 106,488.00 | Provide supportive housing to mentally ill individuals. | 15 units 15 beds | 15 units 15 beds | SL-1 |
| SL | Shelter + Care **SPC KC Health Department** | 350,172.00 | Provide supportive housing to persons with HIV/Aids. | 38 units 45 beds | 38 units 45 beds | SL-1 |
| | **Other Objectives** | | | | | |

***Outcome/Objective Codes**

| | **Availability/Accessibility** | **Affordability** | **Sustainability** |
|---|---|---|---|
| **Decent Housing** | DH-1 | DH-2 | DH-3 |
| **Suitable Living Environment** | SL-1 | SL-2 | SL-3 |
| **Economic Opportunity** | EO-1 | EO-2 | EO-3 |

**Transition Table 2C**
**Summary of Specific Housing/Community Development Multi-Year Objectives**
**(Table 2A/2B Continuation Sheet)**
**2010 (Consolidated Plan Year 4 of 5)**

| Obj # | Specific Objectives | Sources of Funds | Performance Indicators | Expected Number 2007-2011 | Actual Number 2007-2010 | Outcome/ Objective* |
|---|---|---|---|---|---|---|
| | **Rental Housing** | | | | | |
| DH-2.7 | Multi Family Rental | HOME | Affordable housing units | 540 | 321 | DH-2 |
| | | | | | | |
| | **Owner Housing** | | | | | |
| DH-2.1<br>DH-2.2<br>DH-2.3<br>DH-2.4 | Single Family New Construction/ Purchase Rehabilitation | HOME | Housing units | 50 | 48 | DH-2 |
| DH-2.5 | Minor Home Repair Programs | CDBG | Housing units | 2,475 | 2,024 | DH-2 |
| DH-2.6 | KC Dream HOME Program | HOME | L/M homebuyers | 500 | 630 | DH-2 |
| | | | | | | |
| | **Community Development** | | | | | |
| SL-3.1 | Hickman Mills Community Services | CDBG | People | 13,000 | 12,279 | SL-3 |
| SL-3.2 | Community Gardens Program | CDBG | People | 1,000 | 2,072 | SL-3 |
| SL-3.3 | Systematic Code Enforcement | CDBG | Number of inspec-tions | 25,000 | 78,196 | SL-3 |
| SL-3.4 | Business Façade Rebate Program | CDBG | L/M area benefit | 40 | 0 | SL-3 |
| SL-3.5 | Vacant Building Receivership Pro-gram | CDBG | L/M area benefit | 250 cases/ 30 reoccu-pancies | 117 cases | SL-3 |
| SL-3.7 | Legal Aid Abandoned Housing Project | CDBG | L/M area benefit | 60 cases | 65 cases | SL-3 |
| | | | | | | |
| | **Public Facilities** | | | | | |
| EO-1.1 | Operation Breakthrough | CDBG | Children | 1,000 | 2,080 | EO-1 |
| EO-1.2 | Harvesters Food Distribution Facility | CDBG | Jobs | 25 | 25 | EO-1 |
| EO-1.3 | DeLaSalle Education Center | CDBG | Youth | 325 | 325 | EO-1 |
| DH-1.14 | Sheffield Place | CDBG | People | 35 | 65 | DH-1 |
| DH-1.15 | Rose Brooks | CDBG | People | 670 | 500 | DH-1 |
| DH-1.16 | reStart, Inc. | CDBG | People | 2,000 | 6,933 | DH-1 |
| EO-1.1 | Culinary Arts Training Facility | CDBG | Jobs | 20 | 0 | EO-1 |
| | | | | | | |
| | **Public Services** | | | | | |
| SL-1.1 | Senior Services at Mohart Center | CDBG | Elderly | 1,000 | 875 | SL-1 |
| SL-1.2 | Palestine Senior Activity Center | CDBG | Elderly | 1,125 | 38,665* | SL-1 |
| SL-1.3 | Family Conservancy | CDBG | People | 400 | Not funded | SL-1 |
| DH-1.17 | Housing Information Center | CDBG | People | 22,400 | 8,644 | DH-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| DH-1.17 | Housing Information Center | CDBG | Households | 1,600 | 2,326 | DH-1 |
| DH-1.8 | Guadalupe Center Housing Counseling | CDBG | People | 3,500 | 11,070 | DH-1 |
| DH-1.18 | Guadalupe Center Housing Counseling | CDBG | Households | 3,000 | 1,754 | DH-1 |
| EO-1.1 | Operation Breakthrough Childcare | CDBG | Children | 2,800 | 2,792 | EO-1 |
| EO-1.5 | United Inner City Services (St. Marks) Childcare | CDBG | Children | 625 | 305 | EO-1 |
| EO-1.6 | Guadalupe Center Child Care Services | CDBG | Children | 725 | 837 | EO-1 |
| EO-1.7 | KCMO Headstart | CDBG | Children | 575 | 204 | EO-1 |
| EO-1.8 | Operation Breakthrough Homeless Childcare | CDBG | Children | 250 | 1,348 | EO-1 |
| SL-1.12 | Mattie Rhodes/Northeast Youth Crime Prevention Program | CDBG | Youth | 1,750 | 2,604 | SL-1 |
| SL-1.14 | Boys and Girls Clubs of Greater Kansas City | CDBG | Youth | 250 | 606 | SL-1 |
| SL-1.14 | W.E.B. DuBois Learning Center | CDBG | Youth | 2,285 | 3,105 | SL-1 |
| SL-1.4 | Phoenix Family Housing Services | CDBG | Elderly and youth | 1,674 | 3,952 | SL-1 |
| DH-1.19 | HomeFree USA Housing Counseling | CDBG | People | 450 | 3,171 | DH-1 |
| EO-1.11 | YMCA Child Care Services | CDBG | Children | 456 | 96 | EO-1 |
| SL-3.1 | Community Assistance Council | CDBG | People | 3,200 | 13,043 | SL-3 |
| | | | | | | |
| | **Economic Development** | | | | | |
| EO-1.9 | Small Business Assistance Center at 18th and Vine | CDBG | Jobs/area benefit businesses (microenterprises) | 500 jobs/bus. | 220/286 | EO-1 |
| EO-1.10 | Small Business Assistance | CDBG | Jobs/area benefit businesses | 15 jobs/bus. | 22/9 | EO-1 |
| EO-1.12 | Hispanic Economic Development Corp. | CDBG | Jobs/area benefit businesses (microenterprises) | 12 | 10/10 | EO-1 |
| EO-1.13 | Swope Community Builders Business Incubator | CDBG | Jobs/area benefit businesses | 10 | 19 | EO-1 |
| EO-3.1 | Business Assistance | CDBG | Jobs/area benefit businesses | 30 jobs/bus. | 38/22 | EO-3 |
| | | | | | | |
| | **Neighborhood Revitalization/Other** | | | | | |
| EO-3.2 | NRSA Activities | CDBG, special purpose EDI grants & miscellaneous income | People, housing, businesses & jobs | 49,250 people/year, 140 rehabs, 300 new construction housing, 210 jobs | 1,882 people, 45 rehabs, 92 new construction units, 45 jobs | EO-3 |

***Outcome/Objective Codes**

| | Availability/Accessibility | Affordability | Sustainability |
|---|---|---|---|
| **Decent Housing** | DH-1 | DH-2 | DH-3 |
| **Suitable Living Environment** | SL-1 | SL-2 | SL-3 |
| **Economic Opportunity** | EO-1 | EO-2 | EO-3 |

**Table 3A**
**Summary of Specific Annual Objectives**
**2010 Action Plan Year**

| Obj # | Specific Objectives | Sources of Funds | Performance Indicators | Expected Number | Actual Number | Outcome/ Objective* |
|---|---|---|---|---|---|---|
| | **Rental Housing Objectives** | | | | | |
| DH-2.24 | Ivanhoe Single-Family Rental Rehabilitation | CDBG | Housing units | 15 | 0 | DH-2 |
| DH-2.26 | NHS Rental Rehab/East 42nd Street | HOME | Housing units | 12 | 0 | DH-2 |
| DH-2.27 | NHS Rental Rehab/Everheart Apts. | HOME | Housing units | 12 | 0 | DH-2 |
| DH-2.28 | NHS Rental Rehab/Squier Park Townhomes | HOME | Housing units | 16 | 0 | DH-2 |
| DH-2.32 | NNI Residences at Gracemore | HOME | Housing units | 28 | 0 | DH-2 |
| DH-2.31 | SCB 20th & Park Townhomes | CDBG | Housing units | 30 | 0 | DH-2 |
| | | | | | | |
| | **Owner Housing Objectives** | | | | | |
| DH-2.21 | Blue Hills Community Services Targeted Minor Home Repair | CDBG | Housing units | 35 | 42 | DH-2 |
| DH-2.23 | Neighborhood Housing Services Targeted Minor Home Repair | CDBG | Housing units | 28 | 43 | DH-2 |
| DH-2.19 | Ivanhoe Neighborhood Council Targeted Minor Home Repair | CDBG | Housing units | 30 | 26 | DH-2 |
| DH-2.17 | Northland Neighborhoods Targeted Minor Home Repair | CDBG | Housing units | 70 | 31 | DH-2 |
| DH-2.20 | Westside Housing Organization Targeted Minor Home Repair | CDBG | Housing units | 20 | 29 | DH-2 |
| DH-2.29 | SCB Mt. Cleveland Estates New Const. | CDBG | Housing units | 5 | 0 | DH-2 |
| DH-2.30 | SCB Woodland Highlands New Const. | CDBG | Housing units | 6 | 0 | DH-2 |
| DH-2.28 | Housing Development Gap | CDBG | Housing units | 5 | 0 | DH-2 |
| DH-2.5 | Emergency Home Repair Program | CDBG | Housing units | 181 | 146 | DH-2 |
| DH-2.6 | KC Dream Home Program | HOME | L/M homebuyers | 85 | 63 | DH-2 |
| | **Homeless Objectives** | | | | | |
| SL-1.6 | Benilde Hall | ESG | Homeless | 225 | 257 | SL-1 |
| DH-1.2 | Community Assistance Council | ESG | Homeless | 100 | 18 | DH-1 |
| SL-1.19 | Community LINC—Transitional Hsg. | CDBG | Housing units | 24 | 0 | SL-1 |
| SL-1.20 | Guadalupe Center | ESG | Homeless | 150 | 91 | DH-1 |
| DH-1.4 | Housing Information Center | ESG | Homeless | 125 | 44 | DH-1 |
| SL-1.7 | NewHouse | ESG | Homeless | 600 | 723 | SL-1 |

| | | | | | | |
|---|---|---|---|---|---|---|
| | **Homeless Objectives (cont'd)** | | | | | |
| SL-1.17 | reStart Homeless Drop-In Center | CDBG | Homeless | 1,300 | 3,878 | DH-1 |
| SL-1.18 | reStart Homeless Shelter | ESG | Homeless | 1,810 | 3,685 | SL-1 |
| SL-1.9 | Rose Brooks Center, Inc. | ESG | Homeless | 210 | 510 | SL-1 |
| SL-1.14 | The Salvation Army | ESG | Homeless | 50 | 75 | SL-1 |
| SL-1.10 | Sheffield Place | ESG | Homeless | 40 | 34 | SL-1 |
| SL-1.11 | Synergy Services | ESG | Homeless | 400 | 623 | SL-1 |
| | | | | | | |
| | **Special Needs Objectives** | | | | | |
| DH-1.12 | Save, Inc. | HOPWA | Persons with HIV/Aids | 317 | 228 | DH-1 |
| DH-1.13 | reStart, Inc. | HOPWA | Persons with HIV/Aids | 45 | 72 | DH-1 |
| | | | | | | |
| | **Community Development Objectives** | | | | | |
| SL-3.3 | Systematic Code Enforcement | CDBG | L/M area benefit | 15,000 | 63,012 | SL-3 |
| SL-3.4 | Façade Rebate Program | CDBG | L/M area benefit | 40 | 0 | SL-3 |
| SL-3.5 | Vacant Building Receivership Program | CDBG | L/M area benefit | 250 cases/ 30 reoccupancies | 117 cases | SL-3 |
| SL-3.7 | Legal Aid Abandoned Housing | CDBG | L/M area benefit | 60 cases | 65 | SL-3 |
| | | | | | | |
| | **Infrastructure Objectives** | | | | | |
| | | | | | | |
| | **Public Facilities Objectives** | | | | | |
| SL-1.12 | Niles Home for Children | CDBG | Children | 220 | 17,473* | SL-1 |
| SL-1.13 | Palestine Senior Activity Center | CDBG | Seniors | 225 | 38,155* | SL-1 |
| SL-1.15 | Palestine Neighborhood Resource Center | CDBG | Neighborhood facility | 1 | 0 | SL-1 |
| SL-1.16 | Palestine Neighborhood Learning Center | CDBG | Neighborhood facility | 1 | 0 | SL-1 |
| | | | | | | |

**These reflect the number of services provided; there may be duplication of clients.*

| | | | | | | |
|---|---|---|---|---|---|---|
| | **Public Services Objectives** | | | | | |
| SL-1.14 | Boys & Girls Clubs of Greater KC | CDBG | Youth | 250 | 606 | SL-1 |
| EO-1.6 | Guadalupe Center Child Care Services | CDBG | Children | 145 | 128 | EO-1 |
| DH-1.18 | Guadalupe Center Housing Counseling | CDBG | Households | 3,000 | 1,754 | DH-1 |
| DH-1.17 | Housing Information Center | CDBG | Households | 1,600 | 2,326 | DH-1 |
| EO-1.1 | Operation Breakthrough Child Care | CDBG | Children | 800 | 712 | EO-1 |
| EO-1.5 | United Inner City Services (St. Marks) | CDBG | Children | 225 | 175 | EO-1 |
| SL-1.14 | W.E.B. DuBois Learning Center | CDBG | Youth | 2,285 | 3,105 | SL-1 |
| SL-1.14 | Mattie Rhodes | CDBG | Youth | 1,200 | 2,474 | SL-1 |
| SL-3.1 | Community Assistance Council | CDBG | People | 3,200 | 9,465 | SL-3 |
| | | | | | | |
| | **Economic Development Objectives** | | | | | |
| EO-1.9 | Small Business Assistance Center at 18th and Vine | CDBG | Microenterprises | 25 | 59 | EO-1 |
| EO-1.12 | Hispanic Economic Development Corp. | CDBG | Microenterprises | 8 | 6 | EO-1 |
| EO-1.13 | Swope Community Builders Business Incubator | CDBG | Jobs/area benefit businesses | 25 | 19 | EO-1 |

***Outcome/Objective Codes**

| | **Availability/Accessibility** | **Affordability** | **Sustainability** |
|---|---|---|---|
| **Decent Housing** | DH-1 | DH-2 | DH-3 |
| **Suitable Living Environment** | SL-1 | SL-2 | SL-3 |
| **Economic Opportunity** | EO-1 | EO-2 | EO-3 |

**Table 3B**

| Grantee Name: City of Kansas City, Missouri<br><br>Program Year: 2010 | Expected Annual Number of Units To Be Completed | Actual Annual Number of Units Completed | *Resources used during the period* | | | |
|---|---|---|---|---|---|---|
| | | | CDBG | HOME | ESG | HOPWA |
| **BENEFICIARY GOALS (Sec. 215 Only)** | | | | | | |
| Homeless households | 12 | 0 | ☒ | ☐ | ☐ | ☐ |
| Non-homeless households | 573 | 685 | ☒ | ☒ | ☐ | ☐ |
| Special needs households | 362 | 300 | ☐ | ☐ | ☐ | ☒ |
| **Total Sec. 215 Beneficiaries*** | 947 | 985 | ☒ | ☒ | ☐ | ☒ |
| **RENTAL GOALS (Sec. 215 Only)** | | | | | | |
| Acquisition of existing units | 0 | 0 | ☐ | ☐ | | ☐ |
| Production of new units | 58 | 139 | ☒ | ☒ | | ☐ |
| Rehabilitation of existing units | 67 | 134 | ☒ | ☒ | ☐ | ☐ |
| Rental Assistance | 362 | 300 | ☐ | ☐ | | ☒ |
| **Total Sec. 215 Affordable Rental** | 487 | 573 | ☒ | ☒ | ☐ | ☒ |
| **HOME OWNER GOALS (Sec. 215 Only)** | | | | | | |
| Acquisition of existing units | 0 | 0 | ☐ | ☐ | | |
| Production of new units | 11 | 0 | ☒ | ☒ | | |
| Rehabilitation of existing units | 364 | 349 | ☒ | ☒ | | |
| Homebuyer Assistance | 85 | 63 | ☐ | ☒ | | ☐ |
| **Total Sec. 215 Affordable Owner** | 460 | 412 | ☒ | ☒ | ☐ | ☐ |
| **COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)** | | | | | | |
| Acquisition of existing units | 0 | 0 | ☐ | ☐ | | ☐ |
| Production of new units | 69 | 139 | ☒ | ☒ | | ☐ |
| Rehabilitation of existing units | 431 | 483 | ☒ | ☒ | ☐ | ☐ |
| Rental Assistance | 362 | 300 | ☐ | ☐ | | ☒ |
| Homebuyer Assistance | 85 | 63 | ☐ | ☒ | | ☐ |
| **Combined Total Sec. 215 Goals*** | 947 | 985 | ☒ | ☒ | ☐ | ☐ |

| **OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)** | | | | | | |
|---|---|---|---|---|---|---|
| Annual Rental Housing Goal | 487 | 573 | ☒ | ☒ | ☐ | ☒ |
| Annual Owner Housing Goal | 460 | 412 | ☒ | ☒ | ☐ | ☐ |
| **Total Overall Housing Goal** | 947 | 985 | ☒ | ☒ | ☐ | ☒ |

## VII. **Other Attachments and Narratives**

HOPWA CAPER—Measuring Performance Outcomes
Owner-Occupied Housing Repair Activity Maps
HOME Match Report
First-Time Buyer Completions Map
Section 3 New Hires by Zip Code & Project Report
Annual Section 3 Reports
CDBG Financial Summary Report
Citizen Comments

# Housing Opportunities for Persons with AIDS (HOPWA) Program

# Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

**OMB Number 2506-0133 (Expiration Date: 08/31/2011)**

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

**The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving $25,000+ in federal funds.**

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**Central Contractor Registration (CCR):** ***This is a new reporting requirement effective October 1, 2009.*** The primary registrant database for the U.S. Federal Government; CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA. Per ARRA (American Recovery and Reinvestment Act) and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number and be registered with the CCR (Central Contractor Registration).

**Continued Use Periods**. Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

**Definitions: Facility-Based Housing Assistance:** All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of $300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

**Housing Assistance Total:** The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Output:** The number of units of housing or households that receive HOPWA housing assistance during the operating year.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care by 2011.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for nonprofits at 24 CFR 84.24.

**Short-Term Rent, Mortgage and Utility Payments** (STRMU)**:** Subsidy or payments subject to the 21-week limited time period to prevent the homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

**Stewardship Units:** Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance:** (TBRA): An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

**Total by Type of Housing Assistance/Services:** The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year.

# Housing Opportunities for Persons with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report— Measuring Performance Outcomes

**OMB Number 2506-0133 (Expiration Date: 08/31/2011)**

## Part 1: Grantee Executive Summary

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of $25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other foams of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

### 1. Grantee Information

| | | | | |
|---|---|---|---|---|
| **HUD Grant Number**<br>MOH10F-001 | | **Operating Year for this report**<br>***From (mm/dd/yy)*** 06/01/10 ***To (mm/dd/yy)*** 05/31/11 | | |
| **Grantee Name**<br>City of Kansas City, Missouri | | | | |
| **Business Address** | 414 East 12th Street | | | |
| **City, County, State, Zip** | Kansas City | Jackson | MO | 64106 |
| **Employer Identification Number (EIN) or Tax Identification Number (TIN)** | 44-60000201 | | | |
| **DUN & Bradstreet Number (DUNs):** | 040710712 | **Central Contractor Registration (CCR): Is the grantee's CCR status currently active? (See pg 2 of instructions)**<br>☒ **Yes** ☐ **No** | | |
| ***Congressional District of Business Address** | 5th District | | | |
| ***Congressional District of Primary Service Area(s)** | MO-4 MO-5 MO-6 KS-2 KS-3 | | | |
| ***Zip Code(s) of Primary Service Area(s)** | 64127 64068 64111 64128 64110 | | | |
| **City(ies) and County(ies) of Primary Service Area(s)** | Kansas City Independence Olathe Liberty Lenexa | Jackson Johnson Clay Wyandotte | | |
| **Organization's Website Address**<br>www.kcmo.org | **Does your organization maintain a waiting list?** ☐ Yes ☒ No<br>**If yes, explain in the narrative section how this list is administered.** | | | |
| **Have you prepared any evaluation report?**<br>*If so, please indicate its location on an Internet site (url) or attach copy.*<br>no | | | | |

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

| **Project Sponsor Agency Name**<br><br>SAVE, Inc. | | | **Parent Company Name, *if applicable***<br><br>n/a | |
|---|---|---|---|---|
| **Name and Title of Contact at Project Sponsor Agency** | Zori Rodriguez, CEO | | | |
| **Email Address** | zrodriguez@saveinckc.org | | | |
| **Business Address** | P.O. Box 45301 | | | |
| **City, County, State, Zip,** | Kansas City | Jackson | Missouri | 64171 |
| **Phone Number *(with area code)*** | 816-531-8340 | | **Fax Number (with area code)**<br><br>816-531-4306 | |
| **Employer Identification Number (EIN) or Tax Identification Number (TIN)** | 43-1465268 | | | |
| **DUN & Bradstreet Number (DUNs):** | 83-504-4306 | | **Central Contractor Registration (CCR):**<br>**Is the sponsor's CCR status currently active?**<br>**(See pg 2 of instructions)**<br><br>☐ **Yes** ☐ **No** | |
| **Congressional District of Business Location of Sponsor** | MO-5 | | | |
| **Congressional District(s) of Primary Service Area(s)** | MO-4,5,6 KS-2,3 | | | |
| **Zip Code(s) of Primary Service Area(s)** | 64127, 64128, 64110, 64111, 64068 | | | |
| **City(ies) and County(ies) of Primary Service Area(s)** | Kansas City | | Jackson | |
| **Total HOPWA contract amount for this Organization** | 1,044,951 | | | |
| **Organization's Website Address**<br><br>SAVEInckc.org | | | **Does your organization maintain a waiting list?** ☐ Yes ☒ No<br><br>**If yes, explain in the narrative section how this list is administered.** | |
| **Is the sponsor a nonprofit organization?** ☒ **Yes** ☐ **No**<br><br>*Please check if yes and a faith-based organization.* ☐<br>*Please check if yes and a grassroots organization.* ☒ | | | | |

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

| **Project Sponsor Agency Name**<br><br>reStart, Inc. | | | **Parent Company Name, *if applicable***<br><br>n/a | |
|---|---|---|---|---|
| **Name and Title of Contact at Project Sponsor Agency** | Evelyn E. Craig, Executive Director | | | |
| **Email Address** | ecraig@restartinc.org | | | |
| **Business Address** | 908 East 9th Street | | | |
| **City, County, State, Zip,** | Kansas City | Jackson | Missouri | 64106 |
| **Phone Number *(with area code)*** | 816-472-5664 | | **Fax Number (with area code)**<br><br>816-472-6127 | |
| **Employer Identification Number (EIN) or Tax Identification Number (TIN)** | 43-1349378 | | | |
| **DUN & Bradstreet Number (DUNs):** | 785487844 | | **Central Contractor Registration (CCR):**<br>**Is the sponsor's CCR status currently active?**<br>**(See pg 2 of instructions)**<br><br>☐ **Yes** ☐ **No** | |
| **Congressional District of Business Location of Sponsor** | MO-5 | | | |
| **Congressional District(s) of Primary Service Area(s)** | MO-4,5,6 KS-2,3 | | | |
| **Zip Code(s) of Primary Service Area(s)** | 64127, 64128, 64110, 64111, 64068 | | | |
| **City(ies) and County(ies) of Primary Service Area(s)** | Kansas City | | Jackson | |
| **Total HOPWA contract amount for this Organization** | 50,000 | | | |
| **Organization's Website Address**<br><br>restartinc.org | | | **Does your organization maintain a waiting list?** ☐ Yes ☒ No<br><br>**If yes, explain in the narrative section how this list is administered.** | |
| **Is the sponsor a nonprofit organization?** ☒ **Yes** ☐ **No**<br><br>*Please check if yes and a faith-based organization.* ☒<br>*Please check if yes and a grassroots organization.* ☐ | | | | |

**A. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website.

The HOPWA funds are administered in the Kansas City, Missouri, eligible metropolitan statistical area (EMSA) by the Kansas City, Missouri, Health Department. The Health Department is the designated grantee for a 15-county metropolitan area.

The counties include nine in Missouri (Bates, Caldwell, Cass, Clay, Clinton, Jackson, Lafayette, Platte, and Ray) and six in Kansas, (Franklin, Johnson, Leavenworth, Linn, Miami and Wyandotte). The Kansas City, Missouri, Health Department contracts with two Project Sponsors to deliver the following HOPWA services:

1. SAVE, Inc. provides supportive services, TBRA, STRMU, permanent housing placement assistance, and operating costs for both short-term/transitional and permanent housing facilities and receives administrative costs.

2. reStart, Inc. provides operating costs for a short-term/transitional facility and receives administrative costs.

During this program year, SAVE, Inc. served 228 clients and reStart was able to serve 72 HOPWA clients with housing assistance. All clients received case management services. Both agencies provide housing assistance through coordination between our housing and employment specialist and the case managers. Together, they assisted clients with housing and employment services. We currently do not have a waiting list for clients.

***reStart, Inc.*** *is an interfaith ministry with homeless persons committed to providing shelter and supportive services to homeless men, women, youth, and families with the goal of helping them move toward independence and self-sufficiency and ending homelessness in our community. reStart began as the outgrowth of an overnight emergency shelter established in 1981 at Grand Avenue Temple United Methodist church by an interfaith group of concerned clergy and laypeople from the Kansas City area. A nonprofit corporation for the purpose of serving homeless persons was established in 1984. Today, reStart provides the full continuum of care for homeless individuals, families with children and unaccompanied youth, providing approximately 100,000 bed nights of emergency shelter, transitional shelter, and permanent housing to 9,000 homeless guests annually. Persons with HIV/AIDS have been among reStart's guests since the beginning, and we have been recognized by the AIDS Council of Greater Kansas City for our commitment to serving homeless persons and families with HIV/AIDS.*

*Since 2005, reStart, Inc. has consistently received funding to provide transitional housing services to clients with HIV/AIDS through a Housing Opportunities for Persons with AIDS (HOPWA) contract with the Kansas City, Missouri, Health Department. During this time, our HOPWA program has housed more than 200 single adults and families. In 2010, reStart provided services to 55 single adults and 4 families afflicted with HIV/AIDS. reStart's case managers and program staff work closely with Ryan White case managers and community agencies such as the Good Samaritan Project, Kansas City Free Health Clinic, Truman Medical Center, and SAVE, Inc. to meet our clients' needs for medical care and other specialized services. Since 2005, reStart has received funding from the AIDS Service Foundation, DIFFA, and the Heart of America Community AIDS Partnership to provide street outreach and on-site HIV prevention, education, and testing for homeless youth at high risk of contracting HIV/AIDS.*

*reStart serves homeless persons free of charge regardless of race, religion, gender identity, age, ethnicity, sexual orientation, mental or physical disability, or HIV/AIDS status. We are committed to reducing cultural barriers through our Cultural Competency Plan that includes a shared value statement, written policies, staff education and training, board monitoring, data collection, and evaluation procedures. Earlier this year, reStart was awarded a grant from the REACH Health Care Foundation that will enable our Board members and program and administrative staff to participate in organizational assessments for cultural competency to identify areas of strength and areas for improvement. We are specifically seeking assistance in meeting the needs of the growing number of LGBT clients we serve.*

*Since reStart staff members began attending local Ryan White case management meetings in mid-2009, the number of HIV+ clients in our transitional programs has dramatically increased. We served 19 singles in our HOPWA program in*

*2008, 36 singles in 2009, and 55 singles and 4 families in 2010. Almost half (45%) of the single adults served in our Transitional Living program in 2010 were HIV+; and, during the first six months of 2011, we have admitted an average of 22 clients with HIV/AIDS each month into our single adult transitional living program (out of 37 available beds). Our HOPWA funding only covers 12 individuals and 4 families. Some of these additional HIV+ clients can be accommodated through limited funding from other sources, such as the Missouri Housing Trust fund or the Forensic funding from the Jackson County Mental Health Levy fund. reStart urgently needs additional funding to cover the cost of providing shelter, meals and supportive services for the increasing number of homeless adults and families living with HIV/AIDS entering our programs.*

*Clients in reStart's HOPWA transitional living program only need to be homeless and HIV+ to qualify for 21 weeks of shelter, meals and supportive services. To be admitted to the program, clients must have 1) a letter of referral from a Ryan White case manager, 2) medical verification of their HIV+ status from a doctor or nurse practitioner, and 3) a detailed service plan for the 21 weeks they are eligible to reside at reStart. They must also agree to continue participating in Ryan White case management and to abide by all reStart resident guidelines and rules.*

*The goals of reStart's transitional living programs for individuals and families are:*

- *To provide a stable living environment for homeless individuals and families living with HIV/AIDS, meeting their needs for food, shelter and medical care, and helping them access resources for independent living.*
- *To help program participants achieve better health and greater self-determination through increased medication compliance, decreased substance abuse, health screenings, benefits, advocacy, mental health treatment, etc.*
- *To help program participants increase their skills and/or income and achieve permanent housing.*

*In order to reach these goals, reStart case managers and program staff provide prevention and education workshops, bimonthly HIV and STD testing, condoms, and educational literature; access to general health education; and transportation assistance for clients who need help accessing medical care and Ryan White case management. Clients are also encouraged to take advantage of wraparound supportive services promoting stability and independence, including housing and employment assistance; job skills, life skills, and financial literacy classes; mental health services; substance abuse counseling; health, nutrition, and wellness education; health benefits; recreation; arts therapy and arts activities; and children's programming—all provided on-site. Our research shows that this comprehensive approach improves successful outcomes for our clients. Between 2009 and 2010, reStart increased the percentage of families exiting our transitional living programs to permanent housing from 59% to 65% and single adults exiting our transitional living programs to permanent housing from 41% to 61%.*

*As an active member of the Homeless Services Coalition of Greater Kansas City and the Kansas City/Jackson County Continuum of Care, reStart works in partnership with private and governmental agencies to connect our HIV+ clients with a variety of essential services. reStart program staff members attend local Ryan White case management meetings to facilitate referrals and promote continuity of care for our clients, contacting all clients within 24 hours of receiving a referral. As part of our Healthy Starts program, the Kansas City, Missouri, Health Department provides HIV and STD testing twice a month at reStart and HIV+ clients are eligible to participate in biweekly health workshops and screenings offered by a variety of community health care providers, including Swope Health Services; Truman Medical Center; MAST (Metropolitan Ambulance Services Trust); HealthCare USA; Mid-America Immunization Coalition/Safe Kids Metro KC; American Lung Association; Kansas City, Missouri, Health Department; University of Missouri-Kansas City Dental School; Dr. Yoder, optometrist & One Sight Foundation; and Legal Aid of Western Missouri. Staff members from the Good Samaritan Project provide training to reStart program and case management staff members on issues of treatment and support for persons who are HIV-infected and their families and/or partners. Medical staff members from the Kansas City Free Health Clinic provide prevention classes for youth in our Youth Emergency Shelter as well as free rapid testing and counseling for HIV and STDs for all reStart clients. SAVE, Inc. also refers clients to our transitional living program and we work with the SAVE, Inc. staff to find permanent housing for clients leaving reStart.*

***SAVE, Inc.*** *was founded in 1986 in response to the HIV/AIDS crisis when two businessmen went to their local priest and told him that another neighbor's son was dying of this disease and they wanted to help. From that initial act of compassion, a home was purchased to serve as Missouri's first AIDS hospice. Today, "through comprehensive housing solutions, SAVE, Inc. empowers those living with, or at risk for, HIV/AIDS to live healthy, stable lives with personal dignity." (Mission Statement)*

*SAVE, Inc. offers a continuum of housing services from emergency assistance (to prevent homelessness); Transitional Assistance (for clients to gain access to permanent housing); an eight bed transitional housing facility; and permanent housing (through both site-specific subsidized housing and scattered-site voucher programs). SAVE, Inc. also sees housing as a prevention tool to stop the spread of HIV/AIDS, by managing scattered-site vouchers for those who are homeless and disabled. SAVE, Inc. has extensive experience managing federal housing programs that are not limited solely to HOPWA funds, but Supportive Housing Program funds and Shelter Plus Care, as well.*

*SAVE, Inc. serves the entire 15-county Kansas City region EMSA with its housing programs. Any HIV-infected person who is living in any of these 15 counties may receive any one or any combination of housing activities as assessed and authorized by their Ryan White case manager.*

*The contact person for all housing programs is Zori Rodríguez, CEO. Housing options include Emergency Assistance; Transitional Housing Assistance; Stepping Stones Transitional Housing; Transitional Housing for Addiction Recovery; Rental Assistance Due to Medical Crisis; HOPWA Interim Rental Assistance; Supportive Housing Program Rental Assistance; Shelter Plus Care Rental Assistance; SAVE, Inc. owned and managed section 8-11 housing; SAVE Home, 24-hour care facility; and household goods.*

**B. Annual Performance under the Action Plan**

**Provide a narrative addressing each of the following four items:**

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

*reStart provided short-term housing for 72 unduplicated clients during this program year. While 17 clients still remain, 37 have moved on to a permanent housing placemen;, four have moved on to another transitional living shelter under the Shelter Plus Program; two moved into a substance abuse or detox program; one client went to jail, prison, or a detention center; one client went on to a psychiatric hospital or facility; and one went on to an emergency shelter or motel. We had four clients with unknown destinations at exit. We also provided shelter to four families during this program year. Our contracted funding source provided short-term housing for up to 21 weeks for HOPWA clients. With this, we provided bed nights for clients enrolled in our program.*

*During this reporting period, 228 persons living with HIV/AIDS, and a total of 302 beneficiaries were served with HOPWA funds. This is the significant accomplishment for this reporting period, especially with an FTE of 6.5 staff members. The challenge is to ensure funding continues to support these households with housing needs.*

*See page 8 for funding for each eligible activity. Services were based on where the client lives: 78% of these families live in Jackson County, MO; 10.5% live in Johnson County, KS; and 10% live in Wyandotte County, KS. Currently, SAVE, Inc. serves less than 1% of clients who live outside these three counties.*

**2. Outcomes Assessed.** Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80% of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

***reStart, Inc.—****Our program goals are to ensure our clients obtain permanent housing and increased wages and accomplish at least one self-actualized goal. We have increased our client's ability to obtain housing through the federal HPRP Rapid-Re-housing Program. During this reporting year, 36 (74% out of 50) HOPWA clients obtained permanent housing.*

***SAVE, Inc.—****100% of the participants receiving services have a housing plan as assessed and implemented through their case manager. Additionally, 100% have had primary care visits, access to medical insurance/assistance, and assessment for income benefits for which the participant qualifies. This is assessed and a treatment plan developed with the medical care provider and case manager.*

**3. Coordination**. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

*We currently have and maintain several community collaborations. reStart and SAVE have two Memorandums of Understanding in place. One MOU is to address the housing need between the agencies who serve the same populations. The second is to provide job readiness and life skills to our HOPWA population on-site at reStart, Inc. Other collaborations include the following: The Full Employment Council (FEC) made reStart a statewide network access point (SNAP) location that provides computers, allowing clients the opportunity to seek employment and housing and apply for benefits. The United Services Community Action Agency (USCAA) provides on-site job readiness training and life skills classes to adults and children. The Urban Financial Group educates families of underserved communities about budgeting, financial stability, and economic development. The Parents-As-Teacher's program provides families services by a certified parent educator. The Helping Art Liberate Options (HALO) Foundation provides weekly creative arts groups to children in our programs. The Hollis Camp provides a one-week summer camp program for children. We receive referrals to our programs from Truman Medical Center Behavioral Health (TMCBH) and refer clients needing psychiatric and medication services. We receive and send referrals to Rediscover, an agency providing inpatient and outpatient substance abuse treatment to adults and parents. We also refer clients with substance abuse issues needing more intensive day treatment and inpatient services to the Imani House which is affiliated with Swope Health Services. We work with the KC Free Health Clinic and the Good Samaritan Project with clients afflicted with HIV/AIDS. We work with the Veteran's Administrations (VA) to access medical and mental health services for homeless veterans. We refer victims of domestic violence to Rose Brooks Center and Hope House, two domestic violence shelters in the Kansas City area, and plan to develop further collaboration projects to provide staff and clients at reStart with education on the issues of domestic violence. We plan to develop a partnership with the national Alliance on Mental Illness (NAMI) in Kansas City to help us develop a consumer advocacy group at reStart and to provide trainings and education to reStart staff and consumers on various mental health issues.*

*SAVE, Inc. is a member of the Kansas City Homeless Services Coalition, which coordinates care for the homeless throughout Kansas City. This is an opportunity for SAVE, Inc. to stay abreast of all funding options and programs for which the clientele we serve may also be eligible. Through the continuum of care process, Shelter Plus Care, and Supportive Housing Program funds, SAVE, Inc. is able to expand services to those living with HIV/AIDS and serve an additional 170 persons.*

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

*Technical assistance is always beneficial. Our Director of Programs and Services attends technical assistance conferences and meetings as offered. Our reStart team will send two employees to train in July, 2011, on the new SCOUT database for information sharing for clients with HIV/AIDS. reStart was selected to receive Cultural Competency Technical Assistance. With the specialized training, we have addressed concerns and challenges our clients in the LBGT community face in society. We have developed internal guidelines and policies of inclusion for the population.*

*Continued training on reporting needs for grant requirements and a database system that is able to do this. Also, it would be beneficial to have training on the interaction of HOPWA funds and Hearth Act requirements. Both of these trainings would enable SAVE, Inc. to be more responsive to the changing information requirements necessary to implement these programs.*

## C. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected. A barrier to providing efficient reporting of service delivery is the lack of a database that tracks and counts the various HOPWA services that a client may utilize. For example, a person may use STRMU and then Tenant-Based Rental Assistance.

***reStart, Inc.—****Clients living in our short-term housing program may need housing resources well after the 21 weeks are expended. reStart, Inc. is currently exploring shelter plus voucher options to expedite the placing of HOPWA clients in permanent housing prior to the end of their 21-week stay.*

*We may receive clients within our HOPWA programs with serious felony convictions, which makes placement harder in subsidized housing programs. Some clients who were approved and obtained shelter plus housing may have violated the terms and agreements, which limits their options for housing placement at the end of their 21-week stay.*

***SAVE, Inc.—****A barrier to providing efficient reporting of service delivery is the lack of a database that tracks and counts the various HOPWA services that a client may utilize. For example, a person may use STRMU and then Tenant-Based Rental Assistance. There is not sufficient support in the database to count and adjust for duplication of services in order to generate the APR/CAPER.*

| | | | |
|---|---|---|---|
| ☒ HOPWA/HUD Regulations | ☐ Planning | ☒ Housing Availability | ☐ Rent Determination and Fair Market Rents |
| ☐ Discrimination/Confidentiality | ☐ Multiple Diagnoses | ☐ Eligibility | ☐ Technical Assistance or Training |
| ☐ Supportive Services | ☐ Credit History | ☐ Rental History | ☒ Criminal Justice History |
| ☐ Housing Affordability | ☐ Other, please explain further | | |

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

*There appears to be a trend that more people who are poor are becoming infected. A review of the zip codes in the TGA with new HIV infections this past year with those zip codes with no reported HIV infection showed a significant difference in annual median income. In those zip codes with a report of a new HIV infection last year the annual median income was $41,648.00. In those zip codes without a reported case of HIV infection last year, the annual median income was $56,254.00. This is a difference of $14,606.00. This reduced income could create more need for subsidized housing. We are also seeing a second trend of people aging with the disease and a greater number of infections among men 45 and over. Men in this age range are leveling off in their earnings and moving toward retirement with fixed incomes, thus creating the potential need for more subsidized housing for this age range. A third trend is that there is a rise in infection rates in young men ages 13-24. As these men move into their prime earning years, decisions regarding career development must be weighed against availability of care and the cost of care.*

*Additional information from the metro area database system (SCOUT) show there were 234 new case management enrollments in calendar year 2010. 46 had no income information. 188 have reported income as follows:*

| | |
|---|---|
| *64 (34%)* | *zero income* |
| *43 (23%)* | *income up to 100% of poverty (single person $907.50/month)* |
| *55 (29%)* | *income between 100 and 200% poverty (single person $1,815.00/month)* |
| *24 (13%)* | *income between 200 and 300% poverty (single person $2,722.50/month)* |
| *2 (1%)* | *income over 300% poverty* |

*Of the new enrollees, 57% are living in poverty, as defined by the federal government. There were 222 new cases of HIV infection reported in 2010.*

***SAVE, Inc.—****Short Term Rent, Mortgage and Utility Assistance payments here in the HOPWA EMSA have been set up to be one-time assistance for a client. This was done to give better access to the large number of clients needing STRMU assistance and not to continually assist a few. SAVE, Inc. budgeted that 125 people would use this service, but only 49 were served. It is theorized that only having one-time assistance has reduced the numbers served. The grantee and the program administrator plan to review this administrative decision and how it affects requests for services.*

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

***reStart, Inc.—****ICF International carries out evaluations of government programs using a combination of quantitative and qualitative techniques. Our evaluators do the following:*

- *Assess the effectiveness of a program in terms of outcomes.*
- *Assess the efficiency of the processes by which a program achieves its outcomes.*
- *Measure performance across time.*
- *Determine the options available to implement a program.*
- *Identify and appraise potential improvements to existing programs.*

*In the report, ICF International determined that the HOPWA program, as intended, predominantly serves extremely low-income and very low-income persons living with HIV/AIDS, including many people facing additional life barriers. Our findings also indicate that program flexibility helps meet clients' housing needs and preferences. Overall, HOPWA appears to enhance clients' housing stability, and clients report a high level of satisfaction with the housing that they receive.*

***SAVE, Inc.—****The agency conducted a quality management improvement project centering on the STRMU emergency assistance program guidelines and eligibility criteria, which resulted in a revision/clarification of guidelines.*

## D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

### 1. Assessment of Unmet Needs for HOPWA-eligible Households

| | |
|---|---|
| 1. Total number of households that have unmet housing needs | = 700 |
| **From Item 1, identify the number of households with unmet housing needs by type of housing assistance** | |
| a. Tenant-Based Rental Assistance (TBRA) | = 266 |
| b. Short-Term Rent, Mortgage and Utility payments (STRMU) | = 161 |
| c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities | = 273 |

### 2. Recommended Data Sources for Assessing Unmet Needs (check all sources used)

| | |
|---|---|
| **X** | **= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives** |
| | = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care |
| | = Data from client information provided in Homeless Management Information Systems (HMIS) |
| | = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need |
| | = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted |
| | = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing |
| | = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data |

## End of HOPWA CAPER PART 1

## PART 2: Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

| [1] Sources of Leveraging | | Total Amount of Leveraged Dollars (for this operating year) | |
|---|---|---|---|
| | | [2] Housing Assistance | [3] Supportive Services and other non-direct housing costs |
| 1. | Program Income | = 0 | = 0 |
| 2. | Federal government (please specify) | = 0 | = 0 |
| | HUD Supportive Housing transitional Living Program | = 0 | = 226,000 |
| | | = 0 | = 0 |
| | | = 0 | = 0 |
| 3. | State government (please specify) | = 0 | = 0 |
| | Missouri Housing Trust fund | = 70,000 | = 0 |
| | | = 0 | = 0 |
| | | = 0 | = 0 |
| 4. | Local government (please specify) | = 0 | = 0 |
| | Jackson County Mental Health Fund | = 0 | = 18,600 |
| | | = 0 | = 0 |
| | | = 0 | = 0 |
| 5. | Foundations and other private cash resources (please specify) | = 0 | = 0 |
| | | = 0 | = 0 |
| | | = 0 | = 0 |
| | | = 0 | = 0 |
| 6. | In-kind Resources | = 0 | = 0 |
| 7. | Resident rent payments in Rental, Facilities, and Leased Units | = 0 | = 0 |
| 8. | Grantee/project sponsor (Agency) cash | = 0 | = 0 |
| 9. | **TOTAL (Sum of 1-7)** | = $70,000 | = $244,600 |

**End of HOPWA CAPER PART 2**

## PART 3: Accomplishment Data—Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

### 1. HOPWA Performance Planned Goal and Actual Outputs

| | HOPWA Performance Planned Goal and Actual | Output Households | | | | Funding | |
|---|---|---|---|---|---|---|---|
| | | HOPWA Assistance | | Non-HOPWA | | | |
| | | a. | b. | c. | d. | e. | f. |
| | | Goal | Actual | Goal | Actual | HOPWA Budget | HOPWA Actual |
| | **Housing Subsidy Assistance** | **Output Households** | | | | | |
| 1. | Tenant-Based Rental Assistance | 90 | 99 | 0 | 0 | 417,314 | 482,081 |
| 2a. | Households in permanent housing facilities that receive operating subsidies/leased units | 75 | 92 | 0 | 0 | 207,068 | 236,738 |
| 2b. | Households in transitional/short-term housing facilities that receive operating subsidies/leased units | 0 | 0 | 0 | 0 | 0 | |
| 3a. | Households in permanent housing facilities developed with capital funds and placed in service during the program year | 0 | 0 | 0 | 0 | 0 | 0 |
| 3b. | Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year | 35 | 72 | 10 | 10 | 50,000 | 50,000 |
| 4. | Short-Term Rent, Mortgage and Utility Assistance | 125 | 49 | 0 | 0 | 60,000 | 31,717 |
| 5. | Adjustments for duplication (subtract) | 0 | 12 | 0 | 0 | | |
| 6. | **Total Housing Subsidy Assistance** | 325 | 300 | 10 | 10 | 734,382 | 800,536 |
| | **Housing Development (Construction and Stewardship of facility based housing)** | **Output Units** | | | | | |
| 7. | Facility-based units being developed with capital funding but not opened (show units of housing planned) | 0 | 0 | 0 | 0 | 0 | 0 |
| 8. | Stewardship Units subject to 3 or 10 year use agreements | 0 | 0 | 0 | 0 | | |
| 9 | **Total Housing Developed** | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Supportive Services** | **Output Households** | | | | | |
| 10a. | Supportive Services provided by project sponsors also delivering HOPWA housing assistance | 168 | 298 | | | 232,561 | 230,596 |
| 10b. | Supportive Services provided by project sponsors serving households who have other housing arrangements | 0 | 0 | | | 0 | 0 |
| 11. | Adjustment for duplication (subtract) | 0 | 0 | 0 | 0 | | |
| **12.** | **Total Supportive Services** | **168** | **298** | | | **232,561** | **230,596** |
| | **Housing Placement Assistance Activities** | | | | | | |
| 13. | Housing Information Services | 0 | 0 | | | 0 | 0 |
| 14. | Permanent Housing Placement Services | 0 | 0 | | | 0 | 0 |
| 15. | Adjustment for duplication | 0 | 0 | | | 0 | 0 |
| 16. | **Total Housing Placement Assistance** | 0 | 0 | | | 0 | 0 |
| | **Grant Administration and Other Activities** | | | | | | |
| 17. | Resource Identification to establish, coordinate and develop housing assistance resources | | | | | 0 | 0 |
| 18. | Technical Assistance (if approved in grant agreement) | | | | | 0 | 0 |
| 19. | Grantee Administration (maximum 3% of total HOPWA grant) | | | | | 33,255 | 33,255 |
| 20. | Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded) | | | | | 67,317 | 67,317 |
| | **Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)** | | | | | **$1,067,515** | **$1,131,704** |

## 2. Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

| Supportive Services | | Number of Households Receiving HOPWA Assistance | Amount of HOPWA Funds Expended |
|---|---|---|---|
| 1. | Adult day care and personal assistance | 0 | 0 |
| 2. | Alcohol and drug abuse services | 10 | 13,630 |
| 3. | Case management/client advocacy/ access to benefits & services | 298 | 216,966 |
| 4. | Child care and other child services | 0 | 0 |
| 5. | Education | 0 | 0 |
| 6. | Employment assistance and training | 0 | 0 |
| 7. | Health/medical/intensive care services, if approved<br>Note: Client records must conform with 24 CFR §574.310 | 0 | 0 |
| 8. | Legal services | 0 | 0 |
| 9. | Life skills management (outside of case management) | 0 | 0 |
| 10. | Meals/nutritional services | 0 | 0 |
| 11. | Mental health services | 0 | 0 |
| 12. | Outreach | 0 | 0 |
| 13. | Transportation | 0 | 0 |
| 14. | Other Activity (if approved in grant agreement). Specify: | 0 | 0 |
| 15. | **Adjustment for Duplication (subtract)** | 10 | |
| 16. | **TOTAL Households receiving Supportive Services (unduplicated)** | 298 | $230,596 |

## End of HOPWA CAPER PART 3

## Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty% of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet—Determining Housing Stability Outcomes.*

| [A] Permanent Housing Assistance | [1] Total Number of Households Receiving Housing Assistance | [2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year) | [3] Assessment: Number of Exited Households and Housing Status | |
|---|---|---|---|---|
| **Tenant-Based Rental Assistance** | = 99 | = 89 | 1 Emergency Shelter/Streets | = 0 |
| | | | 2 Temporary Housing | = 0 |
| | | | 3 Private Housing | = 2 |
| | | | 4 Other HOPWA | = 0 |
| | | | 5 Other Subsidy | = 0 |
| | | | 6 Institution | = 0 |
| | | | 7 Jail/Prison | = 0 |
| | | | 8 Disconnected/Unknown | = 8 |
| | | | 9 Death | = 0 |
| **Permanent Supportive Housing Facilities/Units** | = 92 | = 61 | 1 Emergency Shelter/Streets | = 0 |
| | | | 2 Temporary Housing | = 0 |
| | | | 3 Private Housing | = 7 |
| | | | 4 Other HOPWA | = 2 |
| | | | 5 Other Subsidy | = 3 |
| | | | 6 Institution | = 2 |
| | | | 7 Jail/Prison | = 1 |
| | | | 8 Disconnected/Unknown | = 14 |
| | | | 9 Death | = 2 |

| [B] Transitional Housing Assistance | [1] Total Number of Households Receiving Housing Assistance | [2] Of the Total Number of Households Receiving Housing Assistance this Operating Year | | [3] Assessment: Number of Exited Households and Housing Status | |
|---|---|---|---|---|---|
| **Transitional/Short-Term Supportive Facilities/Units** | = 72 | Total number of households that will continue in residences: | = 0 | 1 Emergency Shelter/Streets | = 5 |
| | | | | 2 Temporary Housing | = 2 |
| | | | | 3 Private Housing | = 37 |
| | | | | 4 Other HOPWA | = 4 |
| | | | | 5 Other Subsidy | = 9 |
| | | Total number of households whose tenure exceeded 24 months: | = 0 | 6 Institution | = 10 |
| | | | | 7 Jail/Prison | = 1 |
| | | | | 8 Disconnected/unknown | = 4 |
| | | | | 9 Death | = 0 |

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)**

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1*.

**Assessment of Households receiving STRMU Assistance**

| [1] STRMU Housing Assistance | [2] Assessment of Housing Status | | [3] HOPWA Client Outcomes |
|---|---|---|---|
| = 49 | Maintain Private Housing without subsidy (*e.g. Assistance provided/completed and client is stable, not likely to seek additional support*) | = 49 | *Stable/Permanent Housing (PH)* |
| | Other Private Housing without subsidy | = 0 | |
| | Other HOPWA support (PH) | = 0 | |
| | Other housing subsidy (PH) | = 0 | |
| | Institution (*e.g. residential and long-term care*) | = 0 | |
| | Likely to maintain current housing arrangements, with additional STRMU assistance | = 0 | *Temporarily Stable, with Reduced Risk of Homelessness* |
| | Transitional Facilities/Short-term (e.g. *temporary or transitional arrangement*) | = 0 | |
| | Temporary/non-permanent Housing arrangement (*e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days*) | = 0 | |
| | Emergency Shelter/street | = 0 | *Unstable Arrangements* |
| | Jail/Prison | = 0 | |
| | Disconnected | = 0 | |
| | Death | = 0 | *Life Event* |
| 1a. Total number of households that received STRMU assistance in the prior operating year that also received STRMU assistance in the current operating year. | | | = 0 |
| 1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years that also received STRMU assistance in the current operating year. | | | = 0 |

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management**

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

| *Categories of Services Accessed* | **Households Receiving Housing Assistance within the Operating Year** | **Outcome Indicator** |
|---|---|---|
| 1. Has a housing plan for maintaining or establishing stable on-going housing. | 228 | *Support for Stable Housing* |
| 2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.. | 300 | *Access to Support* |
| 3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan, | 300 | *Access to Health Care* |
| 4. Has accessed and can maintain medical insurance/assistance. | 228 | *Access to Health Care* |
| 5. Successfully accessed or maintained qualification for sources of income. | 300 | *Sources of Income* |

**1B. Number of Households Obtaining Employment**

*In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services.* Note: This includes jobs created by this project sponsor or obtained outside this agency.

| **Categories of Services Accessed** | **Number of Households that Obtained Employment** | **Outcome Indicator** |
|---|---|---|
| Total number of households that obtained an income-producing job | 8 | *Sources of Income* |

**Chart 1C: Sources of income include, but are not limited to the following *(Reference only)***

- Earned Income
- Unemployment Insurance
- Supplemental Security Income (SSI)
- Social Security Disability Income (SSDI)
- Veteran's Disability Payment
- General Assistance, or use local program name
- Temporary Assistance for Needy Families (TANF) income, or use local program name
- Veteran's Pension
- Pension from Former Job
- Child Support
- Alimony or Other Spousal Support
- Retirement Income from Social Security
- Private Disability Insurance
- Worker's Compensation

**Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following *(Reference only)***

- MEDICAID Health Insurance Program, or local program name
- Veterans Affairs Medical Services
- State Children's Health Insurance Program (SCHIP), or local program name
- MEDICARE Health Insurance Program, or local program name
- AIDS Drug Assistance Program (ADAP)
- Ryan White-funded Medical or Dental Assistance

**2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources**

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.*

| *Categories of Services Accessed* | Households Receiving HOPWA Assistance within the Operating Year | Outcome Indicator |
|---|---|---|
| 1. Has a housing plan for maintaining or establishing stable on-going housing. | 0 | *Support for Stable Housing* |
| 2. Successfully accessed or maintained qualification for sources of income. | 0 | *Sources of Income* |
| 3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan. | 0 | *Access to Health Care* |
| 4. Has accessed and can maintain medical insurance/assistance. | 0 | *Access to Health Care* |
| 5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client's individual service plan. | 0 | *Access to Support* |

**2B. Number of Households Obtaining Employment**

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

| Categories of Services Accessed | Number of Households that Obtained Employment | Outcome Indicator |
|---|---|---|
| Total number of households that obtained an income-producing job | 0 | *Sources of Income* |

**Chart 2C: Sources of income include, but are not limited to the following *(Reference only)***

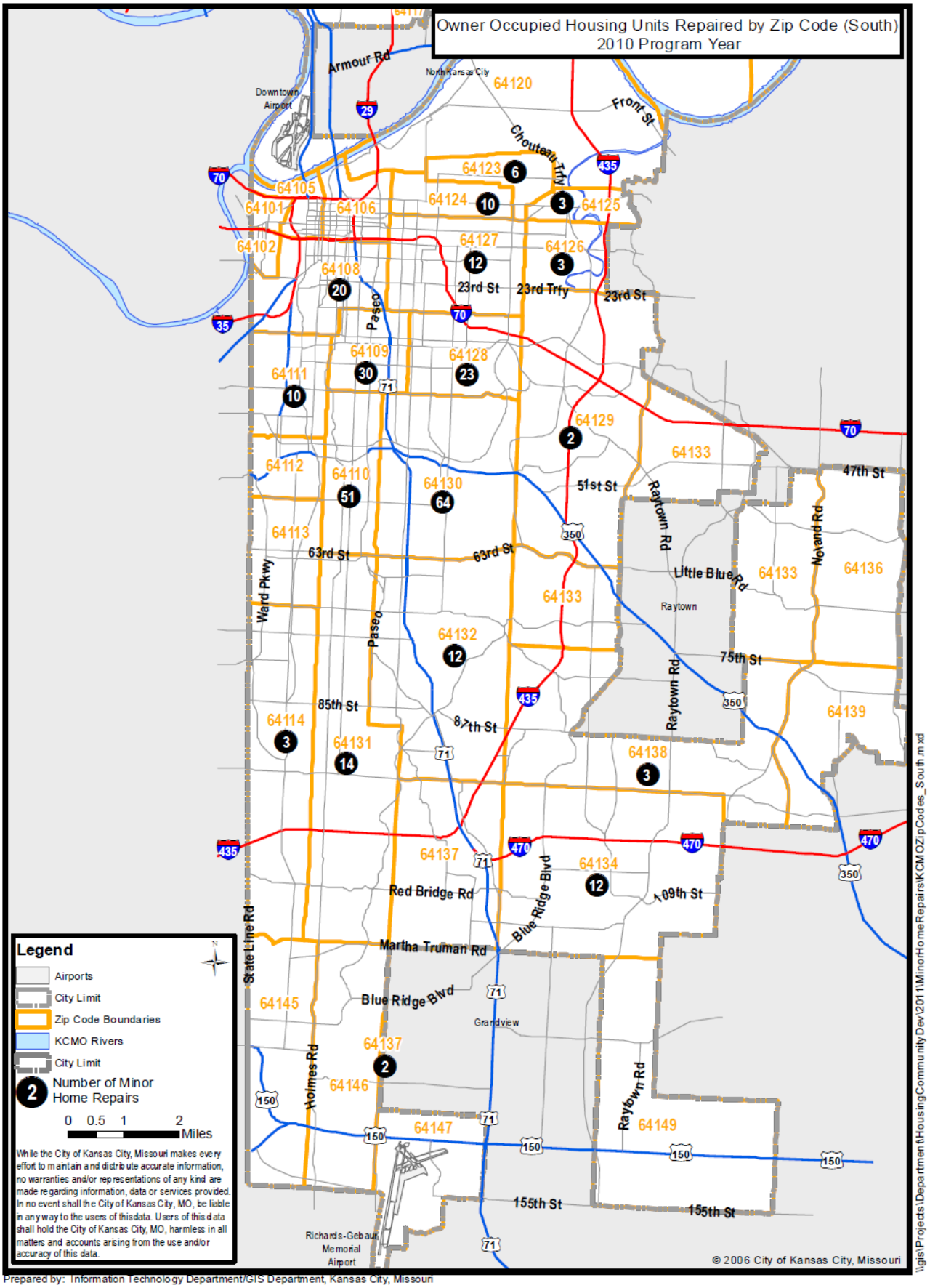
- Earned Income
- Unemployment Insurance
- Supplemental Security Income (SSI)
- Social Security Disability Income (SSDI)
- Veteran's Disability Payment
- General Assistance, or use local program name
- Temporary Assistance for Needy Families (TANF) income, or use local program name
- Veteran's Pension
- Pension from Former Job
- Child Support
- Alimony or Other Spousal Support
- Retirement Income from Social Security
- Private Disability Insurance
- Worker's Compensation

**Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following *(Reference only)***

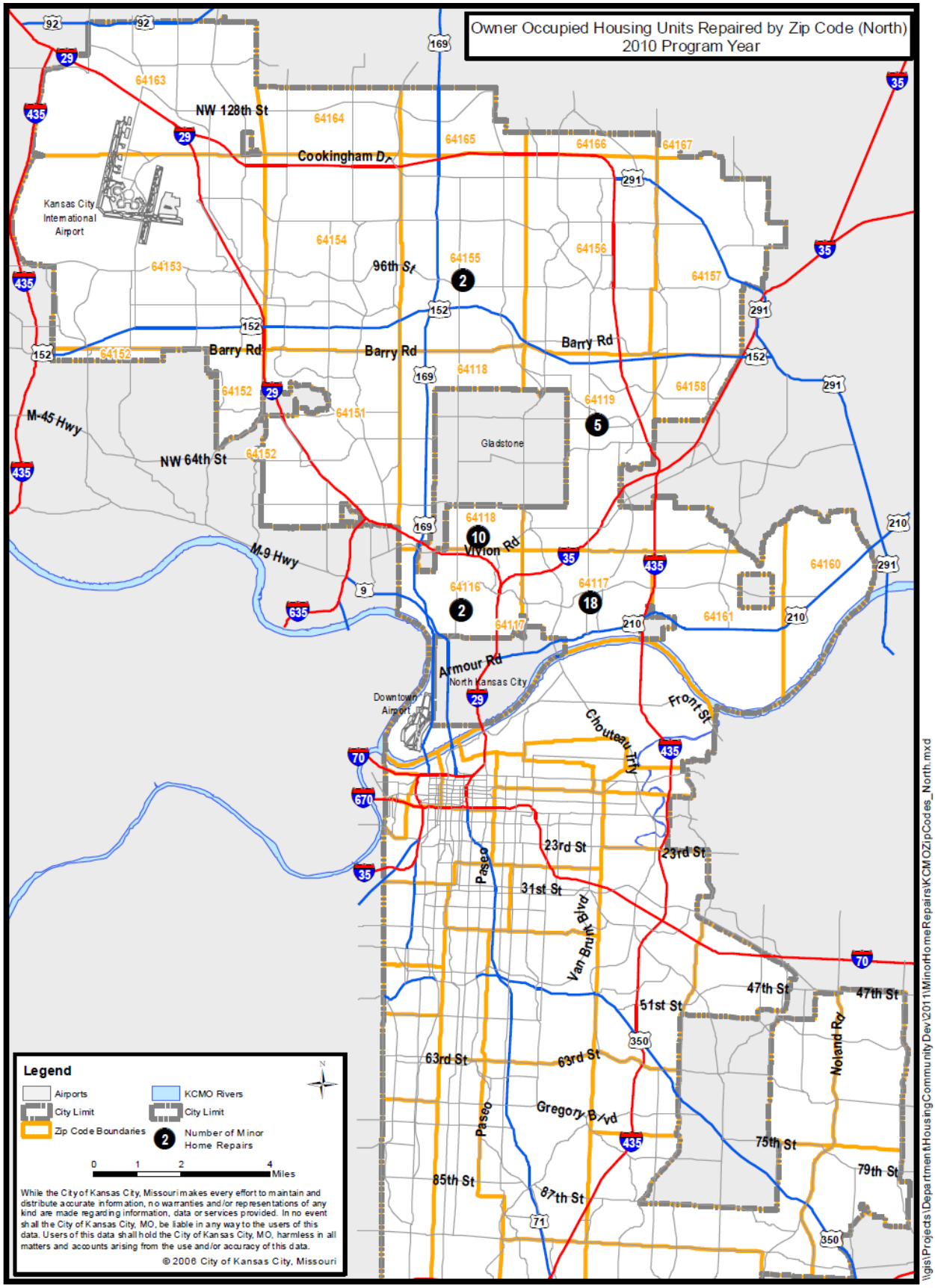
- MEDICAID Health Insurance Program, or local program name
- Veterans Affairs Medical Services
- State Children's Health Insurance Program (SCHIP), or local program name
- MEDICARE Health Insurance Program, or local program name
- AIDS Drug Assistance Program (ADAP)
- Ryan White-funded Medical or Dental Assistance

**End of HOPWA CAPER PART 4**

**END OF HOPWA CAPER**




Prepared by: Information Technology Department/GIS Department, Kansas City, Missouri




Prepared by: Information Technology Department/GIS Department, Kansas City, Missouri

# HOME Match Report

**U.S. Department of Housing and Urban Development**
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Match Contributions for
**Federal Fiscal Year (yyyy)** 2010

## Part I Participant Identification

| 1. Participant No. (assigned by HUD) | 2. Name of the Participating Jurisdiction | 3. Name of Contact (person completing this report) |
|---|---|---|
| M-10-MC-290201 | City of Kansas City, Missouri | Melissa Brill |

| 5. Street Address of the Participating Jurisdiction | 4. Contact's Phone Number (include area code) |
|---|---|
| 414 East 12th Street | 816-513-3037 |

| 6. City | 7. State | 8. Zip Code |
|---|---|---|
| Kansas City | MO | 64106 |

## Part II Fiscal Year Summary

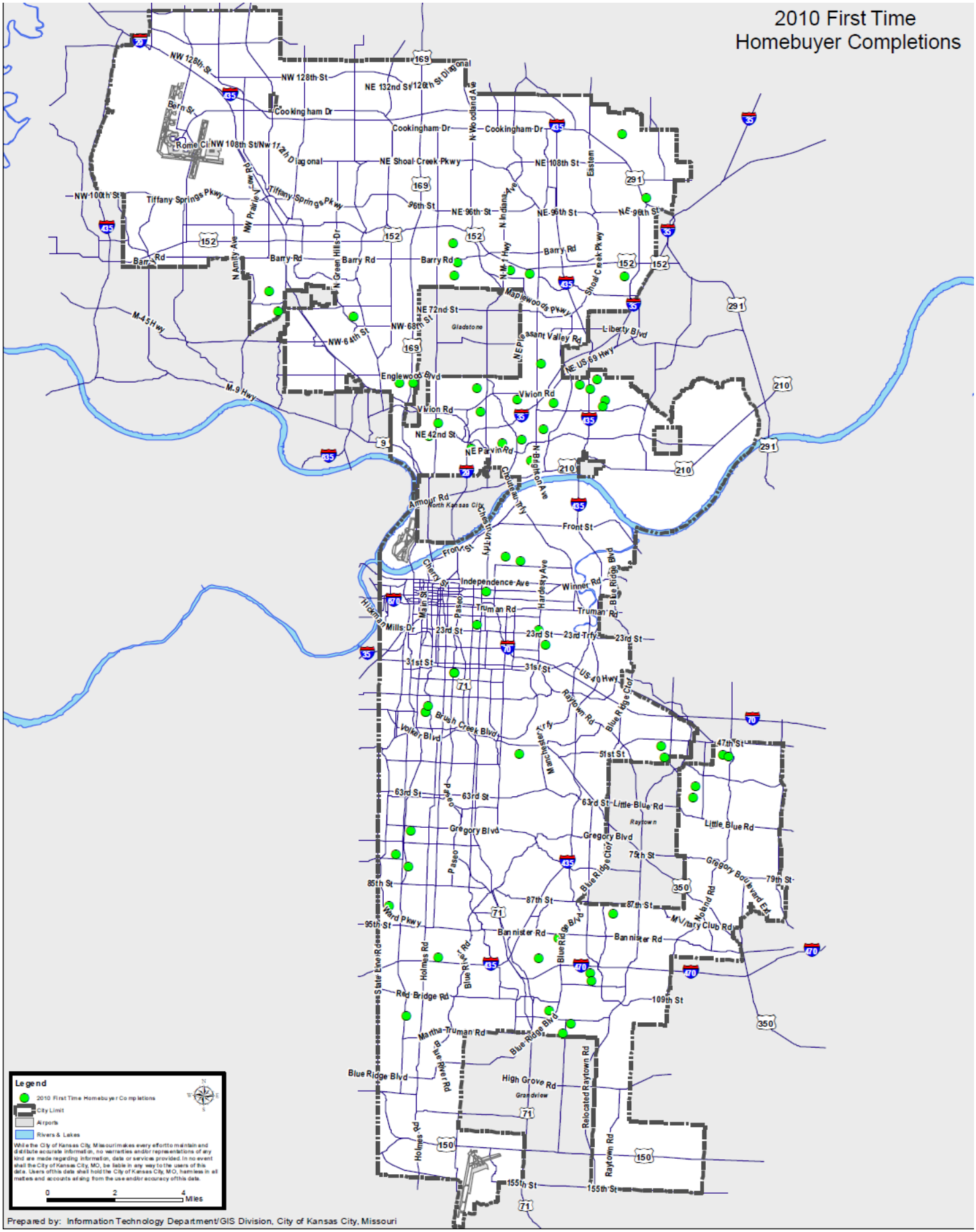
| | | |
|---|---|---|
| 1. Excess match from prior Federal fiscal year | $ 5,685,914.48 | |
| 2. Match contributed during current Federal fiscal year (see Part III.9.) | $ | |
| 3. Total match available for current Federal fiscal year (line 1 + line 2) | | $ 5,685,914.48 |
| 4. Match liability for current Federal fiscal year | | $ 1,129,049.48 |
| 5. Excess match carried over to next Federal fiscal year (line 3 minus line 4) | | $ 4,556,865.00 |

## Part III Match Contribution for the Federal Fiscal Year

| 1. Project No. or Other ID | 2. Date of Contribution (mm/dd/yyyy) | 3. Cash (non-Federal sources) | 4. Foregone Taxes, Fees, Charges | 5. Appraised Land / Real Property | 6. Required Infrastructure | 7. Site Preparation, Construction Materials, Donated labor | 8. Bond Financing | 9. Total Match |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |



form **HUD-40107-A** (12/94)


2010 First Time
Homebuyer Completions
Legend
2010 First Time Homebuyer Completions
City Limit
Airports
Rivers & Lakes
While the City of Kansas City, Missouri makes every effort to maintain and distribute accurate information, no warranties and/or representations of any kind are made regarding information, data or services provided. In no event shall the City of Kansas City, MO, be liable in any way to the users of this data. Users of this data shall hold the City of Kansas City, MO, harmless in all matters and accounts arising from the use and/or accuracy of this data.
0
2
4
Miles
Prepared by: Information Technology Department/GIS Division, City of Kansas City, Missouri

| Section 3 New Hires by Zip Code and Project<br>2010 Program Year | | | |
|---|---|---|---|
| **Project Name** | **Zip Code** | **New Hires** | **Section 3 Hires** |
| Basie Court | 64108 | 4 | 3 |
| Beacon Hill Party Shop | 64108 | 3 | 5 |
| Beacon Park | 64108 | 3 | 40 |
| Black Economic Union | 64108 | 4 | |
| Community LINC | 64110 | 1 | |
| Destiny Towers | 64117 | 5 | 2 |
| Neighborhood Stabilization | 64109 | 1 | |
| Neighborhood Stabilization | 64108 | 5 | |
| Niles Home | 64127 | 1 | |
| Palestine Commons | 64128 | 2 | 6 |
| Palestine Senior Activity Center | 64128 | 1 | |
| Swope Community Builders | 64130 | 2 | |
| **Subtotal for City Projects** | | **32** | **56** |
| | | | |
| **Other Section 3 new hires placed on various Non-City projects** | | **--** | **46** |
| | | | |
| **Total Section 3 new hires** | | **--** | **102** |

# Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

| 1. Recipient Name & Address: (street, city, state, zip) | 2. Federal Identification: (grant no.) B-10-MC-29-0003 | 3. Total Amount of Award: 9,154,511.00 |
|---|---|---|
| City of Kansas City, Missouri<br>414 East 12th Street<br>Kansas City, Missouri 64106 | 4. Contact Person Sandra Walker | 5. Phone: (Include area code) (816) 513-6817 |
| | 6. Length of Grant: 1 Year | 7. Reporting Period: June 1, 2010 - May 31, 2011 |
| 8. Date Report Submitted: August 1, 2011 | 9. Program Code: (Use separate sheet for each program code) 7 | 10. Program Name: CDBG |

**Part I: Employment and Training** (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E<br>% of Total Staff Hours for Section 3 Employees and Trainees | F<br>Number of Section 3 Trainees |
|---|---|---|---|---|---|
| Professionals | 0 | 0 | 0 | 0 | 0 |
| Technicians | 0 | 0 | 0 | 0 | 0 |
| Office/Clerical | 0 | 0 | 0 | 0 | 0 |
| Construction by Trade (List) Trade | 83 | 38 | 46.25 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Other (List) | 0 | 0 | 0 | 0 | 0 |
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| | | | | | |
| Total | 83 | 38 | 46.25% | 0 | 0 |

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs



form HUD 60002 (6/2001)
Ref 24 CFR 135

**Part II: Contracts Awarded**

1. Construction Contracts: CDBG

| | |
|---|---|
| A. Total dollar amount of all contracts awarded on the project | $ 22,628,657.00 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | $ 4,588,708.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 20.28 % |
| D. Total number of Section 3 businesses receiving contracts | 31 |

2. Non-Construction Contracts:

| | |
|---|---|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | $ 0.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | $ 0.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.00 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0.00 |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_____ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

x Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

x Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_____ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_____ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

| | | |
|---|---|---|
| 1. Recipient Name & Address: (street, city, state, zip)<br>City of Kansas City, Missouri<br>414 East 12th Street<br>Kansas City, Missouri 64106 | 2. Federal Identification: (grant no.) B-10-MC-29-0003<br>4. Contact Person Sandra Walker<br>6. Length of Grant: 1 Year | 3. Total Amount of Award: 3,155,465<br>5. Phone: (Include area code) (816) 513-6817<br>7. Reporting Period: June 1, 2010 - May 31, 2011 |
| 8. Date Report Submitted: August 1, 2011 | 9. Program Code: (Use separate sheet for each program code) 7 | 10. Program Name: Minor Home Repair |

**Part I: Employment and Training** (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E<br>% of Total Staff Hours for Section 3 Employees and Trainees | F<br>Number of Section 3 Trainees |
|---|---|---|---|---|---|
| Professionals | 0 | 0 | 0 | 0 | 0 |
| Technicians | 0 | 0 | 0 | 0 | 0 |
| Office/Clerical | 4 | 4 | 85.55% | 0 | 0 |
| Construction by Trade (List)<br>Trade | 9 | 9 | 14.45% | 0 | 0 |
| Trade Laborer | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Other (List) | 0 | 0 | 0 | 0 | 0 |
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| Total | 13 | 13 | 100% | 0 | 0 |

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs



form HUD 60002 (6/2001)
Ref 24 CFR 135

**Part II: Contracts Awarded**

1. Construction Contracts: Minor Home Repair

| | |
|---|---|
| A. Total dollar amount of all contracts awarded on the project | $ 1,504,930.00 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | $ 656,874.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 43.65 % |
| D. Total number of Section 3 businesses receiving contracts | 16 |

2. Non-Construction Contracts:

| | |
|---|---|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | $ 0.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | $ 0.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.00 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0.00 |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_____ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

X Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

X Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_____ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

X Other; describe below.

Minor Home Repair Agencies are working with the City's Section 3 Office to provide and expand economic opportunity associated with minor home repairs for certified Section 3 business concerns.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

| 1. Recipient Name & Address: (street, city, state, zip) | 2. Federal Identification: (grant no.) M-10-MC-29-0201 | 3. Total Amount of Award: $3,037,639.00 |
|---|---|---|
| City of Kansas City, Missouri<br>414 East 12th Street<br>Kansas City, Missouri 64106 | 4. Contact Person Sandra Walker | 5. Phone: (Include area code) (816) 513-6817 |
| | 6. Length of Grant: 1 YEAR | 7. Reporting Period: June 1, 2010 - May 31, 2011 |
| 8. Date Report Submitted: | 9. Program Code: (Use separate sheet for each program code) 5 | 10. Program Name: HOME Grant |

**Part I: Employment and Training** (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E<br>% of Total Staff Hours for Section 3 Employees and Trainees | F<br>Number of Section 3 Trainees |
|---|---|---|---|---|---|
| Professionals | 0 | 0 | 0 | 0 | 0 |
| Technicians | 0 | 0 | 0 | 0 | 0 |
| Office/Clerical | 4 | 4 | 100% | 0 | 0 |
| Construction by Trade (List) Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Other (List) | 0 | 0 | 0 | 0 | 0 |
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| Total | 4 | 4 | 100% | 0 | 0 |

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Laborer

Page 1 of 2

form HUD 60002 (6/2001)
Ref 24 CFR 135

**Part II: Contracts Awarded**

1. Construction Contracts: HOME GRANT

| | |
|---|---|
| A. Total dollar amount of all contracts awarded on the project | $ 546,640.00 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | $ 512,705.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 93.79 % |
| D. Total number of Section 3 businesses receiving contracts | 5 |

2. Non-Construction Contracts:

| | |
|---|---|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | $ 0.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | $ 0.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.00 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0.00 |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_____ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

x Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

x Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_____ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

x Other; describe below.

The HOME Program agencies worked with the the City's Section 3 Office to provide and expand economic opportunity associated with housing development activities for certified Section 3 business concerns.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

| 1. Recipient Name & Address: (street, city, state, zip) | 2. Federal Identification: (grant no.) | 3. Total Amount of Award: |
|---|---|---|
| City of Kansas City, Missouri<br>414 East 12th Street<br>Kansas City, Missouri 64106 | B-08-MN-29-0001 | 7,323,734.00 |
| | 4. Contact Person<br>Sandra Walker | 5. Phone: (Include area code)<br>(816) 513-6817 |
| | 6. Length of Grant:<br>March 2009 - Present | 7. Reporting Period:<br>June 1, 2010 - May 31, 2011 |
| 8. Date Report Submitted:<br>August 1, 2011 | 9. Program Code: (Use separate sheet for each program code)<br>10 | 10. Program Name:<br>NSP 1 |

**Part I: Employment and Training** (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E<br>% of Total Staff Hours for Section 3 Employees and Trainees | F<br>Number of Section 3 Trainees |
|---|---|---|---|---|---|
| Professionals | 0 | 0 | 0 | 0 | 0 |
| Technicians | 0 | 0 | 0 | 0 | 0 |
| Office/Clerical | 0 | 0 | 0 | 0 | 0 |
| Construction by Trade (List)<br>Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 11 | 10 | 60.5% | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Other (List) | 0 | 0 | 0 | 0 | 0 |
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| Total | 11 | 10 | 60.5 % | 0 | 0 |

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs



form HUD 60002 (6/2001)
Ref 24 CFR 135

**Part II: Contracts Awarded**

1. Construction Contracts: NSP1

| | |
|---|---|
| A. Total dollar amount of all contracts awarded on the project | $ 2,961,258 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | $ 1,325,481 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 44.76 % |
| D. Total number of Section 3 businesses receiving contracts | 26 |

2. Non-Construction Contracts:

| | |
|---|---|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | $ 0.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | $ 0.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.00 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0.00 |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_____ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

x Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

x Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_____ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

X Other; describe below.

The Primary Property Developers "PPD" are working with the the City's Section 3 Office to provide and expand economic opportunity associated with the redevelopment and revitalization of neighborhoods through the NSP 1 Program for certified Section 3 business concerns.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

| | | |
|---|---|---|
| 1. Recipient Name & Address: (street, city, state, zip)<br>City of Kansas City, Missouri<br>414 East 12th Street<br>Kansas City, Missouri 64106 | 2. Federal Identification: (grant no.) B-10-SP-MO-0230<br>4. Contact Person Sandra Walker<br>6. Length of Grant: 10/15/2010 - 9/30/2017 | 3. Total Amount of Award: 2,500,000.00<br>5. Phone: (Include area code) (816) 513-6817<br>7. Reporting Period: June 1, 2010 - May 31, 2011 |
| 8. Date Report Submitted: August 1, 2011 | 9. Program Code: (Use separate sheet for each program code) 10 | 10. Program Name: HUD EDI- Special Project Grant |

**Part I: Employment and Training** (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E<br>% of Total Staff Hours for Section 3 Employees and Trainees | F<br>Number of Section 3 Trainees |
|---|---|---|---|---|---|
| Professionals | 0 | 0 | 0 | 0 | 0 |
| Technicians | 0 | 0 | 0 | 0 | 0 |
| Office/Clerical | 0 | 0 | 0 | 0 | 0 |
| Construction by Trade (List) Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Trade | 0 | 0 | 0 | 0 | 0 |
| Other (List) | 0 | 0 | 0 | 0 | 0 |
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| | | | | | |
| Total | 0 | 0 | 0% | 0 | 0 |

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs



form HUD 60002 (6/2001)
Ref 24 CFR 135

**Part II: Contracts Awarded**

1. Construction Contracts: HUD EDI- Special Project Grant

| | |
|---|---|
| A. Total dollar amount of all contracts awarded on the project | $ 2,505,429.00 |
| B. Total dollar amount of contracts awarded to Section 3 businesses | $ 367,708.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 14.67 % |
| D. Total number of Section 3 businesses receiving contracts | 2 |

2. Non-Construction Contracts:

| | |
|---|---|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | $ 0.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses | $ 0.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 0.00 % |
| D. Total number of Section 3 businesses receiving non-construction contracts | 0.00 |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_____ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

x Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

x Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_____ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_____ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# CDBG Financial Summary

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT
PR 26 - CDBG Financial Summary Report

DATE: 8/11/2011
TIME: 4:31:59 pm
PAGE: 1/2

| | |
|---|---|
| Grantee | KANSAS CITY , MO |
| Program Year | 2010 |
| **PART I: SUMMARY OF CDBG RESOURCES** | |
| 01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR | 0.00 |
| 02 ENTITLEMENT GRANT | 9,154,511.00 |
| 03 SURPLUS URBAN RENEWAL | 0.00 |
| 04 SECTION 108 GUARANTEED LOAN FUNDS | 0.00 |
| 05 CURRENT YEAR PROGRAM INCOME | 207,055.37 |
| 06 RETURNS | 288,690.75 |
| 07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE | 0.00 |
| 08 TOTAL AVAILABLE (SUM, LINES 01-07) | 9,650,257.12 |
| **PART II: SUMMARY OF CDBG EXPENDITURES** | |
| 09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION | 3,873,012.97 |
| 10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT | 0.00 |
| 11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10) | 3,873,012.97 |
| 12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | 853,215.86 |
| 13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS | 1,452,345.75 |
| 14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES | 0.00 |
| 15 TOTAL EXPENDITURES (SUM, LINES 11-14) | 6,178,574.58 |
| 16 UNEXPENDED BALANCE (LINE 08 - LINE 15) | 3,471,682.54 |
| **PART III: LOWMOD BENEFIT THIS REPORTING PERIOD** | |
| 17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS | 0.00 |
| 18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING | 0.00 |
| 19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES | 3,650,540.36 |
| 20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT | 0.00 |
| 21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20) | 3,650,540.36 |
| 22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11) | 94.26% |
| **LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS** | |
| 23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION | PY: PY: PY: |
| 24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION | 0.00 |
| 25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS | 0.00 |
| 26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24) | 0.00% |
| **PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS** | |
| 27 DISBURSED IN IDIS FOR PUBLIC SERVICES | 1,137,616.26 |

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IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT
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| | |
|---|---|
| 28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | 0.00 |
| 29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | 0.00 |
| 30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS | 0.00 |
| 31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30) | 1,137,616.26 |
| 32 ENTITLEMENT GRANT | 9,154,511.00 |
| 33 PRIOR YEAR PROGRAM INCOME | 40,490.00 |
| 34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP | 0.00 |
| 35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34) | 9,195,001.00 |
| 36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35) | 12.37% |
| **PART V: PLANNING AND ADMINISTRATION (PA) CAP** | |
| 37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION | 853,215.86 |
| 38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR | 0.00 |
| 39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR | 0.00 |
| 40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS | 0.00 |
| 41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40) | 853,215.86 |
| 42 ENTITLEMENT GRANT | 9,154,511.00 |
| 43 CURRENT YEAR PROGRAM INCOME | 207,055.37 |
| 44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP | 0.00 |
| 45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44) | 9,361,566.37 |
| 46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45) | 9.11% |

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| Plan Year | IDIS Project | Project Title and Description | | Program | Project Estimate | Commited Amount | Amount Drawn Thru Report Year | Amount Available to Draw | Amount Drawn In Report Year |
|---|---|---|---|---|---|---|---|---|---|
| 2010 | 1 | KC Dream | Downpayment assistance for low income homebuyers | HOME | $1,913,875.00 | $1,611,394.00 | $247,704.00 | $1,363,690.00 | $247,704.00 |
| | 2 | ESG10-Benlide Hall | Funding to Benlide Hall for operating costs for food and utilities for homeless veterans. | HOME | $0.00 | $20,000.00 | $0.00 | $20,000.00 | $0.00 |
| | | | | ESG | $50,827.00 | $61,224.79 | $48,740.81 | $12,483.98 | $48,740.81 |
| | 3 | ESG10-Sheffield Place | Funding to Sheffield Place for transitional housing operating costs. | ESG | $30,000.00 | $39,000.00 | $26,648.87 | $12,351.13 | $26,648.87 |
| | 4 | ESG10- Rose Brooks Center | Funding to Rose Brooks Center for staff operations (10% max) and shelter advocate. | ESG | $48,508.00 | $48,508.00 | $42,389.89 | $6,118.11 | $42,389.89 |
| | 5 | ESG10 Newhouse | Funding to Newhouse for shelter operating costs. | ESG | $47,700.00 | $47,700.00 | $47,700.00 | $0.00 | $47,700.00 |
| | 6 | ESG10- Community Assistsance Council | Funding to Community Assistance Council for homeless prevention activities,i.e. rent, mortgage, and utilities. | ESG | $15,000.00 | $2,513.93 | $2,513.93 | $0.00 | $2,513.93 |
| | 7 | ESG10- The Salvation Army | Funding to The Salvation Army for operations for transitional housing and essential services for psychological and drug testing | ESG | $31,000.00 | $31,000.00 | $18,058.00 | $12,942.00 | $18,058.00 |
| | 8 | ESG10- Synergy Services | Funding to Synergy Services for operating costs associated with domestic violence shelter | ESG | $31,268.00 | $31,268.00 | $22,096.09 | $9,171.91 | $22,096.09 |
| | 9 | ESG10-Guadalupe Center | Funding to Guadalupe Center for operating costs associated with transitional housing and prevention services such as rent, mortgage and utilities. | ESG | $20,200.00 | $29,220.00 | $13,185.61 | $16,034.39 | $13,185.61 |
| | 10 | ESG10- Guadalupe Center | Funding to Guadalupe Center for homeless prevention activities, i.e. rent, mortgage, and utilities. | ESG | $9,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 11 | ESG10-NCSD | Funding to be used for grant administration-processing payments and reporting | ESG | $19,366.00 | $19,366.00 | $19,359.46 | $6.54 | $19,359.46 |
| | 12 | ESG10-reStart | Funding to reStart for shelter utilities | HOPWA | $0.00 | $50,000.00 | $34,505.14 | $15,494.86 | $34,505.14 |
| | | | | ESG | $62,531.00 | $72,531.00 | $71,998.97 | $532.03 | $71,998.97 |
| | 13 | ESG10- Greater Kansas City Housing Informaton Center | Funding to the Greater Kansas City Housing Information Center for housing counseling for documented homeless persons and families & rent, utility, and mortgage assistance | ESG | $21,918.00 | $5,006.28 | $4,015.02 | $991.26 | $4,015.02 |
| | 14 | ESG10-Guadalupe | This funding shall be used for transitional housing. | ESG | $20,220.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 15 | 2010 KCHD Grantee Administration | The costs are related to administering the HOPWA grant. | HOPWA | $33,255.00 | $33,255.00 | $0.00 | $33,255.00 | $0.00 |
| | 16 | 2010 SAVE, INC | Provides housing assistance for Persons Living with HIV/AIDS. | HOPWA | $985,960.00 | $985,960.00 | $435,499.00 | $550,461.00 | $435,499.00 |
| | 17 | 2010/1/KC Dream | KC Dream Program (TS Set-up) | HOME | $20,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 18 | Administration | HOME and CDBG Contracts | CDBG | $1,800,000.00 | $1,712,902.00 | $842,995.55 | $869,906.45 | $842,995.55 |
| | | | | HOME | $303,764.00 | $303,764.00 | $107,385.43 | $196,378.57 | $107,385.43 |
| | 19 | Section 108 | 108 repayment | CDBG | $1,452,346.00 | $1,452,346.00 | $1,452,345.75 | $0.25 | $1,452,345.75 |
| | 20 | Homeless Prevention | | CDBG | $452,063.00 | $452,063.00 | $313,794.56 | $138,268.44 | $313,794.56 |

| Plan Year | IDIS Project | Project Title and Description | | Program | Project Estimate | Commited Amount | Amount Drawn Thru Report Year | Amount Available to Draw | Amount Drawn in Report Year |
|---|---|---|---|---|---|---|---|---|---|
| 2010 | 21 | Child Care | | CDBG | $228,389.00 | $228,389.00 | $189,599.77 | $38,789.23 | $189,599.77 |
| | 22 | Youth Services | | CDBG | $342,724.00 | $342,724.00 | $172,093.68 | $170,630.32 | $172,093.68 |
| | 23 | Abandoned Housing | | CDBG | $150,000.00 | $150,000.00 | $0.00 | $150,000.00 | $0.00 |
| | 24 | PS in NRSA by CBDO | | CDBG | $224,226.00 | $224,226.00 | $139,532.85 | $84,693.15 | $139,532.85 |
| | 25 | Public Facilities | | CDBG | $495,270.00 | $495,270.00 | $52,321.17 | $442,948.83 | $52,321.17 |
| | 26 | Minor Home Repair | | CDBG | $1,575,000.00 | $1,575,000.00 | $395,916.35 | $1,179,083.65 | $395,916.35 |
| | 27 | Emergency Home Repair | | CDBG | $1,007,908.00 | $1,007,908.00 | $948,050.78 | $59,857.22 | $948,050.78 |
| | 28 | Housing Development Programs | | CDBG | $780,400.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 29 | Vacant Building Receivership Program | | CDBG | $78,520.00 | $78,520.00 | $0.00 | $78,520.00 | $0.00 |
| | 30 | Residential Energy Efficiency Improvements | | CDBG | $89,040.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 31 | Renal Rehabilitation Program | | CDBG | $166,750.00 | $255,790.00 | $0.00 | $255,790.00 | $0.00 |
| | 32 | Code Enforcement | | CDBG | $1,000,000.00 | $1,000,000.00 | $0.00 | $1,000,000.00 | $0.00 |
| | 33 | Commercial Rehabilitation | | CDBG | $200,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 34 | Economic Development | | CDBG | $568,433.00 | $568,433.00 | $270,522.88 | $297,910.12 | $270,522.88 |
| | 35 | Housing Development Programs | | CDBG | $780,400.00 | $200,000.00 | $164,496.94 | $35,503.06 | $164,496.94 |
| | 36 | ESG10- The Salvation Army | The subrecipient will use funding under homeless assistance, essential services to purchase furnishings for homeless families. | ESG | $9,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| | 37 | CHDO Activities | CHDO project; Squier Park Townhomes | HOME | $520,000.00 | $0.00 | $0.00 | $0.00 | $0.00 |

# Citizen Comments

**Public Hearing**
**September 20, 2011**
**6:00 p.m.**
**MainCor**
**3215 Main Street**
**Kansas City, MO  64106**

**No one attended the public hearing, nor were any public comments received.**