



Consolidated

Annual

Performance

Evaluation

Report

Covering the Period June 1, 2011-May 31, 2012

For the:

**Community Development Block Grant
HOME Investment Partnership Program
Emergency Shelter and Emergency Solutions Grants
Housing Opportunities for Persons With AIDS**

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2011 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

June 1, 2011, to May 31, 2012

I. Executive Summary

The specific objectives of the 2011 program year activities were to expand and preserve the supply of decent housing, create suitable living environments and to expand economic opportunities. These objectives were realized through targeted minor housing repair, homeownership assistance, housing counseling, homeless and special needs housing, child care services, senior services, public facilities renovations, and economic development activities.

Expenditures by funding source for the program year were as follows:

- Community Development Block Grant (CDBG) funds in the amount of \$9,891,697.06, with approximately one-third spent for public facilities acquisition and renovation;
- Under the Home Investment Partnerships Program (HOME), \$666,253.33 was utilized exclusively to create homeownership opportunities and expand the availability of decent, safe, and affordable housing, with down payment assistance to 57 qualified, first-time homebuyers;
- The Emergency Solutions Grant (ESG) program, formerly known as the Emergency Shelter Grant program, expended \$334,698.65; and
- The Housing Opportunities for Persons with HIV/AIDS (HOPWA) program expended \$1,181,731.00 in program funds.

The total budget for all four entitlement grants was \$17,487,193.00, including reprogrammed prior years' funds, recaptured funds, and anticipated program income. CDBG-R-funded activities begun during prior program years were completed during the 2011 program year, with scattered site community improvements in progress; \$107,836.97 in CDBG-R funds were expended; \$101,070.13 remained in the account, designated for additional scattered site community improvements. Activity expenses drawn down from the Integrated Disbursement and Information System (IDIS) were \$11,668,090.58, excluding CDBG-R.

The objectives for the program year included availability/accessibility, affordability, and sustainability. While actual outcomes varied by activity, the outcome-based agreements and monitoring activities proved progress was made toward achieving the goals of the five-year housing and community development consolidated plan. The number of reported emergency assistance beneficiaries remained higher than anticipated and appears to be tied to the current economic conditions and the foreclosure crisis, as does the drop in the number of homebuyer down payment assistance loans, although the latter still remains higher than projected. A complete five-year and one-year performance summary can be

found in Section VII, Summary Tables. The Continuum of Care was successful in delivering assisted living, counseling, and job placement for homeless and special needs individuals and households. The ESG and HOPWA partners continued their homelessness prevention objectives, although the total number of HOPWA clients served fell short of projections; and the programs to assist at-risk and homeless persons in Kansas City generally exceeded their annual goals.

Housing activities focused on down payment assistance, housing counseling, single-family new construction, single-family purchase/rehab, multifamily rehabilitation, rental housing production, and minor home repairs. Although it fell short of its previous high, performance exceeded the annual goal for the number of units of down payment assistance funded. Also exceeding expectations were the number of units of multifamily housing completed (boosted by projects held over from past years), as well as housing counseling services. Housing units completed or rehabilitated during the program year were funded in prior program years, some of them through NSP grants. There were no funds expended out of the 2011 program year's budget for single- or multifamily home production, although some projects were awarded funding in the budget. Housing production has been hampered in recent years by the inability of community housing providers to secure construction financing in light of the current economic conditions, but the near-total stoppage appears to be ending. Production is expected to remain slow but steady, as several additional past years' single-family and multifamily projects have started construction and are anticipated to be completed during the next program year.

Public service activities included housing counseling, child care, senior services, and other community services. The performance of the public service providers was satisfactory overall, with many of the funded agencies providing from one and one-half to nearly five times the service levels anticipated at the time goals were set. The attached summary tables indicate each funded agency's goals and outcomes.

Economic development activities were undertaken to encourage the creation of area benefit businesses, as well as the creation of jobs that primarily benefit low-income persons. The City's Small Business Development Division and the community-based Hispanic Economic Development Corporation (HEDC) added jobs and businesses to several neighborhood service delivery areas. The City's Section 3 Office, which registers eligible low-income residents for potential placement on applicable construction projects, also created economic opportunities. In addition, public facilities funding benefited the community and created jobs for area residents.

The activities funded in local designated Neighborhood Service Delivery Strategy Areas (NSAs) and Neighborhood Revitalization Strategy Areas (NRSAs) had a positive impact on area residents through the completion of new or rehabilitated housing units and targeted minor home repair assistance during the program year. Some of the public service benchmarks established in the NSAs and NRSAs were not funded in the current program year; therefore, the proposed outcomes were not realized.

Monitoring efforts and interdepartmental coordination have continued to improve. There has been an increased level of communication and cooperation among the departments having oversight for the various grants and activities, particularly as the two principal grant-funded departments, Housing and Community Development (HCDD) and Neighborhood and Community Services Department (NCSD), were merged into a single department—the Neighborhoods and Housing Services Department—at the end of the program year. Because of the timing of the merger, the two are treated as separate departments for the purposes of this document.

Program evaluation and adherence to crosscutting regulations such as affirmative action, Davis-Bacon prevailing wage, environmental regulatory compliance, and Section 3 efforts continue to improve. The CDBG, HOME, ESG, and HOPWA programs have been successful in completing their objectives by accomplishing activities that provide an outcome of decent housing, a suitable living environment, and economic opportunities for the low-income residents of Kansas City, Missouri.

Throughout the program year, HCDD and other City staff were actively collaborating with HUD and the Housing and Economic Development Financial Corporation (HEDFC) Receiver to resolve long-standing issues surrounding the return and/or disposition of CDBG and HOME assets. The major activities and accomplishments from the year are outlined under Section II, Asset Recovery Activities and Accomplishments, below.

II. Asset Recovery Activities and Accomplishments

As per the U.S. District Court’s “Order and Directions for Termination of Receivership,” issued on January 6, 2011, the following lays out the Order’s schedule of activities, timelines, status, and accomplishments with regard to HEDFC’s holdings.

A. Liquidation Period

Beginning Date for the Liquidation Period	5/1/2012
Receivership to Cease Daily Operations	12/31/2012
Final Order of the Court Dismissing Receiver Will Be Entered	10/31/2013

B. Transfer and Disposition of Assets

1. Compliant Assets:

City/HUD to determine compliant or noncompliant assets. 2/28/2011
Status: *On March 24, 2011, HUD submitted a letter to the City entitled **Compliant and Non-compliant Asset Determination** to the Receiver. Upon review of the noncompliant HOME loans made by HEDFC, in July, 2011, the City submitted a waiver request to HUD. Upon review of the request, HUD asked that the City withdraw it. The City e-mailed a report to HUD and the Receiver October 2, 2011, showing current noncompliant HOME loans. The City was to continue to work with HUD on two loans in default caused by the death of the recipient; and one loan was being paid off by the owner.*

Loans grantable to remain with Receiver and granted by:	12/31/2012
Compliant Loans "first offering program" presented. <i>Status: Completed first offering.</i>	12/31/2011
City to establish written policy for disposition of HOME/CDBG escrows. <i>Status: City established written escrow policy.</i>	2/28/2011
HUD to issue letter to City on servicing requirements. <i>Status: Completed.</i>	2/28/2011
City to select servicer approved by HUD and Court. <i>Status: AmeriNational Community Services, Inc. selected as servicer by City. HUD approved to proceed with contract. Ordinance introduced to City Council on October 27, 2011 to approve contract. Comments on contract received from AmeriNational for review by Law.</i>	6/30/2011
Receiver to notify debtors of transfer of servicing. <i>Status: Receiver submitted motion to court for extension of notification to November 30, 2011.</i>	10/31/2011
Receiver to transfer compliant consumer loans to City-selected servicer. <i>Status: Compliant grantable loans to City by December 15, 2011; and payable loans to servicer by January 15, 2012.</i>	2/28/2012
If servicer not approved, Receiver to begin liquidation of consumer loans.	2/28/2012
Receiver to continue servicing multifamily (MF) loans.	
Multifamily loans not paid off by May 1, 2012, to be transferred to City/ HUD/Court-approved servicer. <i>Status: On May 24, 2011, the City and EDC (and affiliated agencies) entered into a Loan Servicing Contract for the MF Loans. EDC (Economic Development Corporation of Kansas City, Missouri) has entered into confidentiality agreements with HEDFC for reviewing MF Loans. HUD reviewed and approved the City/EDC Contract. Does this assume that HUD has approved EDC as the servicer? When will a recommendation be made to the Court for its approval?</i>	5/1/2012
City/HUD/Court-approved servicer of MF loans to have procedures in place and be prepared to accept transfer of loans. <i>Status: EDC submitted loan procedures for approval in November, 2011.</i>	12/31/2011
Receiver to notify customers of transfer of servicing.	5/1/2012

2. Section 108 Loans:

Receiver ordered to pay \$650,000 into registry of the Court as partial collateral.

Receiver to designate \$5,350,000 as reserved on the books and not to be accessed for any purpose except repayment of Section 108 loan without Court approval.

Payoff date (as stated by HEDFC): 8/1/2012

Any excess program income to repay 18th and Vine Section 108.

3. Remaining Program Income (PI):

CDBG PI—to be deposited into City’s CDBG program income account.

HOME PI—City to propose list of HOME eligible projects to HUD.

List # 1 2/28/2011

List #2 2/1/2012

Status: *On March 2, 2011, the City notified HUD, requesting the use of HOME PI funds on List #1:*

- *Highland Place Apartments, in the 18th & Vine Jazz District, \$1,402,005.00; and*
- *Colonnades at Beacon Hill, 27th & Tracy, \$1.7 million.*

A joint motion for Court approval of the Highland Place Apartments was submitted on November 18, 2011. Highland Place was expected to close in November, 2011; and the Colonnades, in March, 2012.

Upon written approval by HUD, Receiver to release HOME PI with Court authorization.

Any remaining HOME PI to be deposited into the City HOME Trust Fund.

Status of HOME PI Funds:

Funds Available as of 9/30/11 \$4,449,280.87

Uses:

Highland Place \$1,402,005.00

Colonnades \$1,700,000.00

Balance Available for List #2 Projects \$1,347,275.87

4. Noncompliant Loans:

Receiver to retain all single-family (SF) loans grantable by: 12/31/2012
and continue to service those loans.

SF Loans that extend past 12/31/2012 to be transferred to HUD/Court-
approved servicer by: 10/31/2012

Receiver to continue servicing MF loans until: 5/1/2012

All remaining MF loans transferred to HUD/Court-approved servicer. 5/1/2012

5. Holy Temple Homes and Beacon Hill:

Receiver to seek Court authority to transfer Holy Temple Homes and Beacon Hill upon City notification of selection of a developer approved by HUD and execution of performance agreement approved by HUD on each of said properties.

Selection of developer and performance agreement to begin by: 5/1/2012

**Holy Temple Homes:
Status/Accomplishments:**

- Notice to developers issued. 5/22/2011
- Deadline for submission—four received. 6/23/2011
- Selection Committee preliminarily selected St. Michael's Veterans Center. 7/21/2011
- City Manager issued letter of funding commitment. 10/3/2011
- EDC Executive Committee approved project for predevelopment. 10/14/2011
- EDC sent presentation booklet to HUD for approval. 10/17/2011
- Held update meeting with neighborhood residents. 10/24/2011
- Receiver to review project and issue letter of site control for MHDC application. 11/9/2011 (est.)
- Developer was to submit LIHTC Application. 11/14/2011
- MHDC awarded LIHTCs. 2/2012

**Beacon Hill:
Status/Accomplishments:**

- **NW Quadrant**

The City's Water Services Department, along with the Public Improvement Advisory Committee, committed \$3 million, including a \$1.5 million federal grant from the EPA, plus matching funds, for the construction of sustainable infrastructure in the area from 26th to 24th, Troost to Tracy. Current plans involve the construction of the entire infrastructure package of new streets, sidewalks, and storm drainage for the Phase III area of Beacon Hill. This construction project will allow the sale of up to 20 single-family home lots. All predevelopment work has been completed—i.e., engineering design and estimate completed; approval from MDNR and the EPA with platting issues satisfied; and a pre-bid meeting was scheduled for Tuesday September 20, 2011, with the bid opening on October 11, 2011. The notice to proceed was issued, and construction is underway. This area is currently in discussions for a 250-unit student housing complex and townhouse project.

- **27th Street Colonnades Project**

The City issued two RFP's for the development of the Colonnade Apartments, with the second RFP due in January, 2010. The City received a response to the second RFP from Sparrow Community Development Corporation. This proposal was analyzed by City staff, the City's Technical Review Committee, and HUD, which ultimately approved the proposal on August 31, 2011. Currently, Sparrow's staff has received commitment letters from its equity partners and, due to the lack of purchasers of their AHAP credits, will be filing an application for additional State LIHTC in November, 2012. LIHTC was approved in February, 2012; closing is anticipated in August, 2012; and construction is to begin as quickly as possible. The project includes rehabbing two of the existing buildings and erecting three new

buildings that are architecturally compatible with the existing units at a cost of \$6.2 million.

- **Tracy Housing Infill Project**

On July 8, 2011, EDC issued an RFQ/P for the Tracy Avenue infill project for development of approximately 24 single-family homes along the 2400 to 2500 blocks. EDC received one response from Builders Development Corporation (BDC), proposing to acquire and develop all of the lots in a multi-phased approach, starting with five new homes. On September 27, 2011, the EDC Selection Committee met with the developer and recommended approval to the EDC Executive Committee. EDC is drafting a development agreement for HUD and Receiver approval. Upon approval, the City is to request a joint motion for the transfer of all awarded lots to the Economic Development Corporation Charitable Fund (EDCCF).

- **Southwest Quadrant--from 25th to 27th, Forest to Troost**

Truman Medical Center has preliminarily selected a block and a half section of this area for the potential location of a grocery store. Of the 26 properties in the proposed grocery store project area, 11 are owned by HEDFC. Upon a formal announcement, the City and EDC are to enter into a development agreement which will include proceeding with condemnation of remaining properties, forwarding of development agreement to HUD for review and approval, and requesting a joint motion for the transfer of the HEDFC-owned lots to EDCCF.

- **Amendment to Beacon Hill Development Contract**

The City drafted and approved an amendment to the Master Development agreement outlining a revised scope of services to be performed by Beacon Hill Developers, the City, and EDC. It includes performance measures on all remaining property in Beacon Hill, based on the milestones in the EDC/City Asset Management Contract, and includes a revised fee schedule. Ordinance No. 120198 approved the Amendment to the Development Contract, effective April 23, 2012. The City is to request a motion for the transfer of all remaining lots to EDCCF.

6. Registry Square:

Property transferred to Habitat for Humanity by: 5/12/2011
Status: Completed by HEDFC.

7. Lovett Place and Benton Square:

City to file recommendation pursuant to Court's Order 11/3/2010 by: 1/31/2011
Status: On January 25, 2011, the Receiver and City filed a Joint Recommendation and Motion Regarding the Disposition of Scattered Site REO Properties (Doc. #2126). The Recommendation was to transfer 13 properties from the Lovett Place and Benton Square properties to the EDCCF to be designed and constructed as community improvement projects which meet a

HUD LMA national objective, with the remaining 15 properties to be liquidated. At the August 2, 2011, auction, none of these properties was sold; and the Seventy-Seventh Motion recommended the properties be transferred to the EDCCF. The City drafted and approved an amendment to the EDC contract which added these properties.

City to file motion for Court approval to transfer 2826 Prospect by: 2/28/2011

***Status:** The City attempted to work with the adjacent church (the Metropolitan A.M.E. Zion Church), with the intent of having the property transferred to the church's non-profit entity, but this proved unsuccessful when the church could not produce a letter of determination confirming it was a 501(c)3 entity. A City demolition lien is also connected to the property; and upon the church not wanting to purchase the property with the lien, it was determined that the property would be transferred to the EDCCF, and then the City's Lien Waiver Committee would be asked to consider waiving the lien. On October 7, 2011, the Receiver submitted a motion to have the property transferred to the EDCCF as part of the Conveyance of Scattered Lots.*

8. Balance of Portfolio:

Parties to meet to confer on remaining REO properties and make recommendation. 1/25/2011

***Status:** After attempting to liquidate properties determined by the Receiver and City at an auction held on August 2, 2011, on October 7, 2011, the Receiver filed the Seventy-Seventh Motion in the U.S. District Court for the Western District of Missouri in Case No 05-00368-CV-W-GAF to approve the transfer to the EDCCF of certain unimproved real estate which failed to sell. Upon City review, a corrected motion was filed, agreeing that 51 properties be transferred to the EDCCF at a total asset cost (the recapture cost) of \$428,693.29.*

On October 6, 2011, the City Council passed Resolution No. 110784 to provide recaptured funding for noncompliant CDBG activities by HEDFC and the City. The resolution, approving Substantial Amendment Number 4 to the 2011 Action Plan, authorized the use of \$3,000,000.00 in non-federal funds (the recaptured funds) for an eligible Low/Mod Area Public Facility activity. The facility is the Crime Prevention Campus, aka the East Patrol Police Station and Crime Lab, to be located from Brooklyn to Prospect, 26th to 27th streets. Funds are being used for acquisition, relocation, and other necessary pre-construction costs associated with the facility. Thus, the costs associated with all identified ineligible CDBG activities will be "paid back" with these non-federal recaptured funds. The payback was accomplished in May, 2012.

9. Proceeds from Sale of Noncompliant Assets:

Final repayment amount to be determined by HUD/City. 2/28/2013

III. Five-Year Plan Assessment of Progress

The 2007-2011 Consolidated Plan (Con Plan) was constructed on a strategic framework which emphasized increased homeownership, support for community development activities, and increased access to affordable housing free from discrimination. In addition, the

Five-Year Plan goals were created to ensure equal opportunity in housing, embrace high standards of management and accountability, and to promote participation of faith-based community organizations. The summary of specific housing/community development objectives can be found in Table 2C in Section VI, Summary Tables.

The Five-Year Plan was a collaborative effort between City departments, community organizations, public agencies, neighborhood associations, and citizens. This report summarizes the fifth year of the 2007-2011 Consolidated Plan—program year 2011, which ran from June 1, 2011, through May 31, 2012.

During program year 2011, the City carried out the following amendments to multi-year annual action plans.

- Action Plan Amendment #1 to true up approved funding with the actual amount of grant monies allotted to the City under all four programs was passed by City Council on June 30, 2011.
- Amendment #2, passed September 8, 2011, added \$1,700,000.00 to HOME's rental housing production budget for the Colonnades at Beacon Hill.
- Amendment #3, passed October 27, 2011, redistributed part of the CDBG public facilities renovation funds designated for renovating one Black Economic Union facility, the historic Lincoln Building, to renovate another Black Economic Union holding, the Heritage Business Park II.
- Amendment #4, passed October 6, 2011, allowed the City to pay back \$3,000,000.00 in monies owed for activities conducted by HEDFC a number of years ago that were not consistent with HOME and CDBG program requirements by helping fund a major public facilities project—the acquisition, predevelopment, and construction of a new Crime Lab and East Patrol Division Office, aka the Crime Prevention Campus, in a blighted area.
- Amendment #5, passed December 1, 2011, added \$58,415.00 in reprogrammed funds from the prior year to the HOME budget and allowed additional financing for renovating Squier Park Townhomes and keeping the units affordable for 20 years.
- Amendment #6 for Stage 2 ESG funds when the program changed from the Emergency Shelter Grant to the Emergency Solutions Grant passed April 26, 2012, increasing funding an additional \$208,625.00 and allowing money to be set aside specifically for rapid re-housing.
- Amendment #7 was not passed until June 14, 2012—following the end of the 2011 program year. It was, therefore, not counted in the overall budget totals. It had the effect of reprogramming the HOME funds that had been reserved for tenant-based rental assistance once it was determined that the City did not have the resources to manage such a program.

One of the major goals of the Con Plan was to enhance the City's ability to effectively address community development issues by establishing a single point of contact with HUD for matters pertaining to the CDBG and HOME programs, as well as any other federal or state housing-related programs. The City moved aggressively to accomplish this by creating the Housing and Community Development Department in 2009 and continued to expand its

role in housing issues in 2011—right up until its merger with Neighborhood and Community Services Department. In addition to its direct work with administration of the aforementioned programs, the department actively participated in other planning-related housing activities such as the reBuild KC/Neighborhoods plan, as well as in administering various stimulus funding efforts like CDBG-R, weatherization, and NSP.

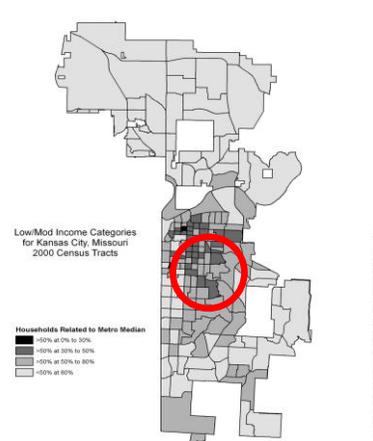
The Con Plan also focused heavily on the need for the City to utilize competitive processes for the awarding of new grant funds. HCDD continued in 2011 to award CDBG and HOME funding competitively through the use of a point system based upon several criteria: consistency with the Con Plan, location within a target neighborhood, community support of the project, the availability/accessibility of jobs appropriate to neighborhood residents, the agency's financial and administrative capacity, and the availability of private funding. Recommendations to the City Council for the awarding of LIHTC by the Missouri Housing Development Commission also employed a point system based on a similar set of evaluative criteria.

The City continued to make good progress in 2011 toward accomplishing priority needs associated with homeownership—home purchase assistance to first-time home buyers and financial assistance for home rehabilitation. The down payment assistance (DPA—also known as KC Dream) and minor home repair programs both produced significant accomplishments. In fact, the five-year goals for DPA and for housing rehabilitation were increased in 2010 by 80 (to 540) and 100 (to 1,850) respectively.

The area where the City has failed over the five-year span of the Con Plan to make adequate progress toward meeting its goals is housing production and rental rehabilitation. Five-year goals for development of both rental and owner-occupied units were reduced last year, along with the goal for rental rehabilitation. These goals were revised to reflect changes in economic conditions occurring since the Plan was written that have resulted in significantly decreased housing values in target neighborhoods, as well as the corresponding tightening of underwriting criteria applied to mortgage loan applicants. The production and rehabilitation of affordable housing units has outpaced the demand and/or the pool of qualified buyers who meet stringent lending criteria; and the location of low-cost lots and houses for development or renovation is not as appealing to qualified buyers who meet lending requirements, despite attractive subsidies.

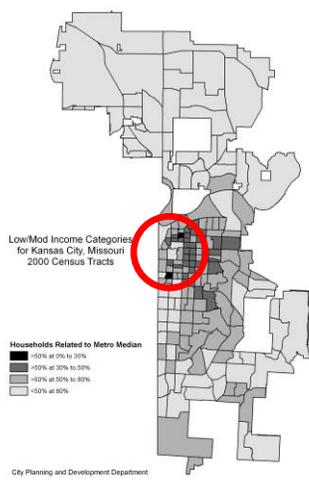
Public service needs that were classified in the Con Plan as “medium” have been effectively addressed over the five years by the full allocation of the 15% maximum allowed under the CDBG program. Activities conducted by a Community Based Development Organization in the Westside Neighborhood Revitalization Strategy Area allowed additional public service funds over the 15% to be committed to this activity. Three agencies needing facility improvements to maintain the level of service being provided to their clientele were also provided with CDBG funds in 2010, consistent with the City's desire to ensure that social service agencies have the proper resources with which to deliver their assistance; they were completed in 2011.

Central City Service Delivery Strategy Area

<p><u>Neighborhood Housing Services</u> Housing Repair Services \$133,486.00 Second Mortgage Program \$51,580.00 Single-Family Unit Goals:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">Yr. 1</td> <td style="text-align: center;">Yr. 2</td> <td style="text-align: center;">Yr. 3</td> <td style="text-align: center;">Yr. 4</td> <td style="text-align: center;">Yr. 5</td> </tr> <tr> <td style="text-align: center;">10</td> </tr> </table> <p>Outcomes:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">Yr. 1</td> <td style="text-align: center;">Yr. 2</td> <td style="text-align: center;">Yr. 3</td> <td style="text-align: center;">Yr. 4</td> <td style="text-align: center;">Yr. 5</td> </tr> <tr> <td style="text-align: center;">0</td> </tr> </table> <p>Home Loan Goals:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">Yr. 1</td> <td style="text-align: center;">Yr. 2</td> <td style="text-align: center;">Yr. 3</td> <td style="text-align: center;">Yr. 4</td> <td style="text-align: center;">Yr. 5</td> </tr> <tr> <td style="text-align: center;">50</td> </tr> </table> <p>Outcomes:</p> <table style="width: 100%; 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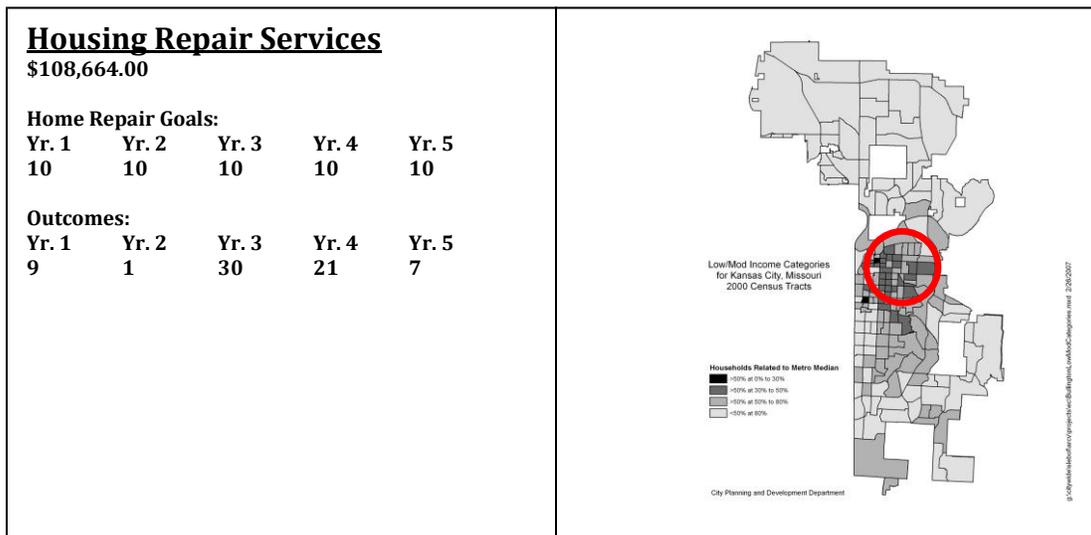
One NSP-funded single-family purchase/rehab/sale was completed, along with two NSP-funded single-family purchase/rehab/leases and the construction and sale of three new NSP-funded homes in the Central City NSA. An additional non NSP-funded single-family purchase/rehab/sale was completed from a 2008 contract. Although it remains to be sold, construction on a new house in Woodland Estates, also from a prior-year contract, was finished during the program year, with two more planned. Rehab work was completed on three single-family homes, with five currently being rehabilitated (Economic Development Initiative grant). Two houses are in progress in Mount Cleveland Estates, with three more planned. Two 2010 public facilities renovation projects (Palestine Senior Citizens Activity Center and Palestine Neighborhood Learning Center) were completed during the 2011 program year. Acquisition and predevelopment activities were begun for a third public facility renovation, the Blue Hills Community Services Center, which is anticipated to be completed during 2013. There were 60 owner-occupied home repairs completed under the Minor Home Repair Program by community development corporations at a cost of \$560,645.59. Forty-eight Section 3 jobs were created in this NSA during the program year. One business owner took advantage of the façade rebate program.

Westside Service Delivery Strategy Area

<p><u>Westside Housing Organization</u> Housing Repair Services \$102,626.00 Single-family new and purchase of rehab \$0</p>														
<p>Single-Family Unit Goals:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Yr. 1</th> <th style="text-align: left;">Yr. 2</th> <th style="text-align: left;">Yr. 3</th> <th style="text-align: left;">Yr. 4</th> <th style="text-align: left;">Yr. 5</th> </tr> </thead> <tbody> <tr> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> </tr> </tbody> </table>					Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	3	3	3	3	3
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5										
3	3	3	3	3										
<p>Outcomes:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Yr. 1</th> <th style="text-align: left;">Yr. 2</th> <th style="text-align: left;">Yr. 3</th> <th style="text-align: left;">Yr. 4</th> <th style="text-align: left;">Yr. 5</th> </tr> </thead> <tbody> <tr> <td>3</td> <td>1</td> <td>1</td> <td>1</td> <td>0</td> </tr> </tbody> </table>					Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	3	1	1	1	0
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5										
3	1	1	1	0										
<p>Home Repair Goals:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Yr. 1</th> <th style="text-align: left;">Yr. 2</th> <th style="text-align: left;">Yr. 3</th> <th style="text-align: left;">Yr. 4</th> <th style="text-align: left;">Yr. 5</th> </tr> </thead> <tbody> <tr> <td>12</td> <td>12</td> <td>12</td> <td>12</td> <td>12</td> </tr> </tbody> </table>					Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	12	12	12	12	12
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5										
12	12	12	12	12										
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Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5										
20	13	7	16	10										
<p>Public Service Activity Goals:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Yr. 1</th> <th style="text-align: left;">Yr. 2</th> <th style="text-align: left;">Yr. 3</th> <th style="text-align: left;">Yr. 4</th> <th style="text-align: left;">Yr. 5</th> </tr> </thead> <tbody> <tr> <td>5</td> <td>5</td> <td>5</td> <td>5</td> <td>5</td> </tr> </tbody> </table>					Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	5	5	5	5	5
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Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5										
0	0	0	0*	0*										
<p>*See page 55 for Westside NRSA public service activities.</p>														
														

A total of 10 targeted minor home repairs were completed in this NSA during the program year at a cost of \$102,626.00. Rehabilitation of the five-unit Jefferson Place, a CHDO multi-family rental rehabilitation project, was completed during 2011. One first-time homebuyer obtained a KC Dream loan for a house in the Westside NSA. The single-family component was not funded in the 2011 Action Plan.

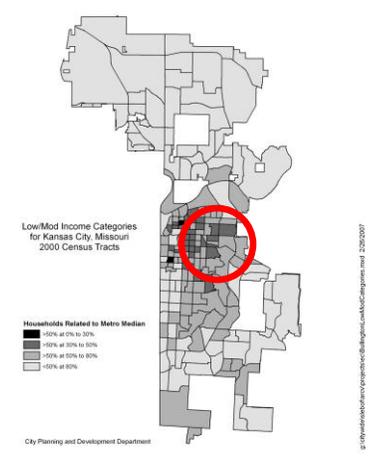
Northeast Service Delivery Strategy Area



One NSP-funded single-family purchase/rehab/sale was completed in the Northeast NSA. Seven owner-occupied home repairs were completed by various community development organizations through the Minor Home Repair Program during the program year at a cost of \$108,664.00. In addition, five new Section 3 jobs were created.

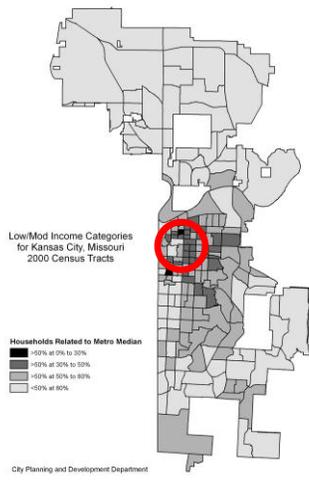
Note: The 2007-2011 Consolidated Plan listed the Northeast NSA graphically as the Blue Hills Community Services activities in error. The Blue Hills activities are correctly placed in the Central City NSA in this report.

Vine Street Corridor Service Delivery Strategy Area

<p><u>Housing Repair Services</u> 0 owner-occupied home repairs</p>						
<p>Single Family Unit Goals:</p>						
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5		
5	5	5	5	5		
<p>Outcomes:</p>						
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5		
0	0	47	0	0		
<p>Multi Family Unit Goals:</p>						
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5		
1	1	1	1	1		
<p>Outcomes:</p>						
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5		
0	0	0	0	88		

Rehabilitation of the Basie Court multifamily project (88 units) was completed in 2011, as was work on the Niles Home for Children, both funded in prior years. Vine Street Views was defunded. Work on the combination single- and multifamily project, Highland Place Apartments/Rochester Hotel (an historical renovation involving six single-family homes and 16 multifamily units), financed by a HOME budget amendment passed late in program year 2010, is currently underway. Public facilities renovations are underway on the historic Lincoln Building and Heritage Business Park II, with both contracts extended and work anticipated to be completed by September, 2012. Acquisition and predevelopment activities have commenced for the City’s new Crime Prevention Campus, which will be located in the Vine Street Corridor NSA. There were two Section 3 new hires. No new multifamily activity in this NSA was funded in the 2011 Action Plan.

Downtown Service Delivery Strategy Area

<p><u>Downtown Homeless Shelter & Homeless Services Coalition</u> \$469,309.00</p>				
<p>Goals:*</p>				
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
1,000	1,000	1,000	1,000	1,000
<p>Outcomes:</p>				
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
50	2,115	6,933	7,563	6,691
<p>*Goals amended to correct errors in the original Con Plan and subsequent action plans.</p>				
				

reStart, Inc. facilities provided 6,691 homeless persons and HIV-positive individuals emergency shelter and related services, along with HOPWA assistance, during the 2011 program year; and its recently rehabbed conference and multipurpose rooms hosted 744 workshops and community meetings involving a total of 2,304 individuals.

All LMI Service Delivery Strategy Area (continued)

Housing Counseling Services

Greater KC Housing Information Center & Guadalupe Center--\$239,593.37

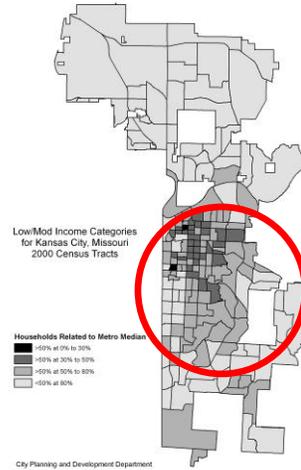
Goals:

Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
7,000	7,000	7,000	4,600	4,400

Outcomes:

Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
3,077*	7,110*	7,501*	4,080*	5,548

*Unduplicated beneficiary numbers.



Senior Services*

Mohart Center—\$0
Palestine Senior Citizens Activity Center--\$39,029.00

Mohart Center Goals:

Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
61,000	61,000	61,000	61,000	61,000

Outcomes:

Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
445	430	0	0	0

Palestine Goals:

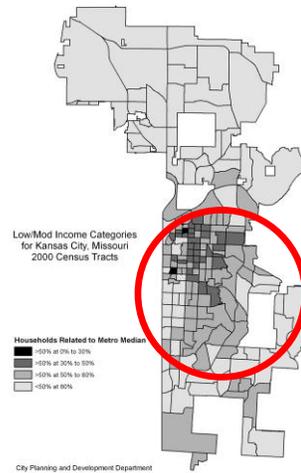
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
41,000	41,000	41,000	41,000	41,000

Outcomes:

Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
215*	295*	0	38,155**	759

*Neither funded in 2009 and 2010; only Palestine funded in 2011.

**Number of services reported, not beneficiaries.



All LMI Service Delivery Strategy Area (continued)

<p><u>Economic Development</u> Black Economic Union—\$0* Hispanic Economic Development Corp.—\$78,083.71</p>					
<p>BEU Goals:</p>					
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	
4	4	4	4	4	
<p>Outcomes:</p>					
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	
2	2	0	0	0	
<p>HEDC Goals:</p>					
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	
6	6	6	8	6	
<p>Outcomes:</p>					
Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5	
6	2	4	6	7	
<p>*BEU not funded in 2009, 2010, or 2011.</p>					

Twelve NSP-funded single-family purchase/rehab/sales were completed in the All LMI NSA, along with one NSP-funded single-family purchase/rehab/lease. Renovation of the transitional shelter Community LINC (24 units), funded in 2010, was completed during 2011. 42 first-time home buyers took advantage of the KC Dream second mortgage program. There were 18 owner-occupied minor home repairs in this NSA during the program year at a cost of \$215,806.59. Construction is anticipated to begin during 2012 for Cameron Place, a 48-unit facility that will rent to special-needs veterans that was funded through a late-breaking 2011 budget amendment. HEDC helped establish seven new microenterprises and seven new jobs; the agency also provided entrepreneurial classes, hosted a weekly radio show, and provided micro-loans to start-ups. The City’s SBDD facilitated the establishment of 101 area benefit microenterprises and the attendant creation of 55 Section 3 jobs created in the All LMI NSA during the program year. In addition, four businesses located in the All LMI NSA took advantage of the façade rebate program.

IV. Assessment of Annual Progress

Affirmatively Furthering Fair Housing

Each year, every HUD grant recipient is required to demonstrate in its action plan that it continues to address impediments to fair housing that were discovered as a result of an Analysis of Impediments to Fair Housing that is undertaken every five years as part of the jurisdiction's consolidated plan development. In preparing the consolidated plan, HUD recipients are required to examine and attempt to alleviate housing discrimination within their jurisdictions; promote fair housing choice for all persons; provide opportunities for all persons to reside in any given housing development, regardless of race, color, religion, sex, disability, familial status, national origin, sexual orientation, or gender identity; promote housing that is accessible to and usable by persons with disabilities; and comply with the nondiscrimination requirements of the Fair Housing Act. The Civil Rights Division of the Human Relations Department is the primary entity within the City of Kansas City, Missouri, that addresses fair housing and enforces the City's fair housing laws.

In developing its new consolidated plan, the City elected to participate in a Regional Analysis of Impediments to Fair Housing (AI). Other jurisdictions participating in the regional AI are Blue Springs, Independence, and Lee's Summit in Missouri; and the Unified Government, Shawnee, Johnson County, Leavenworth, and Overland Park in Kansas. The study was conducted by BBC Research & Consulting during the reporting year. The AI's review of impediments to fair housing choice in the public and private sectors involved examination of the entitlement jurisdiction's laws; an assessment of how those laws affected the location, availability, and accessibility of housing; an assessment of conditions affecting fair housing choice for all protected categories; and an assessment of the availability of affordable, accessible housing in a range of unit sizes.

Several impediments to fair housing were identified in the AI, along with recommendations for improvement. Impediments identified included: (1) the lack of regional coordination in mitigating fair housing barriers and raising awareness of fair housing in the region; (2) difficulty finding information about fair housing; (3) a disproportionate number of minority and low-income households and a disproportionate number of low-rent units in Kansas City, Missouri; (4) a shortage of accessible housing units; and (5) higher loan denial rates for African-Americans and Hispanics compared with Caucasians. In order to address these impediments, the Civil Rights Division hired an individual on a one-year contract to coordinate the City's response to the AI and work with the other regional jurisdictions to determine how best to overcome the identified barriers.

In addition to the findings in the AI, the Civil Rights Division had previously identified other impediments to fair housing choice in Kansas City. The Division has in past years instituted a number of measures to attempt to alleviate these barriers. During the upcoming program year, the Division will continue to work toward the identification, evaluation, and remedying of impediments to fair housing practices in the City. Efforts will be made to expand relationships with other local agencies that have fair housing functions in order to diminish

impediments to fair housing and ensure that all residents of Kansas City have equitable access to decent and affordable housing.

Actions Taken in Response to Impediments Identified in the AI

In addition to hiring an individual to coordinate the City's response to the AI and collaborate with the other jurisdictions in developing a regional action plan, actions taken so far include:

- To address the lack of a coordinated regional effort to mitigate fair housing barriers, the Division has formed a task force with the other participating jurisdictions to ensure coordination of the region's activities in affirmatively furthering fair housing. At the group's initial meeting, representatives discussed their respective jurisdictions' efforts at affirmatively furthering fair housing.
- The Civil Rights Division has always had an aggressive education and outreach campaign designed to provide citizens with information on fair housing. So to address the impediment concerning difficulty finding information about fair housing, the City recently entered into a partnership with the Phillips-West public relations firm, using HUD funds for creating partnerships. Phillips-West is to develop a concentrated education and outreach campaign designed to increase awareness of the Division's fair housing enforcement work and to create more opportunities for the Division to make use of all available resources to educate citizens about fair housing. One of Phillips-West's first tasks was to help inform the community about the Division's Civil Rights/Fair Housing Summit, held in April, 2012. Approximately 300 people attended the Summit. In addition to forging the partnership with the public relations firm, the Division prepared a fair housing fact sheet that could be adapted for use by the other participating jurisdictions.
- The AI listed a shortage of accessible housing units as an impediment. The Division advised the Executive Director of the Kansas City Housing Authority, the local public housing authority, of the AI findings and is awaiting the agency's assessment of its available accessible housing stock and—if the agency agrees that there is a shortage—an explanation of how it intends to remedy the problem.
- Two other impediments identified in the AI are that Kansas City, Missouri, has a disproportionate number of low-rent units and a high concentration of minority and low-income households. The participating jurisdictions have discussed the impediment, and all agreed that low-income housing needs to be dispersed more evenly throughout the metropolitan area. All the other participating jurisdictions have indicated that they are committed to increasing their low-income housing stock. However, recent Civil Rights Division research suggests that there is an inadequate transportation system available to low-income residents who may want to live outside the urban core.

Previously identified impediments to fair housing and the Division's plan to remedy them are outlined in the following sections.

The Need for Consistent Enforcement of Kansas City's Fair Housing Laws

The Civil Rights Division enforces the fair housing provisions of the City ordinance. The ordinance prohibits discrimination in housing based on a person's race, color, religion, sex, disability, familial status, national origin, sexual orientation, or gender identity. The Division receives cases based on its outreach activities, as well as through referrals from HUD. During the program year, the Division fielded over 100 inquiries regarding possible discriminatory conduct and performed 62 formal investigations of discrimination. Of the 62 formal complaints filed, 36 were resolved through successful conciliation either prior to or after a Reasonable Cause determination had been rendered. Complainants received over \$72,000.00 in settlements. Three of the cases were against publications for discriminatory advertising; settlements included the publishers agreeing to attend the Division's fair housing advertising training and to monitor advertising more closely.

Discrimination Based on Ex-Offender Status

A recent initiative of the Civil Rights Division has been helping to promote the civil rights of ex-offenders. The Division has been approached in the past by citizens who complained that they could not get housing or jobs because of their status as ex-offenders. In this jurisdiction, ex-offender status is not a protected category. However, because a disproportionate number of African-Americans and Hispanics are ex-offenders and therefore are disproportionately affected by housing providers and employers who will not rent to or hire ex-offenders, the Civil Rights Division has filed race and national origin fair housing cases using the disparate impact approach. Six cases have been filed against apartment complexes in Kansas City. After filing the cases, the Division was contacted by the Apartment Association of Kansas City and the Heartland Apartment Association. These associations represent the major apartment complexes in the area. After a series of negotiations, both associations agreed to advise their membership to avoid tenant requirements that exclude all ex-offenders. In addition, the respondents in the six cases have revised their tenant selection requirements to allow ex-offenders to rent units under certain conditions. The Division is now in the process of working out a tenant selection policy that is agreeable to the City and to the respondents.

To further its work on enforcing the rights of ex-offenders with regard to housing, the Division has partnered with the Equal Housing Opportunity Council (EHOC), based in St. Louis, to conduct fair housing tests. The tests are designed to determine if apartment managers enforce their ex-offender policies equally.

Barriers to Housing for Families with Children

On occasion, the Division receives complaints from families with children alleging that they are being discriminated against because of the number of children in the family. Fair housing laws prohibit discrimination on the basis of familial status. Familial status

discrimination occurs in a variety of ways, including segregating families with children into separate apartment buildings, refusing to rent upper floor units to families with children, and having overly restrictive rules for children's activities as a way of discouraging families with children from moving into their properties. To assess the extent of familial status discrimination in Kansas City, EHOC will conduct some familial status fair housing tests, particularly in areas of the city that cater to young professionals.

Education and Outreach

During the program year, the Civil Rights Division participated in 44 outreach activities, including fair housing training conducted by Division staff, City Council members' community meetings, community fairs and expos, and town hall meetings, among others. During those outreach activities, Division representatives distributed over 8,000 pieces of the City's fair housing literature.

Conclusion

Impediments to fair housing persist in Kansas City, and City government must continue its efforts to eradicate them. Both federal and state fair housing agencies have determined that they lack sufficient resources to handle a significant percentage of the fair housing violations that occur in Kansas City. Only a strong City agency, fully supported with resources, can reduce barriers to fair housing and help make Kansas City a livable city for all of its citizens.

Affordable Housing

The City of Kansas City has been diligent in meeting its objectives to provide affordable housing to the extremely low-income, low-income, and moderate-income renters and homeowners of Kansas City. The City, utilizing HOME, CDBG, HOPWA, NSP, and HPRP resources following the priorities of the Consolidated Plan, assisted 655 households with some type of affordable housing during the reporting period.

Identified barriers to decent, affordable housing include:

1. Difficulty of low- and moderate-income first-time homebuyers to purchase quality housing at an affordable price:
 - Little or no money for down payment and closing costs;
 - Poor credit histories; and
 - Little understanding of the home buying process.
2. Supply of decent, affordable rental housing is well below the need expressed in the community.
3. Limited number of accessible units to assist persons with disabilities.

4. Capacity building issues of nonprofits to expand their development efforts.
5. Increase in the percentage of the Kansas City population that lives in poverty.

Progress in Creating Affordable Units

The affordable housing goals for the use of federal and local funding identified by the priorities in the 2011 Annual Action Plan were to create 22 home ownership opportunities and develop 15 rental housing units. The City vastly exceeded its affordable housing goal for homeownership opportunities as 57 low-income households were aided through down payment assistance to become homeowners. Additionally, 14 low-income families benefited from second mortgage assistance under the Neighborhood Stabilization Program (NSP) to become homeowners, while three very low-income households were able to purchase NSP-developed homes. Another home developed by a Community Housing Development Organization and funded from a prior year was sold to a low-income family.

NSP homes also provided opportunities for two low-income families to lease decent housing at an affordable rent. In addition, a new 69-unit project funded through CDBG-R for low-income elderly persons was completed and occupied.

The City of Kansas City believes that rehabilitation of rental and single-family housing represents the most essential component of neighborhood revitalization. Rehabilitation efforts to address substandard housing foster neighborhood pride, remove blight, and strengthen the capacity of community organizations. Toward that end, the City continues to use a significant portion of its CDBG and HOME allocations to rehabilitate houses and rental units to meet or exceed HUD decent, safe, and sanitary criteria. The focus of the City's owner-occupied housing rehabilitation program is to address emergency conditions as well as exterior code violations. Owner-occupied housing repair activity was less than anticipated for the program year, as only 117 homes were assisted. However, approximately 44% more funds have been allocated for homeowner rehabilitation activities in the 2012 program year.

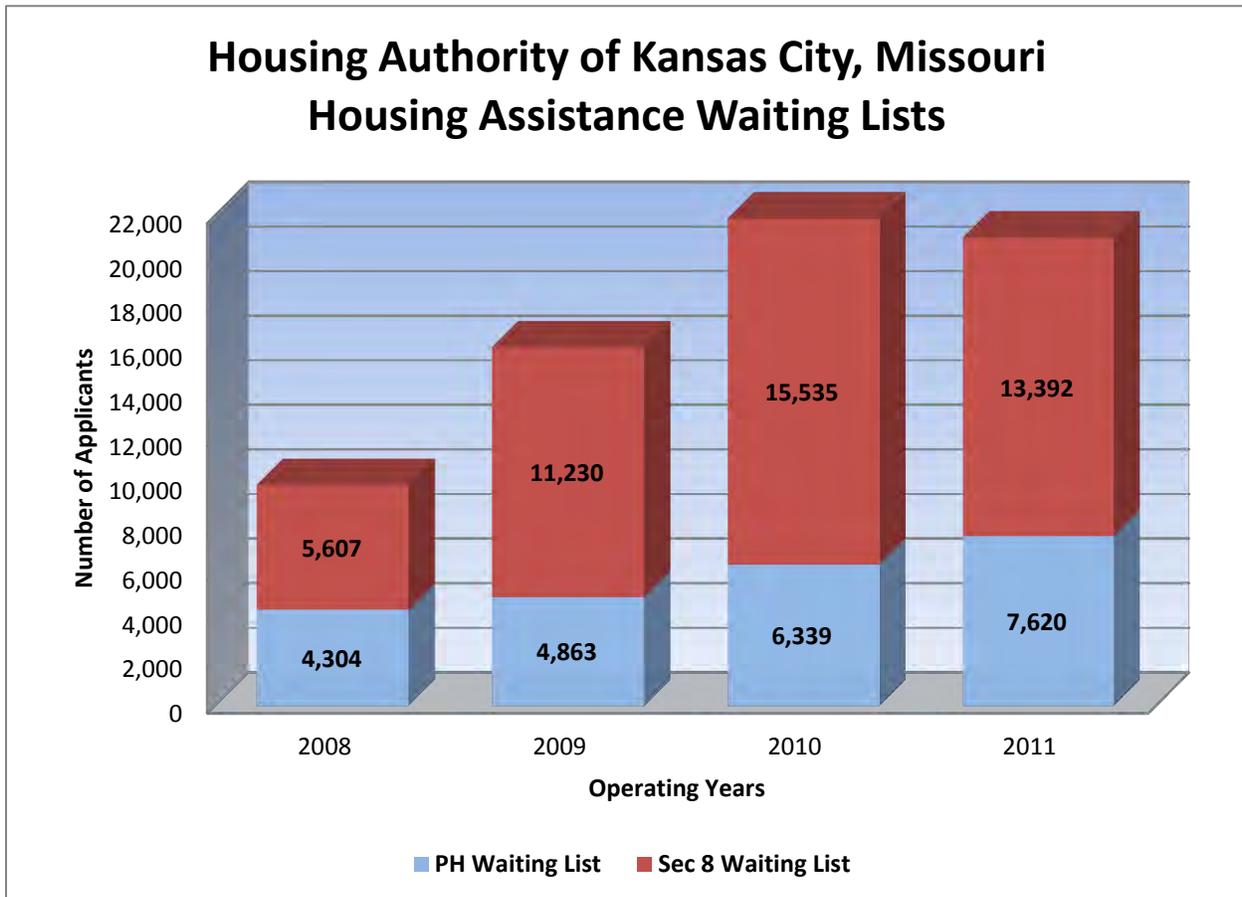
106 permanent rental units were rehabilitated and occupied, helping to maintain decent, safe, and sanitary housing for low-income tenants while rehabilitation of 24 transitional housing units was completed, as well. Efforts will continue over the next few years to significantly increase the number of affordable rental units through rehabilitation activities, as well as new construction.

The existence of Homeless Prevention and Rapid Re-Housing funds over the last few years has also made a significant impact for very low-income rental households in the City, helping them to avoid homelessness.

The annual affordable housing completion goals summary can be found in Table 3B in Section VII, Summary Tables on page 79.

Public Housing and the Housing Choice Voucher Program

The wait lists for Kansas City Housing Authority's (HAKC's) Public Housing (PH) and Housing Choice Voucher (HCV or Section 8) programs continue to grow monthly, with the PH wait list as of February, 2012, at 7,620 (a 16% increase over the previous year) and the Section 8 wait list at 13,392 (a 9% decrease over the previous year). During the past four years of the recession, the combined waiting list for public housing and Section 8 has more than doubled, but this past year's decline in the Section 8 waiting list may to some extent be an indication of economic recovery.



Over 90% of the households on the public housing and Section 8 waiting lists are extremely low income. The average annual household income on the public housing waiting list is \$4,625.00, and the average on the Section 8 waiting list is \$6,790.00. 76% of public housing residents and 85% of Section 8 voucher holders are extremely low income (below 30% of AMI). Approximately 35% of those served by public housing or the Housing Choice Voucher program are disabled. 17% of public housing heads of households are elderly.

The Public Housing Program, which consists of properties that are owned, managed, and maintained by HAKC, has 1,927 housing units and is currently at 97% occupancy. The Section 8 HCV Program administered by HAKC has 7,510 Housing Choice vouchers (including 122 Project-Based Vouchers) with a utilization rate of 97%. Allowing for routine turnover,

this is essentially full occupancy and utilization in both programs. HAKC also administers 100 Mainstream vouchers, 29 Shelter Plus Care vouchers, and 195 Veterans Administration Supportive Housing (VASH) vouchers.

HAKC continues to work through sharp reductions in its major funding sources, including the public housing operating fund and the public housing capital fund. HAKC obtained two key grants from HUD—a Choice Neighborhoods planning grant to plan for the replacement of Chouteau Courts and a grant to hire a full-time Section 3 Coordinator. HAKC selected an energy services company (ESCO) and completed energy assessments on all its properties. HAKC continues to take action to address the need for affordable housing by working in partnership with private developers and other public and nonprofit agencies to provide housing with supportive services to low-income families, seniors, and disabled residents. HAKC completed and occupied Beacon Park Townhomes and Pemberton Park for Grandfamilies in 2011. These projects are discussed further below.

2011 Accomplishments—HAKC completed the following activities to encourage family self-sufficiency and increase the supply and quality of the City’s affordable housing stock in 2011:

- **Paseo Gateway Choice Neighborhoods**—HAKC obtained a Choice Neighborhoods Initiative planning grant in the amount of \$250,000.00 from HUD. The purpose of the grant is to prepare a Transformation Plan for the replacement of Chouteau Courts and redevelopment of the surrounding neighborhood in North-east Kansas City. The City of Kansas City, Missouri, Local Initiative Support Corporation (LISC), and the Kansas City University of Medicine and Biosciences (KCUMB) were key partners in obtaining the grant.
- **Pemberton Park for Grandfamilies**—HAKC, through its nonprofit affiliate, worked with Cougar Capital, LLC to complete construction in 2011 of this new 36-unit development for “grandfamilies.” Grandfamilies consist of grandparents who are raising their grandchildren. The development was built on four acres of vacant land owned by HAKC near the intersection of Blue and Swope Parkways. Supportive services will be provided through Phoenix Family Services.
- **Beacon Park Townhomes**—HAKC, through its nonprofit affiliate, and in partnership with Paseo Baptist Church and Michaels Development, completed construction and occupancy of this new mixed-income, 45-unit townhome development, which includes market rate, tax credit, and public housing units. The site is located in the Beacon Hill Redevelopment District, between 25th and 27th Streets, and Paseo and Vine.
- **St. Joseph Place—HUD 202 Development for Seniors**—Construction was completed on 47 units of independent-living apartments for seniors utilizing vacant land located on the Wayne Miner public housing site. Funding in the amount of \$5.5 million was provided by the HUD 202 program. Catholic Charities sponsored the project and leased the land from HAKC. The Yarco Company developed and manages the site.

- **Homeownership**—6 Section 8 voucher holders and public housing residents successfully purchased homes in 2011 as a result of their enrollment in the HAKC Public Housing and Section 8 homeownership programs. Currently, 18 Section 8 voucher holders receive mortgage assistance through the Section 8 Homeownership Program.
- **Section 3**—HAKC was successful in obtaining a Section 3 Coordinator Grant from HUD. This will enable HAKC to hire a full-time Section 3 coordinator for two years, revise its Section 3 Plan, and implement a skills bank for referral of public housing residents to construction jobs using public funding.
- **Public Housing Maintenance and Capital Improvements**—HAKC completed \$3,821,221.00 in capital improvements for existing public housing developments in 2011. This amount included both Public Housing Capital Funds and remaining ARRA stimulus funds.
- **Public Housing Work Order Completion**—HAKC has successfully maintained an average work order completion time of 7.49 days, which is a grade “A” under the HUD Public Housing Assessment System (PHAS).
- **Public Housing Energy Performance Contract**—HAKC procured an energy services company (ESCO) and completed energy assessments of every HAKC public housing site, administrative office, and community center. The resulting preliminary energy improvement plan calls for over \$12 million in energy conserving improvements. The improvements will be financed by a HUD loan that will be paid back annually with utility savings. The plan sets out to complete projects that were initially slated to use capital funds now using ESCO funding. The freed-up capital funds as a result of the implementation of the ESCO allow for other modernization and improvements.
- **Housing Choice Voucher Program**—HAKC achieved “High Performer” status through the Section Eight Management Assessment Program (SEMAP), achieving a score of 100 out of 100 possible points.
- **Columbus Park/Phase III**—HAKC continued to work with the Land Clearance for Redevelopment Authority and Columbus Park Developers to prepare plans for the redevelopment of 21 acres in the Columbus Park neighborhood, including seven acres of vacant land owned by HAKC. HAKC received approval for disposition of the seven acres from HUD.
- **Shelter Plus Care Program**—HAKC continued work with the Homeless Services Coalition and the local Continuum of Care to implement two Shelter Plus Care grants. The grants provide supportive housing for formerly homeless clients who are also receiving drug and alcohol rehabilitation or suffering from mental disability. HAKC partners in providing case management and supportive services for this project include the Kansas City Veterans Administration Medical Center, Swope Health Services, and Truman Behavioral Health Network. Five of the units are occupied by veterans. Supportive services will include programs directed toward employment and self-sufficiency.

- **YouthBuild Program**—HAKC began its fourth year of the YouthBuild program. A total of 133 urban core youth have participated in the program to date. Four YouthBuild trainees were hired as painters and masonry laborers on the Beacon Park construction site and paid prevailing wage as part of the Section 3 Program. Four youth are enrolled in college.
- **Impact of Housing and Services on Homeless Families Study**—Up to 64 vouchers are being provided to homeless families to provide evidence to help federal policy makers, community planners, and local practitioners prioritize the use of resources and structure local homeless assistance programs.
- **HUD Veterans Affairs Supportive Housing**—HAKC continues to provide Housing Choice Voucher rental assistance for homeless veterans with case management and clinical services provided by the Department of Veterans Affairs (VA). The VA provides these services for participating veterans at VA medical centers (VAMCs) and community-based outreach clinics. HAKC was awarded an additional 25 VASH Vouchers in 2011.
- **Job Readiness Program**—113 public housing and HCV families completed the Job Readiness Program offered by United Services Community Action Agency and the Housing Authority Service Coordination staff.
- **Self-Sufficiency Program**—26 participants graduated from the HAKC Family Self-Sufficiency program in 2011. 234 current participants in the program have established escrow savings accounts, with an aggregate balance of over \$800,000.00. Residents may apply these accounts to debt reduction, education, transportation, or home purchases. In addition, 552 public housing residents and area low-income households took part in the KC Cash tax assistance program. Two hundred of these households received the Earned Income Tax Credit.
- **HAKC/COMBAT Drug Prevention Resource Center at Clymer Center**—This Resource Center offers a computer lab, life skills, and job readiness training, and provides resource and referrals to families who live in the community. Capacity building programs for the public housing residents and youth programs are also offered.
- **FDIC Money Smart Program**—117 public housing residents and Section 8 voucher holders graduated from the ten-week Money Smart financial education program developed by the FDIC. 230 individuals attended at least one Money Smart Program module in 2011. In addition, a Money Smart for Youth program was implemented in 2011 with 28 public housing residents in attendance.
- **Welfare to Work**—In 2011, the Housing Authority Resident Services Department entered into a contract with Greater K.C. LINC, Inc. to provide case management services under the Missouri Work Assistance Program to the TANF clients who lived in public housing and surrounding areas. 225 clients were provided services that included job readiness classes, career exploration, and job placement. Three HAKC case managers worked with TANF families residing in public housing, Section 8 housing, and in the community, helping families remove barriers to employment.

- **HAKC Computer Labs**—885 adults were provided computer training, job skill training, job readiness programs, and literacy programs, including online tutoring for the GED test or high school diploma. There were 870 youth visits for tutoring and homework assistance. The YouthBuild Program incorporated computer-based training into their GED preparation using the PLATO self-tutoring software program. They also created PowerPoint presentations as part of the career exploration activities.
- **HAKC Tutoring Program**—55 students from Riverview, Clymer Center, and Guinotte Manor received tutoring five days a week, two hours daily. These services were provided by the organization Learning for Today in partnership with a local sorority.
- **HAKC Wayne Miner LINC Site**—120 public housing and community children are served by LINC in their before/after school and full-day summer program at Wayne Miner.
- **Nutrition Program**—Approximately 10,000 meals were provided to children and disabled residents who reside in the public housing family developments during eight weeks of the summer and during the academic year to supplement the free and reduced-price lunch program.

2012 Goals—HAKC has the following goals for 2012 to encourage family self-sufficiency and increase the supply and quality of its affordable housing stock:

- **Performance**—Achieve the status of “High Performer” in HUD’s Public Housing Assessment System (overall score of 90+) and maintain “High Performer” in HUD’s Section 8 Management Assessment Program.
- **Occupancy**—Maintain Public Housing occupancy and Section 8 utilization at 97% or higher.
- **Capital Improvements**—Complete improvements at public housing developments and scattered-site units utilizing Capital Grant funds. Work will include replacement of major systems, roofing, safety improvements, and rehabilitation of older scattered-site housing.
- **Agency-Wide Energy Performance Contract**—Obtain HUD approval of the energy performance plan and begin implementation of the energy conservation improvements under the ESCO contract developed in 2011. Financing for the improvements will be paid with utility cost savings. HAKC staff and residents will receive training in energy conservation equipment, materials, and techniques.
- **Pemberton Park for Grandfamilies**—Complete occupancy of the 36-unit development and establish the supportive services program for resident grandfamilies in partnership with Phoenix Family Services.
- **Columbus Park Redevelopment**—Approve the Columbus Park redevelopment plan, execute a property transfer agreement for the seven acres of ground owned by HAKC, and begin construction.

- **Paseo Gateway Choice Neighborhoods Initiative**—Complete the Chouteau Courts/Paseo Gateway Transformation Plan with input from public housing and neighborhood residents. Identify sites and financing for replacement housing for Chouteau Courts. Prepare a submission to HUD for a Choice Neighborhoods Implementation Grant.
- **Homeownership**—Graduate seven first-time homebuyers from the public housing and Section 8 homeownership programs. The Housing Authority is working with the Green Zone to coordinate a home fair to educate the families about homeownership opportunities available through Neighborhood Stabilization Program (NSP) funds. The fair will consist of participation in a Homes Tour and provision of information from the five agencies that received NSP funds and provide home financing.
- **Section 3**—Hire a full-time Section 3 Coordinator. Update the HAKC Section 3 Plan. Establish a skills bank for referral of public housing residents to construction projects. Develop partnerships with the Section 3 programs of the City of Kansas City, Missouri, and the Missouri Housing Development Commission.
- **Shelter Plus Care**—Continue implementation of HAKC’s two Shelter Plus Care grants and provide 29 vouchers for supportive housing for clients of HAKC’s partners, including the Kansas City Veterans Administration Medical Center, Swope Health Services, and Truman Behavioral Health Network.
- **YouthBuild**—In 2012, HAKC will begin its fifth year of the YouthBuild program with funding provided by the U.S. Department of Labor. The staff will recruit 37 new trainees. Construction of the new platinum LEED-certified home at 5307 Wayne Avenue will be completed, and two public housing homes will be rehabilitated. Nine participants will earn their GEDs and enroll in post-secondary education.
- **Job Readiness**—100 residents will complete a Lifeskill Curriculum developed and facilitated by the Family Self-Sufficiency Program case managers and community volunteers.
- **Family Self-Sufficiency**—There will be 30 graduates from the HAKC Family Self-Sufficiency program. There will be 412 total participants with 235 escrow savings accounts with an aggregate balance over \$600,000.00.
- **FDIC Money Smart Program**—100 public housing residents and Section 8 voucher holders will graduate from the ten-week Money Smart financial education program developed by the FDIC.
- **HAKC Computer Labs**—Despite major cuts in funding, HAKC staff will maintain the computer lab three days a week, with sessions in the morning and evening.
- **LINCWORKS Program**—The Housing Authority Resident Services Department will continue to partner with Greater K.C. LINC, Inc. to provide case management services to TANF families under the Missouri Work Assistance Program. There are approximately 250 families on the TANF program in public housing.

Long Range Goals—HAKC’s long-range goals for 2012-2016 include:

- **High Performance**—Annually achieve and maintain “High Performer” status per HUD’s Public Housing Assessment System (PHAS) and Section 8 Management Assessment Program (SEMAP).
- **Property Management**—Continue to maintain effective property management, uphold high occupancy rates, maintain property appearance, and ensure resident safety.
- **Choice Neighborhoods**—Obtain a Choice Neighborhoods Implementation Grant from HUD to implement the Paseo Gateway Transformation Plan, and replace Chouteau Courts with new and rehabbed mixed-income developments.
- **Columbus Park Redevelopment**—Work with the City to oversee the successful implementation of the Columbus Park Redevelopment Plan, including the seven acres of Phase III of Guinotte Manor set aside per the Cooperative Agreement. The Plan will include a Section 3 requirement and an affordable housing component for first-time homebuyers.
- **Agency-Wide Energy Performance Contract**—Complete over \$12 million in energy conserving improvements on HAKC properties under the ESCO program approved by HUD. Utilize utility savings to pay all costs of the project.
- **Homeownership**—Provide training and financial assistance to help at least 15 Public Housing and Section 8 families become first-time homebuyers each year.
- **Housing Counseling**—Provide financial literacy and debt management training to 100 urban-core families through certification as a HUD-sponsored Housing Counseling Agency.
- **Homelessness**—Continue to coordinate with service providers assisting the homeless to identify and implement measures to reduce and eliminate chronic and other forms of homelessness.
- **Family Self-Sufficiency**—Maintain funding for Family Self-Sufficiency and supportive services, including employment training and youth activities, by seeking out nontraditional sources in partnership with local service agencies.
- **Capital Improvements**—Make effective use of all available HUD capital funds to improve and maintain existing public housing developments and scattered sites.
- **Affordable Housing Development**—Coordinate with the Missouri Housing Development Commission and the City of Kansas City, Missouri, to address the need for affordable housing and redevelopment of the urban core.
- **Joint Ventures for Affordable Housing**—Continue to form partnerships with CDCs, nonprofit service agencies, and for-profit developers to increase the availability of affordable housing and supportive services for low-income residents of Kansas City, Missouri.

- **Youth Services**—Continue to provide youth academic support and recreation programs at the Clymer, Wayne Miner, Riverview, Chouteau Courts, Guinotte Manor, and West Bluff Community Centers.

Lead-Based Paint Assessment and Strategies

Actions Taken During 2011 to Evaluate and Reduce Lead-Based Paint Hazards

Addressing lead paint hazards in residences is an integral part of any housing program. It is of particular concern to the City of Kansas City, Missouri, where as much as 70% of the existing housing stock (153,795 of 220,969 units) predates the 1978 ban on lead paint. Approximately 23% of the existing houses (50,025) were built before 1940. Those older homes are the most likely to still contain original paint with the highest concentration of lead, even though it may since have been painted over with safer, lead-free alternatives. The age of these houses also puts them at high risk for significant deterioration. Added to that, a significant percentage of these older homes are located in the lower-income areas of the City, where lead-based paint identification and remediation may be beyond the capacity of the owners to accomplish without education and financial assistance. In addition, a significant percentage of these are home to those most susceptible to lead poisoning: children. These factors combined put these homes and their occupants at the highest risk for lead paint hazards.

The City has developed a number of programs and activities to attempt to mitigate the prevalence of, and risks associated with exposure to, lead-based paint. With the exception of its CLPPP courtesy home investigations and special investigations, which are complaint- and lead poisoning case-driven; and education and outreach activities, which are available to anyone with a need to know, all of the City's lead hazard control programs are limited to serving households whose total income is 80% or less of the area median income.

Childhood Lead Poisoning Prevention Program

Lead-based paint in homes is the primary cause of lead poisoning in children; and the effects can be severe: permanent brain, heart, and kidney damage; attention deficit disorder; learning disabilities; and in extreme cases, death. While lead poisoning can strike both children and adults, children and babies in utero are more vulnerable and suffer the most damage from it. Children under six years of age have been deemed the most at-risk population; and the City's efforts are particularly aimed at protecting them.

The primary program to reduce residential lead-based paint hazards is the Childhood Lead Poisoning Prevention Program (CLPPP), which is operated by the City's Health Department. The CLPPP's goal is to eliminate lead poisoning in Kansas City. To attain this goal, the CLPPP provides: free blood lead testing for children and pregnant women, case management services (lead hazard inspection, advice on how to remediate, and nurse home visits) for lead-poisoned children, community education, and lead paint hazard identification and removal in residential properties. The CLPPP serves to articulate and enforce the City's lead ordinance, meet state contract obligations in promoting the Centers for Disease

Control's guidelines for lead poisoning in children, meet HUD contract obligations to promote safe and healthy homes for families, and provide the services necessary to meet the national health objectives of the U.S. Department of Health and Human Services. If an owner refuses to remediate or otherwise comply with the City's lead ordinance, the CLPPP's risk assessment staff have the authority to write tickets, enforceable in court.

The CLPPP receives funds through several federal and state agencies. In April, 2012, HUD awarded the City a \$2,998,508.00 Lead Hazard Control grant. This grant, known locally as the Lead Safe KC Program (LSKC), is the primary resource for funding to reduce lead hazards and increase the number of lead-safe dwelling units for LMI families. LSKC's goal is to conduct lead paint hazard identification and removal activities in 172 housing units.

Except as noted elsewhere in this document, applicants for assistance from the LSKC must meet LMI guidelines; and rental property owners who apply must agree to give priority to LMI families following lead remediation. Half of LSKC resource recipients are at 50% of the area median income; 58% of the contractors in the LSKC contractor pool are Section 3 contractors; and 60% of LSKC contracts awarded went to Section 3 contractors. Free testing and case management are offered for lead-poisoned children, 95% of whom are on Medicaid. Because lead poisoning and lead paint hazards are a significant problem for people in poverty, the City's CLPPP targets its outreach and education to LMI residents. Over 80% of outreach events target low-income persons.

Table: 2 LMI Information for Lead Safe KC Project for total period of performance

Activity	Cumulative Spending	Number of Units	LMI Persons Served
Lead Hazard Control (rental and owner occupied)	\$1,820,209.50	311	1,306
Temporary interim controls for families to help remove lead dust hazards	\$4,450.00	264 (items)	256 (61 families)
Lead risk assessments	\$62,773.00 (contract only--does not include those performed by LSKC staff)	333	1,399
Job Corp Training	n/a	4	250
2011 Lead-Poisoned Child Case Management Inspections (initial inspections 27; reinspections 122)	\$61,000.00	149	95 households

While the target area of the Lead Safe KC Program includes all 29 Kansas City zip codes, the highest risk area is noted in the table below. The Kansas City Consolidated Plan estimates that over 53,000 dwelling units containing lead-based paint are occupied by LMI persons.

ZIP CODE	% HOUSING UNITS BUILT PRIOR TO 1978	% HOUSEHOLDS ≤ 80% MEDIAN INCOME	% CHILDREN <6 YEARS OF AGE
64108	86%	71%	9%
64109	91%	80%	8%
64110	97%	62%	8%
64111	96%	66%	4%
64123	98%	61%	10%
64124	99%	66%	11%
64127	93%	86%	10%
64128	94%	69%	9%
64130	96%	69%	9%

Source: 2000 Census

In May, 2009, the CLPPP was budgeted a small amount of nongrant funding to provide sustainable lead paint hazard identification and control in the homes of lead-poisoned children, approximately three homes per year.

Outreach

Community outreach and education is the backbone of primary prevention and an integral part of the CLPPP. Program resources include educational supplies such as brochures, booklets, and a research library. Also available are lead hazard removal resources, including HEPA vacuums (free rental and giveaway), cooking pots, sandboxes, painting supplies, and cleaning kits. Teaching tools include demonstration articles and shadowboxes, an interactive standing display, videos, Glo-germ hand washing demonstration curriculum, a prepackaged basic lead poisoning prevention slide show, and a dinosaur mascot. A speakers bureau is available for presentations to healthcare providers and community groups. Clinical preceptorships are available for graduate and undergraduate college students.

Local survey data suggests many citizens/parents are unaware of the risks associated with lead and have not had their children screened. In many zip codes in the Kansas City area, 52 to 98% of children six years and under have not been screened. Providing screening services and increasing screening rates are among the deliverables required under the Department of Health and Senior Services/CDC Childhood Lead Poisoning Prevention contract. The CLPPP is one of the largest blood lead screening providers in Kansas City, testing 2,448 children in 2011.

Lead Hazard Control

Lead hazard control is one of the most significant resources the CLPPP offers to the community. Protecting residents from lead paint hazards runs the gamut from federal, state, and local laws requiring special training for workers who might encounter lead paint during the course of their jobs and mandating special training and licensure for those performing construction and renovation to inspecting jobsites to ensure compliance with the applicable lead abatement regulations. When the City's contractors perform housing

work, precautions are taken to ensure homeowners and occupants are not exposed to lead paint hazards.

The Lead Safe KC Program provides free and low-cost training (Renovation, Repair, and Painting Rule; Lead Abatement Supervisor; Lead Abatement Worker; Healthy Homes Practitioner; and Healthy Homes Community Worker), lead paint risk assessment, lead hazard removal, and community education for low-income families and property owners.

In 2011, 311 homes received risk assessments; and lead hazards were removed from 149 homes. This provided safe housing for 253 children under six years of age. Additional 2011 performance data is included in the following table:

Table 1. CLPPP Service Totals 2011

Service Category	Total
HUD Lead Safe KC Homes Remediated	151
HUD Lead Safe KC Grant Lead Risk Assessments	163
Lead-Poisoned Child Case Management Inspections (initial inspections 27; reinspections 122)	149
Lead Poisoning Prevention Program Courtesy Home Investigations	20
Lead Poisoning Prevention Program Special Investigations	4
Lead Poisoning Prevention Program Complaint Investigations	3
People Living in Homes Remediated by Lead Safe KC (4.2 persons per home average)	634
Outreach/Educational Events	82
Number of Residents Reached via Outreach Events (excludes media outreach)	1,784
Blood Lead Screenings	190
Number of Children Tested for Lead	2,448
Elevated Blood Lead (EBL) Cases Closed for Remediation	25
EBL Case Management Visits--Risk Assessors	166
Average Number of Children Receiving Case Management for Moderate/Severe Lead Poisoning Per Month (range: 50-33)	43

Continuum of Care

The Continuum of Care (COC) process is coordinated by the Homeless Services Coalition of Greater Kansas City (HSCGKC) and is a year-round collaborative process involving 29 different agencies. The HSCGKC handles grant research, writing, and administration and has for approximately 15 years, over which time the grant amount has risen by \$2.1 million, to a high of \$10.1 million during program year 2011. The HSCGKC provides direction, establishes relevant policies and service goals, and monitors the performance of the 339 subrecipients for compliance twice per year.

HSCGKC holds monthly meetings of the COC agencies and the Homeless Services Coalition (HSC) agencies and provides training and program updates for the two subsets of the HSCGKC. The COC subcommittees on Grants, Special Populations, and Homeless Management Information Systems (HMIS); as well as the HSC committees on Women and Children, HMIS, Communications and Advocacy, and Funding/Resources, also meet monthly. Training focuses on meeting the community's needs; HUD's requirements; and ever-evolving federal, state, county, and local government regulations. Upcoming topics will include HEARTH and the new HUD Notice of Funding Availability (NOFA) to be instituted in program year 2013. HSCGKC also coordinates training through various licensing agencies, including the Council on Accreditation for Social Work and the Council on Accreditation for Rehabilitation Facilities.

A representative from HSCGKC serves on the Governor's Council on Ending Homelessness (GCEH). That individual attends monthly meetings and serves on the Discharge Planning, HMIS, and Annual Conference committees. Discussions focus on research, development, and implementation of systematic means of ending homelessness (especially for families, unattended youth, and veterans), and eliminating precarious housing situations.

The HSCGKC is responsible for coordinating Point-In-Time Counts (PITCs) for the community in January and July of each year and publishing the results.

HSC staff regularly educate local governmental agencies and civic organizations regarding homelessness, as well as the systemic issues that impact homelessness directly (affordable, accessible, safe housing; childcare for those transitioning to nonsubsidized housing; employment and educational support for those who are homeless; etc.). Their goal is to facilitate effective community planning while addressing the systematic reduction of homelessness in the metropolitan area.

During the 2011 program year, member agencies provided 1,500,000 services to 83,500 individuals; 57,000 homeless persons received emergency shelter; 1,600 were assisted with transitional housing; 1,725 obtained permanent housing; and 964 individuals received services through HPRP programs.

Program Year 2012 Goals

- 78 on-site HUD monitoring visits to agencies (2 visits to each agency)

- 30-36 meetings with HSC and COC membership
- 70 meetings with community agencies external to the HSC/COC
- 25-30 technical assistance sessions with HSC/COC members
- 25-30 consultations with community programs external to HSC/COC
- 10-12 Community trainings to support homeless programming in the Kansas City area/Jackson County
- NOFA completion once a year with ten supporting submissions

Chronic Homelessness

The HSCGKC has submitted a plan to the City's Consolidated Plan Committee that includes a detailed analysis of how to resolve the problem of homeless families in the coming year. They are the latest demographic to suffer chronic homelessness (homelessness lasting longer than three years). HSCGKC will follow through on the recommendations concerning chronic homelessness during the next Consolidated Plan period.

Identification of New Federal Resources Available Within the Community

The City received stimulus grants created by the American Recovery and Reinvestment Act (ARRA) of 2009 and continues to expend those funds in the community. Kansas City received a Homelessness Prevention and Rapid Re-housing Program (HPRP) award of \$3,628,139.00; a Community Development Block Grant Recovery Act Program award of \$2,371,367.00; and continues to expend what originally amounted to \$24.82 million in Energy Efficiency and Conservation Block Grant Program funds from program year 2009 in 2012.

A. Homelessness Prevention and Rapid Re-Housing Program

The Homelessness Prevention and Rapid Re-Housing program served 964 households representing 1,576 clients grant-to-date. For program year 2011, the project served 156 households and 325 clients.

Grant-to-date, out of the total number of households served with financial assistance, 725 households received prevention assistance and 239 received rapid re-housing assistance. Seventy-five percent of the households received prevention services while 25% of the households received rapid re-housing services. This statistic was the same for the 2010 CAPER reporting period. Housing and relocation and stabilization services were provided to all clients enrolled in the program. Case management included goal plan development and progress follow-up. Other services included housing search and location, rental assistance, utilities and security deposits/assistance, credit repair, and legal services, just to name a few. One hundred and twenty-eight households received financial assistance, including rental arrears, rent/security deposit, moving assistance, etc.

Of the \$3,628,139.00 awarded, the City of Kansas City has expended \$3,536,320.82 or 97%.

The 2010 decision to bring the intake and assessment process in-house resulted in several significant positive impacts. The project operated more efficiently. Applicants were assessed, inspections conducted, and payments made within seven to ten business days. This afforded on-time payment to landlords and more time for case managers to focus on stabilization efforts.

The City will conclude the program, effective June 15, 2012, with the final landlord payments. The balance of the time will be spent in recordkeeping functions, in accordance with the grant requirements and the City's record retention policy.

HPRP lessons learned will be used to strengthen and further develop the City's homeless assistance program and develop its homeless assistance programming to include aspects of HPRP, Emergency Solutions Grant, Supportive Housing Program, applicable homeless assistance, CDBG public services projects, and of other internal and external mainstream resources relevant to the plan.

B. Community Development Block Grant Recovery Act Program (CDBG-R)

All of the major projects funded out of CDBG-R were completed during the 2011 program year, including:

Destiny Towers	construction of 47 elderly housing units	\$997,449.00
Historic Lincoln Building	renovation of historic structure	\$386,781.00
Palestine Commons	construction of 69 elderly housing units	\$750,000.00

In addition, \$169,461.00 in unspent Planning and Administration funds were rebudgeted on September 8, 2011, to a scattered site public improvement blight elimination project through a substantial amendment to the 2008 Action Plan. This work will be completed during the first few months of the 2012 program year.

C. Neighborhood Stabilization Program Activities

Neighborhood Stabilization Program (NSP-1)

Funding for this program was made available through the Housing and Economic Recovery Act (HERA) of 2008 and was for the purpose of assisting states and localities in addressing the effects of abandoned and foreclosed properties in their communities. The City continued activity under the NSP-1 program using funding provided by HUD and the State of Missouri. In March 2009, HUD awarded the City \$7,323,734.00 to aid in reducing the number of foreclosed and abandoned properties and their negative impact upon neighborhoods. The State of Missouri also provided \$1,162,997.00 in funds to the City from funding it received from HUD under the NSP-1 program. Activities utilized in NSP-1 for the 2011 program year included:

- Acquisition and rehabilitation of abandoned or foreclosed homes for sale or rental.
- Demolition of blighted structures.
- Redevelopment of homes for sale on foreclosed or abandoned vacant lots.

- Administrative activity to execute the overall management of the program.

The City determined that the most effective way of implementing the larger grant award was to contract with a subrecipient agency—EDC. EDC, in turn, subcontracted with several nonprofit community development corporations—Westside Housing Organization, Ivanhoe Neighborhood Council, Neighborhood Housing Services, Blue Hills Community Services, and Habitat for Humanity. The funding from the State was contracted to another nonprofit CDC—Swope Community Builders.

NSP-1 funds were designated for use in 77 Census Tracts, all located south of the Missouri River, that have been heavily impacted by foreclosure activity and an increased rate of property abandonment. Since the start of the program, 78 homes have been rehabilitated or constructed.

During the year, 17 rehabilitated or newly constructed homes were sold under the program and 2 rehabilitated homes were leased to families with annual incomes under 120% of the Area Median Income. The total number of homes sold through May 31, 2011, is 44 and the program income derived from these sales is \$2,467,724.00. Program income is plowed back into the program through purchases of additional abandoned and/or foreclosed properties.

Neighborhood Stabilization Program-3 (NSP-3)

The City amended its 2010 Action Plan on February 24, 2011 to establish an NSP-3 program. A grant of \$1,823,888.00 was provided by HUD in March, 2011, to the City to continue efforts at addressing the effects of abandoned and foreclosed properties upon neighborhoods. Funding for the program was made available through the Dodd-Frank Wall Street Reform and Protection Act of 2010.

The City structured its program to utilize the same activities as conducted under NSP-1; however, this time activities were limited to only the Green Impact Zone and Ruskin neighborhoods. As of the end of the reporting period, no properties had yet been purchased for treatment.

D. Energy Efficiency Block Grants

The City has obtained two Energy Efficiency and Conservation Block Grants (EECBGs) totaling \$24.82 million from the U.S. Department of Energy to help reduce energy use and greenhouse gas emissions, develop green jobs and a green workforce, and transform the energy efficiency market. The principal benefits are making the City a more energy efficient, healthier and therefore more suitable place to live; promoting a sustainable lifestyle and protecting the environment by encouraging alternate transportation modes, recycling and reuse of building components, commercial and residential construction that is at least 15% more efficient than under current codes, and promoting economic opportunity in the form of green construction jobs. Financial assistance is available to building owners—residential and nonresidential—for energy efficiency analyses and improvements, as well as asbestos assessment and abatement costs. By providing a more conducive environment

for energy-efficient construction and improvements, and educating local citizens, developers, builders, and lenders as to the need for, and the financial, environmental, and social benefits of, green construction (and deconstruction), the City anticipates the demand for an energy-efficient built environment will grow; and, with it, the availability of green jobs and businesses. Buildings and the environment will be healthier; utility costs will be stabilized or lower, making new or rehabbed homes and businesses more affordable to operate; less construction and deconstruction debris will go to the landfill (at least 5 tons per house); and precious natural resources will be spared. Funds have been made available for the development of a green business incubator located in the Green Impact Zone, as well as for a range of neighborhood-defined energy efficiency projects. Financing incentives are in place that allow for rebates, a range of affordable loans from several lenders, and an interest rate buy-down fund. The package of incentives is designed to reduce amortization costs of a loan to the extent that realized energy savings is equal to or greater than the monthly amortization costs, thus allowing the energy savings to pay for the improvements.

The smaller of the DOE/EECBG grants is focused on municipally owned buildings.

Areas of focus for use of funds from the larger of these two grants are indicated on the attached map. In an attempt to increase the degree of targeting for community development funds, the two neighborhoods included in NSP-3 are included in this effort, as well as the Westside NRSA.

The amount expended during program year 2011 was \$7,768,000.00.

EECBG Targeted Neighborhoods



E. American Recovery and Reinvestment Act of 2009

The City’s Home Weatherization Program completed 738 ARRA-funded weatherization jobs and expended \$6,387,034.00 during the reporting period.

F. Federal Home Loan Bank of Des Moines, Iowa

The City completed 59 homeowner rehabs and expended \$299,664.00 during the program year.

Leveraging Resources

A. CDBG/HOME

Project	Funding Source	Grant Funds	Amount Leveraged
Single Family Housing Production	HOME	\$170,780.00	\$0.00
KC Dream	HOME	\$1,027,232.60	\$4,548,086.00
Multifamily Housing Production	CDBG-R	\$750,000.00	\$250,000.00
Multifamily Housing Production	CDBG	\$339,040.00	\$0.00
Totals		\$1,987,052.60	\$4,798,086.00

B. HOPWA

Leveraging information for the HOPWA program can be found on page 82 of this document.

C. ESG

ESG’s leveraging information is shown on the following page.

EMERGENCY SHELTER GRANT/EMERGENCY SOLUTIONS GRANT
2011/2012

HUD GRANT #: E-11-MC-29-003

Matching Funds CFDA 14.231

	AGENCY NAME	Award	Federal	State	Local	County	Other	Actual Match	Modified Amount	Modified Award
1	Benilde Hall									
	Jackson County Combat									
	Subtotal	\$48,668.00	\$250,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$48,668.00	\$0.00	\$0.00
2	Community Assistance Council									
	Community Development Block Grant									
	Subtotal	\$9,575.00	\$9,575.00	\$0.00	\$0.00	\$0.00	\$0.00	\$9,575.00	\$0.00	\$0.00
3	Don Bosco									
	Ella Loose Foundation									
	Subtotal	\$9,575.00	\$0.00	\$0.00	\$0.00	\$0.00	\$9,575.00	\$1,218.29	-\$8,356.71	\$1,218.29
4	Guadalupe Centers, Inc.									
	United Way									
	Subtotal	\$27,980.00	\$0.00	\$0.00	\$0.00	\$0.00	\$27,980.00	\$27,583.22	-\$396.78	\$27,583.22
5	Newhouse									
	Victim of Crime Act (VOCA)									
	Subtotal	\$45,675.00	\$0.00	\$45,675.00	\$0.00	\$0.00	\$0.00	\$45,675.00	\$0.00	\$0.00
6	ReStart, Inc.									
	In-Kind Donations									
	Subtotal	\$59,875.00	\$0.00	\$0.00	\$0.00	\$0.00	\$59,875.00	\$59,875.00	\$0.00	\$0.00
7	ReStart, Inc. HSC									
	Agency Unrestricted Funds									
	Subtotal	\$15,598.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15,598.00	\$15,598.00	\$0.00	\$0.00
8	Rose Brooks Center, Inc.									
	Cabaret Fundraiser									
	Subtotal	\$46,070.00	\$0.00	\$0.00	\$0.00	\$0.00	\$46,070.00	\$46,070.00	\$0.00	\$0.00
9	Sheffield Place									
	Healthcare Foundation									
	Subtotal	\$29,705.00	\$0.00	\$0.00	\$0.00	\$0.00	\$29,705.00	\$29,705.00	\$0.00	\$0.00
10	Synergy Services									
	Clay, Platte, Ray Mental Health Board									
	Subtotal	\$29,940.00	\$0.00	\$0.00	\$0.00	\$29,940.00	\$0.00	\$29,940.00	\$0.00	\$0.00
11	The Salvation Army									
	United Way									
	Subtotal	\$29,683.00	\$0.00	\$0.00	\$0.00	\$0.00	\$29,683.00	\$29,683.00	\$0.00	\$0.00
	NCSD									
	Administration									
	Subtotal	\$18,544.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Total	\$370,888.00	\$259,575.00	\$45,675.00	\$0.00	\$29,940.00	\$218,486.00	\$343,590.51	-\$8,753.49	\$28,801.51

Self Evaluation

The 2011 CAPER presents the accomplishments of the fifth year of the 2007-2011 Consolidated Plan. Overall, there was positive progress toward meeting the objectives of decent housing, a suitable living environment, and economic opportunities during the 2011 Action Plan year.

The affordable housing goal achievement was better than expected, despite heightened homeless and related preventive services needs resulting from continued elevated levels of unemployment and foreclosures. There were four new single-family homes constructed and sold during 2011; 14 acquired in 2010 and rehabbed and sold during 2011; and three acquired previously and rehabbed, and leased during the 2011 program year from NSP-1 funding and prior-year HOME contracts. Twenty-two homes remain to be sold or rented.

Construction is anticipated to begin during 2012 on four additional properties acquired with 2010 and 2011 HOME funds. Land acquisition continues in preparation for housing redevelopment in Wabash Village (Blue Hills). Fourteen rental properties, 12 of them currently occupied, were brought up to HQSs, including lead remediation, where appropriate. Four multifamily projects, both new and rehab (Basie Court, Community LINC, Jefferson Place Apartments, and Palestine Commons Independent Senior Living Facility) totaling 186 units were completed. Four public facilities were renovated (Niles Home for Children; Palestine Neighborhood Learning Center; reStart, Inc.; and Rose Brooks Center, Inc.), with two more nearing completion (the historic Lincoln Building and Heritage Business Park II), and acquisition funding provided for two more (the new Crime Prevention Campus, to begin construction in program year 2012; and the rehabilitation of the Blue Hills Community Services Center).

Five businesses took advantage of the façade rebate program using funding from the 2010 budget. 117 owner-occupied home repair activities were completed during the program year, which was slightly under goal, due in part to the decision not to fund the Emergency Home Repair Program in 2011. The second mortgage homebuyer program exceeded the projected goal, with 57 new homeowners benefitting. One project was unexpectedly delayed for a second consecutive year (Squier Park Townhomes); and four more were in progress at the end of the program year or anticipated to start soon after. With 13,646 individuals and households served, housing counseling assistance activities were well above the predicted level. Although individual agencies may have served fewer than anticipated, the overall number of homeless individuals assisted, 9,593, remained well above the predicted level.

The efforts for creating a suitable living environment achieved results from increased systematic neighborhood code enforcement and community development activities. City property maintenance code inspectors performed 71,513 systematic code enforcement inspections. In addition, a contract with Legal Aid of Western Missouri has drawn resources to slum and blight elimination as its representatives work out the legal issues with properties that have been abandoned, are in ill repair, and/or are in receivership. Legal Aid exceeded its goal, opening 63 new cases during the program year. The City, operating on

the principles that investing in blighted neighborhoods attracts more resources from the community, area residents, and businesses and drives down crime and that vacant properties attract blight and crime, has made efforts to reduce the cost of its inventory of new and rehabbed houses and to increase financial incentives to the extent that houses in designated target areas are sufficiently attractive and affordable to LMI buyers.

Economic opportunities were expanded by the City's Small Business Development Division and the Hispanic Economic Development Corporation. They assisted in the establishment or continued success of 108 microenterprises and an additional 233 aspiring entrepreneurs, as well as the creation of 119 new jobs. Due to their efforts, 92 eligible, low-income, Section 3 residents were employed on Section 3 projects.

Monitoring

Program Monitoring (91.230)

The comprehensive program evaluation techniques utilized during the 2011 program year to measure program compliance and performance begin at the selection process with ensuring activities were eligible—that they met national objectives and necessary qualifying provisions. These specific measures were applied to all programs. Each contract/project is monitored for compliance with local, state, and federal regulations and provisions of the contract to ensure performance goals are met. Monitoring procedures include technical assistance visits, desk reviews, and on-site visits annually. Prior to contract execution, program managers conduct an initial technical assistance visit to ensure all contract requirements are understood. Thereafter, during the program year, a comprehensive compliance monitoring review of all administrative, financial, and any other contractual obligations is conducted. Finally, a year-end monitoring review is required to resolve outstanding areas of noncompliance or underperformance.

Monthly or quarterly and year-end reports are submitted to program managers by federally funded subrecipients. Program managers monitor subrecipients' expenditures with great care to ensure that those expenditures coincide with scheduling goals contained in their contracts. Underperforming contracts are brought to the attention of the Director for immediate follow-up and remedy. Subrecipients are given 30 days to cure compliance issues. Formal site monitoring and/or follow-up monitoring verify that compliance issues have been resolved. Noncompliance issues are closed when resolved, or a notice of default is issued.

There are specific performance outputs for programs and agencies funded with CDBG and HOME dollars; those outputs are time-phased and quantifiable. Evaluation of funded programs serves not only to assess performance outputs; but also to verify that targeted populations have indeed received the intended services, whether a given program has had the desired effect upon the community, and whether funded programs have been cost-effective.

The City's executed subrecipient agreements clearly specify performance objectives,

outcomes, and outputs to satisfy HUD's new Performance Measurement System and to assist staff with contract monitoring. The City's reporting standards for all subrecipients have been formulated to facilitate IDIS reporting and contract monitoring.

Staff particularly monitored subrecipients for compliance with the following:

- Contract performance objectives and outcomes
- CDBG and HOME program regulations and national objectives
- CDBG and HOME program cost eligibility and accounting
- CDBG and HOME program income accountability
- CDBG and HOME program records retention
- Procurement requirements
- Davis Bacon and Related Acts
- Section 3 and MBE/WBE
- Crosscutting federal regulations
- OMB A-133 audit reporting

Financial and Administrative Compliance

The City continues transitioning back into the driver's seat on several key housing programs. As part of the transition process, the City will strengthen its own capacity to directly manage homeowner loan programs, provide financial management of program income and entitlement funds, procure and select subrecipients, monitor subrecipient performance, and utilize IDIS as a management tool.

Internal Monitoring Procedures:

Internal compliance with HUD regulations is coordinated by an HCDD staff member. That individual ensures program managers are following departmental monitoring policy. Internal monitoring activity includes:

- Compliance with all HOME and CDBG regulations
- Compliance with federal crosscutting regulations
- Financial and IDIS management/reporting
- Davis Bacon, Section 3, and MBE/WBE requirements

V. Program Narratives

Assessment of Relationship of CDBG & HOME Funds to Goals and Objectives

The HUD Performance Measurement System provided a basis for the City to undertake subrecipient evaluations to ensure funded activities met at least one of the three HUD objectives (decent housing, a suitable living environment, and economic opportunities). The City executed subrecipient agreements that clearly specified performance objectives, outcomes, and outputs to satisfy the following outcomes: availability/accessibility, affordability, and sustainability.

The specific summary of annual objectives (Table 3A) is located in Section VII, Summary Tables. There is an identifying code for every funded activity found in the summary. Each code links the project to a specific HUD objective. Code **DH** identifies a project as decent housing, **SL** identifies the activity that creates a suitable living environment, and **EO** represents economic opportunity.

In near equal measures, the largest share of the CDBG and HOME activities were intended to accomplish the objectives of suitable living environment and decent housing, with availability being the most important outcome for both, followed by affordability. Roughly a third of CDBG funds went toward public facilities projects, including renovations to a homeless shelter and a domestic violence shelter and acquisition and related expenses for a Crime Prevention Campus. HOME funds went to further housing-related activities; at least one of its goals and outcomes met or exceeded expectations for program year 2011. Unfortunately, other goals were not met, in part due to CDBG entitlement grant cuts that required scaling back or elimination of certain programs for the year, such as the Emergency Home Repair Program for owner-occupied homes.

Single-family new construction, rehabilitation, and housing activities fell short of the 2011 goal, with production involving completions or near-completions of projects from prior program years. There were 17 existing single-family homes acquired, rehabbed, and sold or leased—all with NSP funds—and four new ones that were built and sold, three of them with NSP-1 funding. In addition, there were two starts, as well as four new HOME-funded homes constructed and two purchase/rehab units completed, awaiting sale. Four more sites were acquired, with work set to begin in early 2012. Fourteen rental homes were brought up to HQSs, including lead remediation, where applicable, using CDBG funds. Twenty-two remain on the market.

New construction, rehabilitation, and other multifamily housing activities exceeded expectations and also involved completions of projects from prior program years. Work had not begun on the 2011 multifamily projects (Augustine S. Cameron Place and the Colonnades at Beacon Hill) as of the end of the program year but was expected to commence before the end of calendar year 2012; and one project (Squier Park Townhomes) was delayed for a second consecutive year. Highland Place/Rochester Hotel, funded in 2010, was under construction at the end of program year 2011. Completed senior, transitional housing, and multifamily projects included Palestine Commons Independent Senior Living Facility, Community LINC, Basie Court, and Jefferson Place Apartments. The specific annual housing completion goals summary (Table 3B) is located in Section VII, Summary Tables.

The owner-occupied home repair programs fell short of the expected number of units during the program year. There were 117 owner-occupied housing units repaired during the program year, compared with the 214-unit goal.

Wabash Village (48 units) is still in the predevelopment phase; and Vine Street Views (50 units) was defunded.

HOME-funded homeownership activities created 57 new first time-homeowners, which was well above projections.

It is important to note that many of the five-year public service goals were based on units of services, while the beneficiaries reported in the annual summary Table 3A are primarily based on nonduplicated numbers.

Five CDBG-funded public facilities renovation activities, including some from prior years, were completed during the program year: Niles Home for Children (reported as substantially completed in 2010); Palestine Neighborhood Learning Center; Palestine Senior Citizens Activity Center; reStart, Inc.; and Rose Brooks Center, Inc. During the 2012 program year, renovations to the historic Lincoln Building, Heritage Business Park II, and Blue Hills Community Services Center should be completed; and the Crime Prevention Campus should break ground.

Changes in Program Objectives

Economic conditions related to energy, housing, unemployment, and financial lending practices caused lower-than-expected performance outcomes in several objective categories. There were only seven new single-family houses finished during the program year, including NSP-funded units; but there were a number of single-family rehabs, with plans for the rehabilitation of several multifamily projects, as well. The City lowered home prices and increased incentives in an attempt to match up prospective LMI homeowners with affordable homes in target neighborhoods. Money that had been earmarked for tenant-based rental assistance was reprogrammed at the end of the program year to fund additional down payment assistance loans and rental housing development.

Assessment of Efforts in Carrying Out Planned Actions

The City has made significant progress in achieving many of its stated goals and objectives through the use of subrecipients and other partners. The City's delivery system has been refined to provide greater cost-effectiveness as well as to increase the number of low-income program beneficiaries annually. Some of the planned activities addressing housing and community development will need to be evaluated and the five-year goals adjusted by amendment of the Consolidated Plan.

Use of CDBG Funds for National Objectives

Every activity must meet a HUD national objective. All funded activities benefitted low- to moderate-income persons or prevented or eliminated slums and blight. The activities identified in the 2011 Action Plan have the national objective documented in all contractual agreements. The national objective is also notated in the HUD Integrated Disbursement and Information System (IDIS).

Anti-Displacement and Relocation

Two households were provided a total of \$48,642.00 in relocation assistance in preparation for the construction of the Crime Prevention Campus, a combination of East Patrol Division offices and a regional crime lab, on a 17-acre site on the City's East Side, in the

Vine Street Corridor NSA. Additional relocation assistance is anticipated during the acquisition phase of the project as approximately half of the 128 affected properties contain houses. Condemnation proceedings are currently underway. The City anticipates further related relocation expenses in the coming program year. The property acquisition phase should be completed by the end of 2012.

The City made every effort to locate the campus on a right-size site within the heart of the East Side with the least possible displacement of people. After reviewing 25 suggested sites, a site bordered by 26th and 27th streets and Brooklyn and Prospect avenues was selected. It is a blighted, high-crime area; it is hoped that by bringing in this new facility and the East Patrol Division, the area will be revitalized. As noted, roughly half the parcels were vacant lots; and roughly half contained houses. A portion of the houses were unoccupied; a portion were renter-occupied; and a few were owner-occupied.

Public meetings were held to go over the process by which the City would acquire the properties. A relocation specialist was assigned to the project. Offers were made to owners consistent with state and federal standards, including basing the value of the properties on the highest of three independent appraisals and providing additional monies for owner-occupied properties (homestead value) and properties that had been in families over time (heritage value). Occupants were also offered assistance with moving expenses and, in the case of renters, assistance with utility deposits and first and last months' rent.

Low/Mod Job Activities

What's New?

The City of Kansas City Missouri's Small Business Development Division (SBDD) had a very productive 2011 program year. The SBDD served 161 low- to moderate-income micro-enterprises, certified Section 3 business concerns, and residents who met the family income guidelines set by HUD for Section 3 certification. SBDD was honored as SBA's 2012 Minority Small Business Champion of the Year (MSBCY) for the Kansas City district. The achievement netted the SBDD a Special Action Resolution from the Mayor and City Council.

As the MSBCY award winner, the entire SBDD team was invited to the White House Urban Economic Forum, which was held in Kansas City. This event was one of a series of White House forums held around the country between senior level White House advisors and persons from various regions who work with entrepreneurs to further economic development in urban areas. The division's senior staff member was invited to serve as a mentor during the forum and lead a panel discussion centered on entrepreneurial opportunities for small businesses.

The SBDD found itself in the spotlight again when the HUD Regional Administrator's office advised management of its intent to cite the City's Section 3 program as a national model for HUD in a report to the White House.

Microenterprise Programming Services

The SBDD offered a comprehensive program of services that targeted startup and growing microenterprises. Qualifying entrepreneurs received developmental support, including:

- Intensive case management
- Timely, accurate referrals
- Business plan assistance
- Map room-bid assistance
- Business entity identification
- Assistance with state registration
- Assistance in registering fictitious name with the state
- Assistance in obtaining federal identification number and Missouri state tax identification number
- Licensing assistance
- Brochure design assistance
- Help creating databases/marketing lists
- Assistance with development of marketing material
- Newsletter assistance
- Targeted monthly seminars, including:
 - How to Guide more Traffic to your Website
 - Facilitating Life-Long Business Relationships and Partnerships
 - How to Search for Government Grants for Your Business
 - How to Create Strategic Private/Public Partnerships
 - Strategy Execution: Getting It Done
 - Fundamentals of Marketing: Your Action Plan for Success
 - ABC's of Being an Employer
 - Dream to Reality
 - ABC's of Starting a Business
 - Building a Better Credit Report
 - 2012 Tax Season
 - How to Turn Holiday Parties into Networking Opportunities
 - Business Taxes 2011
 - Getting Organized to Do Business in 2012
 - Cutting the Fat from your 2012 Budget
 - Refresh your Business Plan for the New Year
 - Cash is King
 - Loan Packaging
 - Strategic Thinking
 - Turning Goals into Accomplishments
 - Increasing Your Networking Opportunities
 - How to Brand Your Company
 - Keeping Your Plan Up-to-Date
 - Implementation of Customer Service Tools
 - Section 3

The Center's Life-Size Video Teleconferencing Cart was one of many important business supports the Division offered. It provided an opportunity for microenterprise clients to:

- Have a business electronic service presence
- Participate in distance learning and training programs
- Join national forums focusing on microenterprise business issues and concerns
- Video teleconference
- Minimize travel costs

SBDD stayed the course with continued use of its five-step plan for client service management. During the initial case management meeting, a collective action plan is developed based on the individual microenterprise's needs. Once the action plan is agreed upon, there are monthly follow-up case management meetings, during which participants:

- Review the previous month's plan
- Create the current month's tasks
- Identify who is to complete tasks
- Set deadlines
- Revise plan and strategies (if needed)
- Set goals and milestones

In conjunction with the aforementioned services, the SBDD's business incubator is the star of its programming services. The SBDD incubator program is a two-tiered facility located within the SBDD's office building that provides reduced-cost office space for microenterprises. The incubator is an economic development tool designed to accelerate the growth and success of entrepreneurial companies through an assortment of business support resources and services. The two tiers are the East and West wings. The East Wing is dedicated to startup and fledgling microenterprises that need greater support and resources. The West Wing is targeted toward more seasoned, growth-oriented microenterprises that need less support. The goal of the incubator is to ultimately graduate the growing businesses into Kansas City's private leasing market without the need for additional subsidizing. The incubator held a consistent occupancy rate of 92% over the course of the program year, successfully graduating three residents: The Mogul Group; B&W Contracting; and Service Law, LLC.

Microenterprises served by the SBDD reflect a combination of each stage of business—startup, developing, and maturing. During the year, the Division collectively served incubator microenterprises and nonresident microenterprises in the following industries: insurance underwriting, printing, contracting consulting, contracting, engineering consulting, general consulting, engineering, architecture, renovation and remodeling contracting, legal services, cleaning service, youth consulting, electrical specialties, childcare, adult senior care, marketing and public relations, computer consulting, elevator repair services, janitorial services, real estate, project management, organizational development, and social services.

SBDD incubator residents fell into the following demographic groups:

Incubator Residents	Female	Male	Black	White	Other	Total
Glynite Construction	1	1	2			2
Wheatley Grass Cutting	1	1	2			2
Sunshine Graphics		1	1			1
Custom Home Creations	1	1	2			2
My Scholarship		1	1			1
Triple 777 Construction		2	2			2
Vision5	1	1	2			2
AJ Law Firm	1		1			1
B & W Contractor		3	3			3
The Mogul Group	1	1	2			2
America On The Go Plumbing	1	1	2			2
GWK Development LLC		1	1			1
Midwest Contractors Inc.	5	9	4	3	7	14
Prosperity Electric		8	8			8
Jason Parson & Associates		1	1			1
Totals	12	32	34	3	7	44

Contracting opportunities for certified Section 3 business concerns and microenterprises increased greatly. During the 2011 program year, certified Section 3 business concerns and microenterprises participating in the City's SBDD program generated revenues totaling more than \$11,157,618.00.

As a result, the revenue base yielded \$26,430.00 in earnings tax and \$76,546.00 in sales tax for the City of Kansas City, Missouri. For the State of Missouri, it is estimated that \$279,170.00 in sales tax was generated, and \$66,076.00 in income tax. In total, the estimated economic impact on the City is \$6,716,514.00 and \$9,920,937.00 on the State of Missouri. The multiplier effect analysis was provided by staff at the Economic Development Corporation.

Program Income Received

The City received \$124,154.06 in CDBG program income and \$78,445.48 in CDBG program income during the 2011 program year. These figures do not include any program income received by or from HEDFC during the same period, which was estimated at \$1.7 million.

Prior Period Adjustments

Documentation of the various loans, receivables, etc. and possible recaptures and the totals for each is located in Section VIII, beginning on page 133. The status of many of the holdings and loans was still undetermined at the time of reporting as the information had only recently been released to the City by HEDFC; the City has already put \$3 million in recaptured funds estimated to be due into a public facilities project.

Loans and Other Receivables

The HEDFC, through the court-appointed receiver, has custodial care of a large amount of program and miscellaneous income and property that is in the process of being transferred back to the City. The exact amount receivable from this agency is unknown at this time as it is pending settlement of the claims against HEDFC and disposition of the real estate owned portfolio held by HEDFC. Furthermore, as the loan information has only recently been turned over to the City, the status of any grants or loans which may be in arrears or required to be paid back to HUD is still being worked out. Section II provides a timeline of the progress toward resolution of this situation. In addition, spreadsheets delineating the various grant-supported loans the City has taken over thus far are located on pages 133 et seq.

Lump Sum Agreements

There were no lump sum agreement disbursements during the 2011 program year.

Neighborhood Service Delivery Strategy Areas

The following local strategy areas (NSAs) were identified in the Consolidated Plan:

- Northland Service Delivery Strategy Area
- Westside Service Delivery Strategy Area
- Northeast Service Delivery Strategy Area
- Vine Street Corridor Service Delivery Strategy Area
- Central City Service Delivery Strategy Area
- Downtown Service Delivery Strategy Area
- All LMI Service Delivery Strategy Area

The HUD approved Neighborhood Revitalization Strategy Areas (NRSAs) are identified as follows:

- Beacon Hill Neighborhood Revitalization Strategy Areas
- Columbus Park Neighborhood Revitalization Strategy Areas
- Northeast Neighborhood Revitalization Strategy Areas
- Westside Neighborhood Revitalization Strategy Areas

The **Northland NSA** is generally bounded by Englewood and Pleasant Valley Road on the North; 210 Highway and the southern Kansas City, Missouri, boundary north of the Missouri River on the south; Highway 169 on the west; and the Kansas City, Missouri, City limits on the east. The Northland NSA had 22 minor home repairs for the 2011 program year; and there were nine forgivable KC Dream down payment loans to first-time homebuyers. Northland Neighborhoods, Inc. acquired four single-family properties for purposes of rehab and resale using 2010 and 2011 funds; one was under construction. There were no public services funded in the Northland during the program year.

The **Westside NSA** is bordered by the State Line on the west, 1-670 to the north, Broadway and Southwest Trafficway on the east, and 31st Street on the south. One KC Dream home loan was granted for a property within the boundaries of the Northand, and 10 minor home repairs were completed during the program year. Rehabilitation of Jefferson Place, a five-unit CHDO project, was completed in program year 2011. The Guadalupe Center provided child care services to 87 children, housing counseling to 3,806 households, and homelessness prevention services to 51 individuals.

The **Northeast NSA's** boundaries are Cliff Drive and Gladstone Boulevard on the north, Truman Road on the south, Belmont Avenue and Winchester Avenue on the east, and Paseo Boulevard on the west. One NSP-funded single-family purchase/rehab unit was completed and sold; five new Section 3 jobs were created; and there were seven minor home repair units during the program year. In addition, Mattie Rhodes received funding for a crime prevention program that served 237 youth. There was some past confusion in reporting for this area because the 2007-2011 Consolidated Plan named the area but the service area was graphically incorrect. This correction appears in Section III, Five-Year Plan Assessment of Progress.

The **Vine Street Corridor NSA** is bordered by Truman Road on the north, 27th Street on the south, Troost Avenue on the west, and Jackson Avenue on the east. The service area was funded in prior years for two multifamily projects, Basie Court (a multifamily rehab consisting of 88 units), which was completed during 2011; and Vine Street Views (a proposed 50-unit townhome community), which was dropped from funding. Work on the combination single- and multifamily project, Highland Place Apartments/Rochester Hotel (an historical renovation involving six single-family homes and 16 multifamily units), financed by a HOME budget amendment passed late in program year 2010, is currently underway. Work on the Niles Home for Children (a public facilities project funded in 2010) was completed during 2011. Public facilities contracts for renovation of the historic Lincoln Building and Heritage Business Park were extended; construction is anticipated to be completed by September, 2012. Land acquisition and predevelopment activities for the new \$57 million Crime Prevention Campus were begun on a 17-acre site within the Vine Street Corridor NSA. Construction is anticipated to begin in 2013. With HUD's permission, the City provided \$3 million toward the cost of the new public facility as restitution for ineligible activities of HEDFC that would otherwise have been paid back directly to HUD. There were two new Section 3 hires reported; and one KC Dream loan was made for a house located in this NSA. The NSA was home to the agency Benilde Hall, which, with the help of CDBG and ESG funding, served 233 homeless males during the course of the program year.

The **Central City NSA** is bounded by 27th Street on the north, Gregory Boulevard on the south, Troost Avenue on the east, and Cleveland Avenue on the west. Of two single-family houses that were acquired, rehabbed, and sold, one was subsidized with HOME funds; and one with NSP-1. Three new NSP-1-funded homes were built and sold; and two additional NSP-1-funded homes were part of a purchase/rehab/lease arrangement. One new single-family home of three planned for Woodland Estates was completed and is currently on the market. The service area achieved 60 owner-occupied home repair completions. Four

KC Dream loans were provided to first-time homebuyers in this target area. One new multifamily project, Palestine Commons Senior Living Facility (69 units) was completed.

Construction on another multifamily project, the rehab of Squier Park Townhomes (16 units), funded in both the 2010 and 2011 budgets, was delayed by the need to obtain new membership for the Technical Advisory Committee, whose approval, along with HUD's, was required to execute the contract; work is anticipated to commence in September, 2012. Grant funding freed up from the cancellation of two other 2010 rehabs, East 42nd Street and Everheart Apartments, was also put toward the high rehab costs of Squier Park Townhomes when it was determined there was insufficient funding to cover all three.

The 20th and Park rental project originally funded in 2010 was cancelled after the environmental review revealed that a major noise attenuation wall would need to be constructed because of train traffic, making the project financially unfeasible; funds from the project were transferred to Mount Cleveland Estates. Swope Community Builders had two houses in progress at the close of the program year. The remaining three homes will be started following sale of the first units. Two public facilities renovations funded in 2010, Palestine Senior Citizens Activity Center and Palestine Neighborhood Learning Center, have been completed. Land acquisition and predevelopment costs were funded for a third public facility, the Blue Hills Community Services Center, during 2011. There were 48 new Section 3 hires reported in this NSA. Nine agencies located in this NSA were funded for various services/activities. The Neighborhood Housing Services homebuyer assistance program was not funded for the 2011 program year.

The **Downtown NSA** is surrounded by the Missouri River on the north, 18th Street on the south, I-35 on the west, and Troost Avenue on the east. The service area exceeded its anticipated goals for homeless prevention services with 4,573 individuals assisted at the reStart, Inc. Homeless Drop-In Center.

The **All LMI NSA** achievements account for the remaining activities related to the implementation of the 2011 Action Plan. The specific goals and accomplishments for these activities can be found in Table 3A, located in Section VIII, Other Attachments and Narratives.

The **Columbus Park NRSA** is located between Cherry Street and Lydia Avenue, and Independence Avenue and 3rd Street. The NRSA made little progress toward achieving its benchmarks for the 2011 program year. The specific five-year goals are: Design and complete redevelopment plan in June of 2006, begin construction in August of 2006, create 40 Section 3 jobs, and achieve 20% MBE/WBE participation.

The **Northeast NRSA** shares the same boundaries as the Northeast NSA One NSP-funded single-family purchase/rehab unit was completed and sold; five new Section 3 jobs were created; there were seven owner-occupied home repairs, and the NRSA received extensive area benefit from systematic code enforcement activities during the program year. In addition, Mattie Rhodes received funding for a crime prevention program that served 237 youth. The specific five-year goals are: Establish a small business office by June of 2008;

create 40 new job opportunities; provide 80 workshops on crime prevention, property maintenance, and new business technical assistance; and complete 50 minor home repairs.

The **Westside NRSA** shares the same boundaries as the Westside NSA. The NRSA achieved ten minor home repairs; issuance of one KC Dream home loan; and area benefit from systematic code enforcement activities during the program year. Rehabilitation of Jefferson Place, a five-unit CHDO project begun in 2010, was completed in program year 2011. The Guadalupe Center provided child care services to 87 children, housing counseling to 3,806 households, and homelessness prevention services to 51 individuals. The five-year goals are: Create 30 jobs; provide 50 neighborhood workshops and other community service activities for crime prevention, property maintenance, and new business technical assistance; and complete 60 minor home repairs.

Assessment of Specific HOME Program Actions

Results of On-Site Inspections of Rental Housing

On-site inspections and compliance monitoring of HOME-assisted rental housing were conducted during the program year for the period under review, beginning January 1, 2011, and ending December 31, 2011, for projects currently subject to HOME affordability periods.

The following is a summary of the results:

Alexandria Apartments—Deficiencies were noted concerning HOME rent limits during monitoring July 26, 2011. The rent in one instance exceeded the rent limit. A follow-up will be done to ensure repayment was made.

Cardinal Ridge Apartments—No deficiencies were noted during the July 26, 2011, HOME monitoring review. This project is in compliance with HOME program regulations.

Metropolitan Community Economic Development Corporation (Park Street Homes)—Deficiencies were noted during monitoring April 19, 2011, concerning income discrepancies, as well as failure to meet minimum Housing Quality Standards and to duly document and/or retain records to ensure HOME compliance.

Mt. Cleveland II Townhomes—Deficiencies were noted during monitoring April 21, 2011, regarding rent restrictions for over-income HOME tenants and failure to meet minimum Housing Quality Standards. Corrective action required: Upon recertification, over-income tenants' rent payments must be equal to 30% of their adjusted income. Action was taken to adjust the rent; however, the tenants decided to move instead of paying increased rents.

North Park Place Apartments—Deficiencies were noted during monitoring July 5, 2011, regarding HOME rental compliance regulations and requirements. The deficiencies were resolved prior to completion of monitoring.

Park Gate Apartments—No deficiencies were noted during the HOME monitoring review conducted April 14, 2011. This project is in compliance with HOME program regulations.

Parkway Apartments—Deficiencies were noted concerning income during monitoring July 28, 2011. Corrective action was required; and resolution was provided. The project is in compliance with HOME program regulations and requirements.

Professional Building—During monitoring April 7, 2011, deficiencies were noted concerning abbreviated lease agreements. Corrective action was required; and resolution was provided.

Ridgeview Heights Apartments—Deficiencies concerning documentation of Affirmative Fair Housing Marketing outreach efforts were noted during monitoring October 7, 2011.

Swope Parkway Estates—It was determined during monitoring October 11, 2011, that the HOME Rental Compliance Report did not reflect the floating units accurately. The report was revised, and the project is in compliance with HOME program regulations.

Twin Elms—No deficiencies were noted during the HOME monitoring review conducted July 28, 2011. This project is in compliance with HOME program regulations.

Vine Street Lofts—No deficiencies were noted during the HOME monitoring review conducted July 7, 2011. This project is in compliance with HOME program regulations.

Woodland Heights Phase I & II—During monitoring November 15, 2011, deficiencies were noted concerning documentation of Affirmative Fair Housing Marketing outreach efforts.

Woodland Heights Phase III—Deficiencies concerning documentation of Affirmative Fair Housing Marketing outreach efforts were noted during monitoring November 15, 2011.

Woodland Heights Phase IV—During monitoring November 15, 2011, deficiencies concerning documentation of Affirmative Fair Housing Marketing outreach efforts were noted.

Affirmative Marketing

Every contract involving HOME-funded activities includes the requirement that the contractor exercise affirmative fair housing marketing and comply with provisions of

24 CFR 92.351. They must keep records of their efforts to provide information to, and otherwise attract eligible persons from, all racial, ethnic, and gender groups. In addition, they are required to use the equal housing opportunity logo in advertising and display a fair housing poster in the rental office. The type of advertising selected by a property manager will vary based on budgets and current market conditions. Some projects advertise in the ***Apartment Guide*** while others use fliers or websites. All have outreach programs and make contact with the Housing Authority, local businesses, large employers, and shopping centers.

During HOME monitoring activities for the year, deficiencies in documentation of Affirmative Fair Housing Marketing outreach efforts were noted for these five properties: Ridgeview Heights Apartments, last monitored October 7, 2011; and Woodland Heights Phases I, II, III, and IV, last monitored November 15, 2011.

Outreach to Minority- and Women-Owned Businesses (MBEs/WBEs)

Participants in HOME-funded activities are encouraged to utilize local minority- and women-owned businesses. There are mechanisms to ensure that these businesses have opportunities to participate in all HOME projects. A public notice concerning every HOME-funded project or contracting opportunity is published in several local newspapers. All contracts awarded have MBE and WBE goals. The Human Relations Department certifies MBE/WBE businesses, and its Section 3 Office and Small Business Development Division (SBDD) offer workshops on HOME and other federally funded business opportunities. In addition, the SBDD provides MBE/WBE companies technical assistance on various topics relative to contracting opportunities.

HOME Program Income

There was \$78,445.48 in HOME program income generated in 2011, excluding any program income due or received from HEDFC during the same period.

HOME Match Report

The Home Match Report can be found on page 117 of this document.

Assessment of Relationships of ESG Funds to Goals and Objectives

Identification of actions taken to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

The final rule on Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009 created several significant changes. The following represents an abridged summary of some of the changes:

1. Reauthorization of the U.S. Interagency Council on Homelessness (USICH)—National Policy Initiative to end homelessness which furthered their mission to “coordinate

the federal response to homelessness and to create a national partnership at every level of government and with the private sector to reduce and end homelessness in the nation while maximizing the effectiveness of the Federal Government in contributing to the end of homelessness” and implement the vision that:

- ❑ No one should experience homelessness; and
 - ❑ No one should be without a safe, stable place to call home.
2. Creation of the Emergency Solutions Grant, which added new components:
 - ❑ Emergency Shelter
 - ❑ Prevention
 - ❑ Rapid Re-Housing
 - ❑ Homeless Management Information (HMIS) Data Collection
 - ❑ Administration
 3. Integration of the new definition of “homeless” and the corresponding recordkeeping requirements into the Shelter Plus Care and Supportive Housing programs.
 4. Establishment of the regulation and the definition of “developmental disability” and the definition and recordkeeping requirements for “homeless individual with a disability” for the Shelter Plus Care and Supportive Housing programs.

The objectives as defined by HEARTH place greater emphasis on permanent housing rather than sheltering services.

The City of Kansas City received funding in two separate allocations. The Stage 1 allocation of \$370,888.00 was administered in accordance with the Emergency Shelter Grant Program. The Stage 2 allocation equaled \$208,625.00, of which the City awarded \$183,706.00 to three subrecipients to implement Rapid Re-Housing activities targeting families with children and unaccompanied youth. In addition, \$8,250.00 of Stage 1 prevention funds were reallocated to another subrecipient and will be used according to the Emergency Solutions Grant regulations.

The City utilized information from the January, 2012, Point in Time Count as generated by the local Continuum of Care and other data such as the 2010 Census, 2011 U.S. Conference of Mayors Questionnaire, U.S. Department of Labor, Bureau of Labor statistics, Missouri Department of Elementary and Secondary Education (DESE), and other applicable resources to identify these three priority target groups:

- ❑ Families with Children
- ❑ Unaccompanied Youth
- ❑ Individuals

The City will work closely with shelters (emergency and domestic violence) and transitional housing providers to move both families and unaccompanied youth out of homelessness.

Chart 2

Continuum of Care Homeless Assistance Grant

Subpopulation (Sheltered)	No.	% of Total
Chronically Homeless	121	9%
Severely Mentally III	270	21%
Chronic Substance Abuse	388	30%
Veterans	184	14%
Persons with HIV/Aids	28	2%
Victims of Domestic Violence	228	18%
Elderly	66	5%
Youth Under 18	11	1%
Total	1,296	100%

Note: The data reflected in the above table was taken from the January 2012 Point in Time Count

Method of CAPER Distribution

Copies of Kansas City, Missouri’s 2011 CAPER are available at these locations:

- Kansas City, Missouri, Main Public Library, 14 West 10th Street
- Neighborhoods and Housing Services Development Department, 11th Floor, City Hall, 414 E. 12th Street
- Robert J. Mohart Multi-Purpose Center, 3200 Wayne Avenue
- Online at: <http://www.kcmo.org/CKCMO/Depts/Housing/index.htm>

VI. Public Participation

2011 CITIZEN PARTICIPATION PLANNING & CITY COUNCIL APPROVAL SCHEDULE

Public Notice Provided

September 19, 2010	Publication of schedule in <i>The Kansas City Star</i> , <i>The Call</i> , and <i>Dos Mundos</i> .
September 21, 2010	Public informational meeting schedule for 2011 Action Plan application process and public hearings posted online at kcmo.org/housing .
September 28, 2010	1 st Meeting—Hillcrest Community Center, 10401 Hillcrest Road—6:00 p.m.
September 30, 2010	2 nd Meeting—Robert J Mohart Center, 3200 Wayne Avenue—6:00 p.m.
October 5, 2010	3 rd Meeting—City Hall, 6 th Floor—10:00 a.m.
October 6, 2010	4 th Meeting—Line Creek Community Center - 5940 Northwest Waukomis Drive—6:00 p.m.
October 13, 2010	5 th Meeting—Brush Creek Community Center - 3801 Emanuel Cleaver Boulevard—6:00 p.m.
October 28, 2010	Citizen Participation Plan administratively adopted (30-day review period).
November 15, 2010	<u>All 2011 funding requests due to Housing and Community Development Department, 11th Floor, City Hall, 414 East 12th Street, by 12:00 p.m.</u>
December 10, 2010	Funding requests reviewed by staff and recommendations completed.
December 17, 2010	Submittal of Action Plan funding recommendations to City Manager.
January 28 2011	Briefing of Citizens Advisory Council on 2011 Action Plan funding recommendations—public meeting—City Council Committee Room, 10 th Floor, City Hall—9:00 a.m.–11:00 a.m.
February 6, 2011	Draft Action Plan funding recommendations published in <i>The Kansas City Star</i> , <i>The Call</i> , and <i>Dos Mundos</i> , Start of public comment period.
February 7, 2011	Draft Action Plan funding recommendations available on City's website, www.kcmo.org/housing .
February 9, 2011	2011 Action Plan funding recommendations presented to Housing Committee; public testimony* taken by the City Council; City Council Committee Room, 10 th Floor, City Hall—12:00 p.m.
February 23, 2011	2011 Action Plan funding recommendations reviewed by Housing Committee; public testimony* taken by the City Council; City Council Committee Room, 10 th Floor, City Hall—12:00 p.m.
March 2, 2011	Housing Committee considers passage of resolution approving 2011 Action Plan; public testimony* taken by the City Council; City Council Committee Room, 10 th Floor, City Hall—12:00 p.m.
March 3, 2011	City Council approval of 2011 Action Plan prior to submission to HUD.
March 31, 2011	Action Plan submitted to HUD; begins HUD 45-day review period.
June 1, 2011	2011 Action Plan program year begins.
August 14, 2012	Public hearing on 2011 Consolidated Annual Performance and Evaluation Report (CAPER), MainCor, 3215 Main Street—6:00 p.m.
August 31, 2012	2011 Consolidated Annual Performance and Evaluation Report (CAPER) due to HUD.

VII. Summary Tables

Summary of Resources and Distribution of Funds

Activity and Beneficiary Data

Table 1C—Summary of Specific Housing/Community Development Objectives (annual performance ESG, HOPWA & Continuum of Care)

Table 2C—Summary of Specific Housing/Community Development Objectives (year five CDBG & HOME performance compared to the five-year plan)

Table 3A—Summary of Specific Annual Objectives (CDBG, HOME, ESG, HOPWA)

Table 3B—Annual Affordable Housing Completion Goals

Summary of Resources and Distribution of Funds

	Funds	Funds	# Inspections	# Persons*		# Housing		# Jobs	# Businesses
Summary of Expenditures:	Budgeted	Expended	Goal/Actual	Goal	Actual	Goal	Actual		
Programs for the Homeless and Very Low Income Persons	\$1,853,658.00	\$807,204.48		20,013	18,534				
Special Needs Housing	\$1,319,968.00	1,174,849.33		503	258				
Public Services to Low-Income Persons	\$922,607.00	\$813,415.07		9,514 people; 60 cases	9,193 people; 63 cases				
Neighborhood Center Services	\$0.00	\$0.00							
Total Human Services	\$4,096,233.00	\$2,795,468.88		30,030 people; 60 cases	27,985 people; 63 cases				
Systematic Code Enforcement Program/Building Conditions Survey	\$1,000,000.00	\$1,000,000.00	Goal=1,000; actual=71,513						
Total for Blight Elimination	\$1,000,000.00	\$1,000,000.00	Goal=1,000; actual=71,513						
Public Facilities	\$3,755,000.00	\$3,081,843.75	Goal=2 site acquisitions; 5 structures renovated; actual=1 site acquisition completed; 5 structures renovated	2,200	2,775				
Multifamily Housing Activities in Neighborhood Service Delivery Areas	\$2,676,415.00	\$0.00				31	186		
Single Family Housing Activities in Neighborhood Service Delivery Areas	\$1,851,000.00	\$1,540,181.39				214	175		
Total for Housing Production Programs	\$4,527,415.00	\$1,540,181.39				245	361		
Total for Economic Opportunity Activities	\$565,998.00	\$520,699.21						Goal=103 jobs; actual=119 jobs	Goal=103 microenterprises; actual=108 microenterprises
Planning, Management, & Audit Costs	\$1,875,899.00	\$1,724,539.06							
Section 108 Debt Repayment	\$1,411,648.00	\$1,411,647.75							
Unallocated Funds Not Otherwise Listed	255,000.00	\$0.00							
Total 2011 CAPER Budget/Expenditures	\$17,487,193.00	\$12,074,380.04	Goal=1,000 inspections; 2 site acquisitions; 5 structures renovated; actual=71,513 inspections; 1 site acquisition completed; 5 structures renovated	32,230 people; 60 cases	30,760 people; 63 cases	245 units	361 units	Goal=103 jobs; actual=119 jobs	Goal=103 microenterprises; actual=108 microenterprises

*Represents number of services provided; may be some duplication of clients.

2011 CAPER—Beneficiary Data by Activity

June 1, 2011, to May 31, 2012

CDBG Public Service		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
3749	Benilde Hall	109	120	0	4	0	0	4	0	233	233	0	0
3755	Boys and Girls Clubs of Greater K.C.	3,232	511	0	0	5	477	350	0	4,225	0	4,225	0
3747	Community Assistance Council	2,315	747	6	7	20	197	125	987	3,292	2,841	429	22
3724	Greater Kansas City Housing Information Center	1,617	92	1	0	0	32	32	1,064	1,742	1,546	176	20
3759	Guadalupe Center (Child Care)	14	47	4	0	1	21	51	42	87	77	10	0
3760	Guadalupe Center (Housing Counseling)	505	3,294	2	3	0	2	3,037	495	3,806	1,142	2,664	0
3757	Kansas City Community Gardens, Inc.	605	203	3	0	0	0	21	203	811	0	811	0
3752	Mattie Rhodes	16	196	3	0	0	22	158	0	237	45	119	73
3750	Operation Breakthrough, Inc.	485	55	2	1	0	21	14	264	564	514	37	13
3758	Palestine Senior Citizens Activity Center	757	2	0	0	0	0	0	0	759	7	562	190
3748	ReStart Homeless Services	2,301	1,374	25	0	4	869	172	1,537	4,573	4,212	358	3
3751	United Inner City Services	98	6	0	0	0	8	0	56	112	78	34	0
3754	Urban Ranger Corps	61	1	0	0	0	0	1	0	62	62	0	0
3756	W.E.B. DuBois Learning Center	2,412	0	0	0	0	0	0	0	2,412	42	70	2,300
3753	YMCA of Greater Kansas City	5	2	0	0	0	4	0	0	11	0	11	0
Total CDBG Public Services		14,532	6,650	46	15	30	1,653	3,965	4,648	22,926	10,799	9,506	2,621
CDBG Economic Development		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
3763	City Small Business Assistance Office (Section 3 new hires)*	32	12	0	0	0	2	2	3	46	N/A	N/A	N/A
3764	HEDC Business Assistance Program**	6	233	1	0	0	0	233	N/A	240	139	48	39
Total CDBG Economic Development		38	245	1	0	0	2	235	3	286	139	48	39

*Agency contracted with a third-party agency to track this information; the information was not available at the time of reporting.

**Agency did not track data on female head of household (marked N/A) or household size. Consequently, only those whose household income qualified as LMI with a household size of only one person were counted in the financial data fields; and the number of LMI individuals served based on income stratification does not equate to the total number of beneficiaries.

Citywide Housing Grant Programs		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
3777	Blue Hills Targeted Minor Home Repair	25	1	0	0	0	0	0	21	26	9	8	9
3778	Neighborhood Housing Services Targeted Minor Home Repair	20	0	0	0	0	0	0	2	20	4	9	7
3776	Northland Neigh. Targeted Minor Home Repair	2	24	0	1	0	0	2	4	27	0	10	17
3780	Ivanhoe Targeted Minor Home Repair	23	1	0	0	0	0	0	20	24	12	6	6
3779	Westside Housing Targeted Minor Home Repair	3	17	0	0	0	0	16	10	20	7	5	8
3785	Ivanhoe Rental Rehabilitation*	10	2	0	0	0	0	0	5	14	2	3	7
Total Housing Grants		83	45	0	1	0	0	18	62	131	34	41	54
HOME Citywide Housing Loan/Grant Programs		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
	Home Ownership Assistance (KC Dream)	15	37	0	0	0	5	0	41	57	0	15	42
Total Loan/Grants Beneficiaries		15	37	0	0	0	5	0	41	57	0	15	42
CDBG/HOME		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
		14,668	6,977	47	16	30	1,660	4,218	4,754	23,400	10,972	9,610	2,756

**Two rehabbed properties remain to be rented out; therefore, there is no beneficiary data available for them at this time.*

ESG		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Islander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
3719	Benilde Hall	120	109	0	4	0	0	4	0	233	233	0	0
3720	Community Assistance Council	3	36	0	0	0	4	2	3	43	43	0	0
3720	Don Bosco*	0	7	0	0	0	0	0	0	7	N/A	N/A	N/A
3719-3720	Guadalupe Center	38	12	0	0	0	1	32	10	51	31	20	0
3719	NewHouse*	346	747	11	19	0	334	0	838	1,457	N/A	N/A	N/A
3720	reStart, Inc. Homeless Drop-in Center	2,301	1,374	25	0	4	869	172	1,537	4,573	4,212	358	3
3719	reStart, Inc. Shelter	1,009	719	8	10	4	320	19	48	2,070	1,681	389	0
3719	Rose Brooks Center, Inc.	120	219	7	4	5	116	61	238	471	471	0	0
3719	Sheffield Place	46	65	0	0	0	10	24	51	121	121	0	0
3719	Synergy Services	288	240	0	8	0	67	20	136	603	527	39	10
3719	The Salvation Army	16	37	0	0	0	12	6	8	65	65	0	0
Total ESG		4,287	3,565	51	45	13	1,733	340	2,869	9,694	7,384	806	13
HOPWA		Black	White	Asian	American Indian/ Native Alaskan	Hawaiian/ Pacific Is- lander	Other/ Multi-Racial	Hispanic Ethnicity	Female Head of Household	Total Assisted	Number Assisted 0-30%AMI	Number Assisted 31-50%AMI	Number Assisted 51-80%AMI
3709-3710	reStart, Inc.	34	14	0	0	0	0	0	2	48	48	0	0
3711-3715	SAVE, Inc.	101	108	0	1	0	0	0	49	210	186	19	5
Total HOPWA		135	122	0	1	0	0	0	51	258	234	19	5

**These agencies did not provide beneficiaries' income data.*

Transition Table 1C
Summary of Specific Housing/Community Development Objectives
(Table 1A/1B Continuation Sheet)
2011 Action Plan Year

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number	Outcome/Objective*
	Homeless Objectives					
SL	Transitional Housing Benilde Hall	ESG 48,668.00	Provide transitional housing for homeless veterans.	225 men/year	233 men	SL-1
DH	Supportive Services Community Assistance Council	ESG 9,575.00	Provide homeless prevention services.	100 individuals/year	43 individuals	DH-1
DH	Supportive Services Don Bosco	ESG 1,218.29	Provide homeless prevention services.	860 individuals/year	7 individuals	DH-1
DH	Supportive Services Guadalupe Center	ESG 8,620.00	Provide homeless prevention services.	30	51 individuals	DH-1
SL	Transitional Housing Guadalupe Center	ESG 18,963.22	Provide transitional housing for homeless individuals.	150 individuals/year	51 individuals	SL-1
SL	Transitional NewHouse	ESG 45,675.00	Provide emergency shelter for domestic violence victims and their families.	600 women and children/year	1,457 individuals	SL-1
SL	Transitional reStart, Inc.	ESG 59,875.00	Provide emergency shelter for homeless individuals.	1,810 individuals/year	2,070 individuals	SL-1
SL	Transitional Rose Brooks Center, Inc.	ESG 46,070.00	Provide emergency shelter for domestic violence victims and their families.	210 individuals/year	471 individuals	SL-1
SL	Transitional Housing Sheffield Place	ESG 29,705.00	Provide transitional housing for homeless women with children.	40 families/year	121 homeless women and children	SL-1
SL	Transitional Synergy Services	ESG 29,940.00	Provide emergency shelter for domestic violence victims and their families.	400 women and children/year	603 women and children	SL-1

SL	Transitional The Salvation Army	ESG 29,683.00	Provide transitional housing for homeless families and women.	50 individuals/ year	65 individuals	SL-1
SL	Permanent Supportive Housing SAVE Inc.	CoC SHP 299,483.00	Provide permanent supportive housing for individuals living with AIDS.	36 units	36 units	SL-1
SL	Permanent Supportive Housing SAVE Inc.	CoC SHP 201,153.00	Provide housing for chronically homeless individuals with AIDS.	8 units	8 units	SL-1
SL	Permanent Supportive Housing reStart, Inc. A New Start Program	CoC SHP 206,817.00	Provide housing for chronically homeless individuals with mental illness.	17 units 19 beds	17 units 19 beds	SL-1
SL	Permanent Supportive Housing reStart, Inc.	CoC SHP 124,915.00	Provide housing for chronically homeless individuals with mental illness.	12 units	12 units	SL-1
SL	Transitional Housing reStart, Inc	CoC SHP 226,306.00	Provide transitional housing for homeless families with children.	26 units	26 units	SL-1
SL	Transitional Housing reStart Convent Transitional Housing Project (City of KCMO)	CoC SHP 48,300.00	Provide housing for single individuals or families.	11 units 29 beds	11 units 29 beds	SL-1
SL	Supportive Services Day Resource Center reStart, Inc. Homeless Service Center (City of KCMO)	CoC SHP 199,399.00	Provide supportive services encouraging street/shelter homeless individuals to move into programs or self-sufficiency.	107 individuals	276 individuals	SL-1
SL	Permanent Supportive Housing Mental Health Association of the Heartland—Heartland Housing	CoC SHP 64,099.00	Provide housing for 8 chronically homeless or mentally ill or disabled individuals.	8 units	8 units	SL-1
SL	Permanent Supportive Housing Benilde Hall	CoC SHP 51,350.00	Provide housing for 12 men with substance abuse.	12 units	12 units	SL-1

SL	Transitional Housing Benilde Hall	CoC SHP 100,380.00	Provide transitional housing for 170 individual men recovering from substance abuse.	50 beds 170 individuals	50 beds 170 individuals	SL-1
SL	Transitional Housing The Salvation Army Linwood Supportive Housing	CoC SHP 236,698.00	Provide transitional housing for 10 families, 5 single women (39 individuals).	15 units	15 units	SL-1
SL	Transitional Housing Sheffield Place	CoC SHP 163,079.00	Provide housing for 45 single women with children.	45 individuals	68 individuals	SL-1
SL	Transitional Housing NewHouse, Inc. (City of KCMO)	CoC SHP 32,935.00	Provide transitional housing for 29 individuals.	11 units 29 beds	11 units 29 beds	SL-1
SL	Safe Haven— Transitional Housing Truman Medical Center: Access House	CoC SHP 518,157.00	Provide transitional housing for 10 seriously mentally ill, homeless individuals at any point in time.	10 units	10 units	SL-1
SL	Transitional Housing Community LINC	CoC SHP 110,058.00	Provide transitional housing for 12 families or single individuals at any point in time.	12 units	12 units	SL-1
SL	Transitional Housing Community LINC (City of KCMO)	CoC SHP 125,890.00	Provide transitional housing for 12 families or single individuals at any point in time.	12 units 48 beds	12 units 48 beds	SL-1
SL	Transitional Housing United Services Community Action Agency (City of KCMO)	CoC SHP 133,891.00	Provide transitional housing for 45 families or single individuals per year.	12 units 45 beds	12 units 48 beds	SL-1
SL	Supportive Services Swope Health Services	CoC SHP 185,281.00	Provide supportive services through Health Care Outreach to 150 homeless individuals per year.	150/year	150/year	SL-1

SL	Supportive Services Swope Health Services	CoC SHP 114,450.00	Provide supportive services through Health Care Outreach to 1,400 homeless individuals per year.	1,400/year	1,400/year	SL-1
SL	Supportive Services Housing Placement Assistance Metro Lutheran Ministry— Project Care	CoC SHP 213,515.00	Provide intensive supportive services for housing placement to individuals and/or families.	206/year	206/year	SL-1
SL	HMIS Mid America Assistance Coalition	CoC SHP 43,358.00	Provide HMIS support to area CoC.	N/A	N/A	SL-1
SL	Supportive Services Homeless Housing Counseling GKC Housing Information Center	CoC SHP 24,857.00	Provide supportive services, housing counseling to 90 individuals per year.	90/year	90/year	SL-1
SL	Supportive Services Job Assistance Helping Hand of Goodwill (City of KCMO)	CoC SHP 36,131.00	Provide supportive services, job search services to 800 individuals per year.	800/year	800/year	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 1,024,104.00	Provide permanent supportive housing for chronic, disabled homeless.	100 units 170 beds	100 units 170 beds	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 307,860.00	Provide permanent supportive housing for chronic, disabled homeless.	35 units 35 beds	35 units 35 beds	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 532,860.00	Provide permanent supportive housing for chronic, disabled homeless.	50 units 96 beds	50 units 96 beds	SL-1

SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 264,828.00	Provide permanent supportive housing for chronic, disabled homeless.	26 units 74 beds	26 units 74 beds	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 1,699,464.00	Provide permanent supportive housing for chronic, disabled homeless.	162 units 298 beds	162 units 298 beds	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 262,800.00	Provide permanent supportive housing for chronic, disabled homeless.	25 units 91 beds	25 units 91 beds	SL-1
SL	Permanent Supportive Housing Rental Assistance Vouchers Mo Dept. of Mental Health	CoC S+C 83,088.00	Provide permanent supportive housing for chronic, disabled homeless.	9 units 18 beds	9 units 18 beds	SL-1
SL	Permanent Supportive Housing Mo Dept. of Mental Health	CoC S+C 183,240.00	Provide permanent supportive housing for chronic, disabled homeless.	21 units 21 beds	21 units 21 beds	SL-1
SL	Shelter + Care KC Housing Authority	CoC S+C 98,256.00	Provide 12 rental subsidies for chronic disabled homeless.	18 units 18 beds	18 units 18 beds	SL-1
SL	Shelter + Care KC Housing Authority	CoC S+C 106,488.00	Provide supportive housing to mentally ill individuals.	15 units 15 beds	15 units 15 beds	SL-1
SL	Shelter + Care SPC Health Department	CoC S+C 350,172.00	Provide supportive housing to persons with HIV/Aids.	38 units 45 beds	38 units 45 beds	SL-1
	Other Objectives					

***Outcome/Objective Codes**

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Suitable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

Transition Table 2C
Summary of Specific Housing/Community Development Multi-Year Objectives
(Table 2A/2B Continuation Sheet)
2011 (Consolidated Plan Year 4 of 5)

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number 2007-2011	Actual Number 2007-2011	Outcome/Objective*
	Rental Housing					
DH-2.7	Multi Family Rental	HOME	Affordable housing units	136	507	DH-2
DH-2.24	Ivanhoe Neighborhood Council	CDBG	Affordable housing units	15	14	DH-2
DH-2.26	NHS Rental Rehab/East 42 nd Street	HOME	Housing units	12	0	DH-2
DH-2.27	NHS Rental Rehab/Everheart Apts.	HOME	Housing units	12	0	DH-2
DH-2.32	NNI Residences at Gracemore	HOME	Housing units	28	0	DH-2
DH-2.31	SCB 20 th & Park Townhomes	CDBG	Housing units	30	0	DH-2
DH-2.13	Jefferson Place--Rehabilitation	CDBG	Housing units	5*	5	DH-2
	Owner Housing					
DH-2.1 DH-2.2 DH-2.3 DH-2.4	Single Family New Construction/ Purchase Rehabilitation	HOME	Housing units	21	49	DH-2
DH-2.5	Minor Home Repair Programs	CDBG	Housing units	1,850	2,141	DH-2
DH-2.6	KC Dream HOME Program	HOME	L/M homebuyers	540	687	DH-2
DH-2.29	SCB Mt. Cleveland Estates New Const.	CDBG	Housing units	5	0	DH-2
DH-2.30	SCB Woodland Highlands New Const.	CDBG	Housing units	6	0	DH-2
DH-2.28	Housing Development Gap	CDBG	Housing units	5	0	DH-2
	Community Development					
SL-3.1	Hickman Mills Community Services	CDBG	People	13,000	12,279	SL-3
SL-3.2	Community Gardens Program	CDBG	People	1,000	2,883	SL-3
SL-3.3	Systematic Code Enforcement	CDBG	Number of inspections	15,000	149,709	SL-3
SL-3.4	Business Façade Rebate Program	CDBG	L/M area benefit	40	5	SL-3
SL-3.5	Vacant Building Receivership Program	CDBG	L/M area benefit	250 cases/ 30 reoccupancies	117 cases	SL-3
SL-3.7	Legal Aid Abandoned Housing Project	CDBG	L/M area benefit	120 cases	128 cases	SL-3

**This represents a correction to the 2009 Action Plan and subsequent listings, which showed 15 units.*

	Public Facilities					
EO-1.1	Operation Breakthrough	CDBG	Children	1,000	2,080	EO-1
EO-1.2	Harvesters Food Distribution Facility	CDBG	Jobs	25	25	EO-1
EO-1.3	DeLaSalle Education Center	CDBG	Youth	325	325	EO-1
DH-1.14	Sheffield Place	CDBG	People	35	65	DH-1
DH-1.15	Rose Brooks	CDBG	Women and children	200	471	DH-1
DH-1.16	reStart, Inc.	CDBG	People	2,000	2,304*	DH-1
EO-1.1	Culinary Arts Training Facility	CDBG	Jobs	20	0	EO-1
SL-1.12	Niles Home for Children	CDBG	Children	220	104**	SL-1
SL-1.13	Palestine Senior Citizens Activity Center	CDBG	Seniors	225	38,155***	SL-1
SL-1.16	Palestine Neighborhood Learning Center	CDBG	Neighborhood facility	1 building	1 building	SL-1
	Public Services					
SL-1.1	Senior Services at Mohart Center	CDBG	Elderly	1,000	875	SL-1
SL-1.2	Palestine Senior Citizens Activity Center	CDBG	Elderly	1,125	39,424**	SL-1
DH-1.17	Greater Kansas City Housing Information Center	CDBG	People	22,400	8,644	DH-1
DH-1.17	Greater Kansas City Housing Information Center	CDBG	Households	1,600	4,068	DH-1
DH-1.8	Guadalupe Center Housing Counseling	CDBG	People	3,500	11,070	DH-1
DH-1.18	Guadalupe Center Housing Counseling	CDBG	Households	3,000	5,560	DH-1
EO-1.1	Operation Breakthrough Childcare	CDBG	Children	2,800	2,792	EO-1
EO-1.5	United Inner City Services (St. Marks) Childcare	CDBG	Children	625	417	EO-1
EO-1.6	Guadalupe Center Child Care Services	CDBG	Children	725	924	EO-1
EO-1.7	KCMO Headstart	CDBG	Children	575	204	EO-1
EO-1.8	Operation Breakthrough Homeless Childcare	CDBG	Children	920	1,912	EO-1
SL-1.12	Mattie Rhodes/Northeast Youth Crime Prevention Program	CDBG	Youth	1,750	2,841	SL-1
SL-1.14	Boys and Girls Clubs of Greater Kansas City	CDBG	Youth	1,500	4,831	SL-1
SL-1.14	W.E.B. DuBois Learning Center	CDBG	Youth	2,285	5,517	SL-1
SL-1.4	Phoenix Family Housing Services	CDBG	Elderly and youth	1,674	3,952	SL-1
DH-1.19	HomeFree USA Housing Counseling	CDBG	People	450	3,171	DH-1
EO-1.11	YMCA Child Care Services	CDBG	Children	456	107	EO-1
SL-3.1	Community Assistance Council	CDBG	People	3,200	16,335	SL-3
SL-1.19	Community LINC—Transitional Hsg.	CDBG	Housing units	24	24	SL-1

**Includes homeless, volunteers, and other members of the community (workshop, training, and community meeting attendees).*

***Number revised by agency 11/3/11 to reflect number of unduplicated clients served rather than services provided.*

****This reflects the number of services provided; there may be duplication of clients.*

	Economic Development					
EO-1.9	Small Business Assistance Center at 18 th and Vine	CDBG	Jobs/area benefit businesses (microenterprises)	500 jobs/bus.	332/387	EO-1
EO-1.10	Small Business Assistance	CDBG	Jobs/area benefit businesses	15 jobs/bus.	22/9	EO-1
EO-1.12	Hispanic Economic Development Corp.	CDBG	Jobs/area benefit businesses (microenterprises)	15 jobs/bus.	17/17	EO-1
EO-1.13	Swope Community Builders Business Incubator	CDBG	Jobs/area benefit businesses	10	19	EO-1
EO-3.1	Business Assistance	CDBG	Jobs/area benefit businesses	30 jobs/bus.	38/22	EO-3
	Neighborhood Revitalization/Other					
EO-3.2	NRSA Activities	CDBG, special purpose EDI grants & miscellaneous income	People, housing, businesses & jobs	49,250 people/year, 140 rehabs, 300 new construction housing, 210 jobs	6,063 people, 69 rehabs, 92 new construction units, 57 jobs	EO-3

***Outcome/Objective Codes**

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Suitable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

Table 3A
Summary of Specific Annual Objectives
2011 Action Plan Year

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number	Outcome/Objective*
	Rental Housing Objectives					
DH-2.24	Ivanhoe Single-Family Rental Rehabilitation	CDBG	Housing units	15	14	DH-2
DH-2.28	NHS Rental Rehab/Squier Park Townhomes	HOME	Housing units	16	0	DH-2
DH-2.34	Rental Housing Production	HOME	Housing units	15	0	DH-2
	Owner Housing Objectives					
DH-2.21	Blue Hills Community Services Targeted Minor Home Repair	CDBG	Housing units	30	26	DH-2
DH-2.23	Neighborhood Housing Services Targeted Minor Home Repair	CDBG	Housing units	24	20	DH-2
DH-2.19	Ivanhoe Neighborhood Council Targeted Minor Home Repair	CDBG	Housing units	27	24	DH-2
DH-2.17	Northland Neighborhoods Targeted Minor Home Repair	CDBG	Housing units	55	27	DH-2
DH-2.20	Westside Housing Organization Targeted Minor Home Repair	CDBG	Housing units	26	20	DH-2
DH-2.33	Northland Neighborhoods Purchase/Rehab	CDBG	Housing units	2	0	DH-2
DH-2.6	KC Dream Home Program	HOME	L/M homebuyers	20	57	DH-2
	Homeless Objectives					
SL-1.6	Benilde Hall	ESG/CDBG	Homeless	300	233	SL-1
DH-1.2	Community Assistance Council	ESG	Homeless	45	43	DH-1
SL-3.1	Community Assistance Council	CDBG	People	2,000	3,292	SL-3
SL-1.21	Don Bosco Center	ESG	Homeless	860	7	SL-1
SL-1.20	Guadalupe Center	ESG	Homeless	30	51	DH-1
DH-1.4	Greater KC Housing Information Center	CDBG	Households	2,000	1,742	DH-1
SL-1.7	NewHouse	ESG	Homeless	675	1,457	SL-1
SL-1.17	reStart Homeless Drop-In Center (essential services)	ESG	Homeless	3,150	4,573	SL-1
DH-1.17	reStart Homeless Services Drop-in Center	CDBG	Homeless	3,000	4,573	DH-1
SL-1.18	reStart Homeless Shelter (918 E. 9 th)	ESG	Homeless	4,309	2,070	SL-1
SL-1.9	Rose Brooks Center, Inc.	ESG	Homeless	560	471	SL-1

	Homeless Objectives (cont'd)					
SL-1.14	The Salvation Army	ESG	Homeless	44	65	SL-1
SL-1.10	Sheffield Place	ESG	Homeless	40	121	SL-1
SL-1.11	Synergy Services	ESG	Homeless	350	603	SL-1
DH-2.32	Tenant-based rental assistance for households leaving transitional housing	HOME	Transitional households	250	0	DH-2
	Special Needs Objectives					
DH-1.12	Save, Inc.	HOPWA	Persons with HIV/Aids	458	210	DH-1
DH-1.13	reStart, Inc.	HOPWA	Persons with HIV/Aids	45	48	DH-1
	Community Development Objectives					
SL-3.3	Systematic Code Enforcement	CDBG	Blighted properties remediated	1,000	71,513	SL-3
SL-3.2	KC Community Gardens	CDBG	Gardens tilled	500	811	SL-3
SL-3.7	Legal Aid Abandoned Housing	CDBG	Area benefit	60 cases	63 cases	SL-3
	Infrastructure Objectives					
	Public Facilities Objectives					
SL-1.27	Blue Hills Community Services Ctr.	CDBG	Area benefit	1 building	0	SL-1
SL-1.28	Historic Lincoln Building	CDBG	Area benefit	1 building	0	SL-1
DH-1.16	reStart Homeless Shelter—renovation	CDBG	Homeless	2,000	2,304 persons*	DH-1
DH-1.15	Rose Brooks Center—new wing	CDBG	Women	200	471 women and children	DH-1
EO-1.1?	Heritage Business Park II—renovation	CDBG	Area benefit	1 building	0	EO-3

**Includes homeless, volunteers, and other members of the community (workshop, training, and community meeting attendees).*

	Public Services Objectives					
SL-1.14	Boys & Girls Clubs of Greater KC	CDBG	Youth	1,500	4,225	SL-1
EO-1.6	Guadalupe Center Child Care Services	CDBG	Children	145	87	EO-1
DH-1.17	Greater KC Housing Information Ctr.	CDBG	Households	1,600	1,742	DH-1
DH-1.18	Guadalupe Center Housing Counseling	CDBG	Households	2,400	3,806	DH-1
SL-1.14	Mattie Rhodes	CDBG	Youth	160	237	SL-1
EO-1.1	Operation Breakthrough Child Care	CDBG	Children	670	564	EO-1
SL-1.24	Palestine Senior Citizens Activity Center	CDBG	Seniors	150	759	SL-1
EO-1.5	United Inner City Services (St. Marks)	CDBG	Children	125	112	EO-1
SL-1.23	Urban Rangers	CDBG	Youth	60	62	SL-1
SL-1.14	W.E.B. DuBois Learning Center	CDBG	Youth	500	2,412	SL-1
SL-3.1	Community Assistance Council	CDBG	People	3,200	3,292	SL-3
EO-1.13	YMCA Childcare	CDBG	Children	19	11	EO-1
	Economic Development Objectives					
EO-1.9	City's Small Business Assistance Center at 18 th and Vine	CDBG	Microenterprises	95	101	EO-1
EO-1.12	Hispanic Economic Development Corporation	CDBG	Microenterprises	8	7	EO-1

***Outcome/Objective Codes**

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Suitable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

Table 3B

Grantee Name: City of Kansas City, Missouri Program Year: 2011	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed	<i>Resources used during the period</i>			
			CDBG	HOME	ESG	HOPWA
BENEFICIARY GOALS (Sec. 215 Only)						
Homeless households	305	24	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	280	376	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households	503	258	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Total Sec. 215 Beneficiaries*	1,088	658	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
RENTAL GOALS (Sec. 215 Only)						
Acquisition of existing units	0	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	58	69	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	46	134	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance (TBRA/HOPWA)	753	258	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>
Total Sec. 215 Affordable Rental	854	461	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
HOME OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	0	5	<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	0	3	<input type="checkbox"/>	<input type="checkbox"/>		
Rehabilitation of existing units	214	132	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Homebuyer Assistance	20	57	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Owner	234	197	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	0	5	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	55	72	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	260	266	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	753	258	<input type="checkbox"/>	<input type="checkbox"/>		<input checked="" type="checkbox"/>
Homebuyer Assistance	20	57	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Combined Total Sec. 215 Goals*	1,088	658	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)						
Annual Rental Housing Goal	854	461	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Annual Owner Housing Goal	234	197	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Overall Housing Goal	1,088	658	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

VIII. Other Attachments and Narratives

HOPWA CAPER—Measuring Performance Outcomes

Owner-Occupied Housing Repair Activity Maps

HOME Match Report

First-Time Homebuyer Completions Map

Section 3 New Hires by Zip Code & Project Report

Annual Section 3 Reports

CDBG Financial Summary Reports

Loans and Other Receivables (Documentation)

Citizen Comments



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

Final Released 1/12/12

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who: (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5 Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and sub-leases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number MOH11F-001		Operating Year for this report <i>From (mm/dd/yy)</i> 06/01/2011 <i>To (mm/dd/yy)</i> 05/31/2012			
Grantee Name City of Kansas City, Missouri					
Business Address		414 East 12th Street			
City, County, State, Zip		Kansas City	Jackson	Missouri	64106
Employer Identification Number (EIN) or Tax Identification Number (TIN)		44-60000201			
DUN & Bradstreet Number (DUNs):		040710712	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:		
*Congressional District of Grantee's Business Address		5th District			
*Congressional District of Primary Service Area(s)		MO-4 MO-5 MO-6 KS-2 KS-3			
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Kansas City Independence Olathe Liberty Lenexa		Counties: Jackson Johnson Clay Wyandotte	
Organization's Website Address www.kcmo.org/health		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name SAVE, Inc		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Zori Rodriguez, CEO			
Email Address		zrodriguez@saveinckc.org			
Business Address		PO Box 45301			
City, County, State, Zip,		Kansas City, Jackson, Missouri 64171			
Phone Number (with area code)		816 531 8340	N/A	N/A	N/A
Employer Identification Number (EIN) or Tax Identification Number (TIN)		43-1465268		Fax Number (with area code) 816 531 4306	
DUN & Bradstreet Number (DUNs):		83-504-4306			
Congressional District of Project Sponsor's Business Address		MO-5			
Congressional District(s) of Primary Service Area(s)		MO-4, MO-5, MO-6, KS-2, KS-3			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Kansas City		Counties: Jackson	
Total HOPWA contract amount for this Organization for the operating year		\$970,994.00			
Organization's Website Address		www.saveinckc.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name reStart, Inc		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Evelyn E. Craig, Executive Director			
Email Address		ecraig@restartinc.org			
Business Address		918 East 9th Street			
City, County, State, Zip,		Kansas City, Jackson, Missouri 64106			
Phone Number (with area code)		816 472 5664	N/A	N/A	N/A
Employer Identification Number (EIN) or Tax Identification Number (TIN)		43-1349378		Fax Number (with area code) 816 472 6127	
DUN & Bradstreet Number (DUNs):		78-548-7844			
Congressional District of Project Sponsor's Business Address		MO-5			
Congressional District(s) of Primary Service Area(s)		MO-4, MO-5, MO-6, KS-2, KS-3			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Kansas City		Counties: Jackson	
Total HOPWA contract amount for this Organization for the operating year		\$70,000 00			
Organization's Website Address		www.saveinckc.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable	N/A
Name and Title of Contact at Subrecipient	N/A				
Email Address	N/A				
Business Address	N/A				
City, State, Zip, County	N/A	N/A	N/A	N/A	
Phone Number (with area code)	N/A			Fax Number (include area code)	N/A
Employer Identification Number (EIN) or Tax Identification Number (TIN)	N/A				
DUN & Bradstreet Number (DUNs):	N/A				
North American Industry Classification System (NAICS) Code	N/A				
Congressional District of Subrecipient's Business Address	N/A				
Congressional District of Primary Service Area	N/A				
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: N/A N/A N/A N/A N/A			Counties: N/A N/A N/A N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	N/A				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	Benilde Hall		Parent Company Name, if applicable	
			N/A	
Name and Title of Contact at Contractor/ Sub-contractor Agency	William Kent Jewell, Executive Director			
Email Address	kjewell@mail benildehall org			
Business Address	3220 East 23rd Street			
City, County, State, Zip	Kansas City	Jackson	MO	64127
Phone Number (included area code)	816 542 5836		Fax Number (include area code)	
			816 421 5026	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	43-1795790			
DUN & Bradstreet Number (DUNs)	82-7135518			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of the Sub-recipient's Business Address	MO-5			
Congressional District(s) of Primary Service Area	MO-4, MO-5, MO-6, KS-2, KS-3			
City(ies) and County(ies) of Primary Service Area	Cities: Kansas City Olathe Liberty Independence Lenexa		Counties: Jackson Johnson Clay Wyandotte	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$13,800 00			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

SAVE, Inc. was founded in 1986 in response to the HIV/AIDS crisis when two businessmen went to their local priest and told him that another neighbor's son was dying of this disease and they want to help. From that initial act of compassion a home was purchased to serve as Missouri's first AIDS hospice. Today, "through comprehensive housing solutions, SAVE, Inc. empowers those living with, or at risk for HIV/AIDS to live healthy, stable lives with personal dignity." (Mission Statement)

SAVE, Inc. offers a continuum of housing services from emergency assistance (to prevent homelessness); Transitional Assistance (for clients to gain access to permanent housing); an eight bed transitional housing facility; and permanent housing (through both site specific subsidized housing and scattered site voucher programs). SAVE, Inc. also sees housing as a prevention tool to stop the spread of HIV/AIDS by managing scattered site vouchers for those who are homeless and disabled. SAVE, Inc. has extensive experience managing federal housing programs that are not limited solely to HOPWA funds, but include Supportive Housing Program funds and Shelter Plus Care as well.

SAVE, Inc. serves the entire 15-county Eligible Metropolitan Statistical Area (EMSA) as defined by HUD for the Kansas City region with its housing programs. Any HIV-infected person who is living in any of these 15 counties may receive any one or any combination of housing activities as assessed and authorized by their Ryan White Case Manager.

The contact person for all housing programs is Zori Rodríguez, CEO. Housing options range from: Emergency Assistance, Transition Housing Assistance, Stepping Stones Transitional Housing, Transitional Housing for Addiction Recovery, Rental Assistance Due to Medical Crisis, HOPWA Interim Rental Assistance, Supportive Housing Program Rental Assistance, Shelter Plus Care Rental Assistance, SAVE, Inc. owned and managed section 8-11 housing, SAVE Home, a 24-hour care facility, and household goods.

(reStart, Inc.) Since approximately 50% or 2,850 of Kansas City's HIV/AIDS clients will need housing assistance at some point during their illness, there is also a huge need for emergency and transitional service providers like reStart that welcome HIV+ individuals and families. reStart partners with emergency and transitional housing providers such as Save, Inc. to ensure that these clients have a safe, healthy living environment as well as to help them access case management, mainstream health benefits, and medical care as they face growing wait times for permanent housing. reStart also provides screenings to identify clients that may not know they are infected, as well as prevention education to help HIV+ individuals avoid spreading infection to sexual partners. Most importantly, our transitional housing program provides the stability and support our HIV/AIDS clients need to begin or engage in treatment and medication regimens that they cannot sustain while living on the streets.

Since reStart staff members began attending local Ryan White case management meetings in mid-2009, the number of HIV+ clients in our transitional programs has increased significantly. We served 19 singles in our HOPWA program in 2008, 36 singles in 2009, 55 singles and 4 families in 2010, and 42 singles and 4 families in 2011. Last year, 31% of the single adults served in our Transitional Living program were HIV+. During the first six months of 2012, we have housed an average of 8 clients with HIV/AIDS each month in our singles transitional program out of 37 available beds.

Unfortunately, our HIV+ clients face all the challenges faced by other homeless individuals and families,

plus the additional challenges associated with living with a chronic illness. More than 90% of our HOPWA clients also struggle with mental illness, trauma, and/or substance abuse, which makes it difficult for them to sustain employment or to comply with the complicated treatment regimens required to effectively treat HIV/AIDS. Our half-time clinical case manager has been crucial in helping us meet the complex needs of our HOPWA clients. We are seeking funding to sustain this position, as well as to provide clients with room refrigerators for storing medications and transportation assistance to help clients access low-income health care providers and Ryan White case management. We provide transportation assistance in the form of bus passes and (occasionally) gas cards.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

SAVE, Inc. has continued to operate at capacity in most of the HOPWA funded programs it operates. 98 households were served on the HOPWA TBRA program. 83 households were served by the 66 units of SAVE, Inc. managed properties, the 8 beds at our SAVE Home congregate living program, and our Stepping Stones Transitional Living program. 38 households received short-term rent and utility assistance, for a total of 210 total unduplicated individuals served by the HOPWA funding sponsored by SAVE, Inc.

(reStart, Inc.) We utilized the HOPWA funding for 21 weeks of housing assistance for clients during the program year. Our challenge this year was transitioning over to a lottery system that randomly selected clients for our housing program. This proved to be a challenge due to the low number of entries by Ryan White Case Managers.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

(SAVE, Inc.) 100% of the participants receiving services have a housing plan as assessed and implemented through their case manager. Additionally, 100% have had primary care visits, access to medical insurance/assistance and assessment for income benefits for which the participant qualifies. This is assessed and a treatment plan developed with the medical care provider and case manager.

(reStart, Inc.) During this program year, we were able to secure funding through another source to provide our HOPWA clients with a part time case manager. This case manager worked with clients to obtain benefits and other supportive services.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

SAVE, Inc. is a member of the Kansas City Homeless Services Coalition which coordinates care for the homeless throughout Kansas City. This is an opportunity for SAVE, Inc. to stay abreast of all funding options and programs which the clientele we serve may also be eligible. Through the continuum of care process, Shelter Plus Care and Supportive Housing Program funds, SAVE Inc. is able to expand services to those living with HIV/AIDS and serve an additional 510 persons. Moreover, SAVE, Inc. is a member of the AIDS Service Foundation and collaborates with other local agencies such as the KC Free Health Clinic, Good Samaritan Project and Hope Care Center. SAVE, Inc. also participates in the Ryan White Planning Council and maintains frequent contact with the Ryan White System of Care.

(reStart, Inc.) We have coordinated with SAVE, Inc. to support clients who need Shelter plus Housing. Our reStart Benefits Advocate assists clients in obtaining mainstream benefits leading towards self-sufficiency.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

SAVE, Inc. requests and would benefit from technical assistance on how to utilize HOPWA funding to provide supportive services to our clients. We have recognized that often housing assistance is not enough and additional supports must be put in place to house those clients with complex medical and environmental needs.

(reStart, Inc.) We have three reStart staff trained on navigating through the SCOUT database. This allows restart and Ryan White Case Managers the opportunity to communicate about clients we share confidentially. This database is consistent with tracking clients for various reports.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

(Save, Inc.) One of the largest barriers has been measuring unmet needs. SAVE, Inc. recognizes that many clients are without housing and utility assistance due to lack of funding and voucher availability. Additionally, we are seeing that many clients are in need of additional supportive services to maintain their housing.

(reStart, Inc.) We do not recognize any barriers at this time.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input checked="" type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

being addressed, and provide any other information important to the future provision of services to this population.

(Save, Inc.) In response to a decrease in utilization of STRMU funds for both SAVE, Inc.'s Transitional Assistance and Emergency Assistance programs, changes were made to make the program more accessible to services recipients. Previously, for the Emergency Assistance program, an individual was only eligible once in their lifetime up to the FMR for their household size. Now an individual may apply yearly up to the FMR for their household. The transitional program was previously a lifetime limit of \$600; this was raised to \$1,500. Both of these program changes were made in March of 2012. Anecdotally, we have already seen an increase in the utilization of both programs. Because people are living longer and even thriving, they need assistance throughout their lifetime. We hope to increase our numbers for the next annual report.

(reStart, Inc.) In the 2011-12 contract, reStart anticipated servicing our proposed goal of 60 clients. This is a number based on recent trends. During this contract year, we changed the selection process that went from referral-based to random selection-based. This selection process was implemented through the SCOUT database. In the past, Ryan White Case Managers made referrals directly. Our challenge with the new process involved the number of clients selected through SCOUT matching the number of vacant rooms we were offering. So, if a client failed to follow through on enrollment, a great deal of time elapsed in starting the process over. We have addressed this issue with the SCOUT technicians and have improved our process by offering the random selection more frequently. The Kansas City Health Department has collaborated with reStart to provide biweekly prevention and education workshops on site. Our clients have increased their knowledge and awareness by participating. In addition, the rapid testing onsite has allowed those infected to seek treatment early on.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

(Save, Inc.) In order to monitor and improve internal processes within SAVE, Inc., the agency has implemented a quality improvement project where we are measuring amount of time from referral to intake, from intake to lease up, and the time it takes to administer Emergency Assistance. While there are many factors out of SAVE, Inc.'s control, the Agency recognizes the need to constantly work to improve.

(reStart, Inc.) As a member of the Kansas City, Missouri, Mayor's HIV/AIDS Assessment and Comprehensive Committee member, we discuss several assessments and evaluation tools that allow us to better serve the community. An example would be the Kansas City Transitional Grant Area (TGA) Comprehensive Plan.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are

already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	890
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	450
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	165
• Assistance with rental costs	75
• Assistance with mortgage payments	30
• Assistance with utility costs.	60
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	275

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
X = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Jackson County	9,638	Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HUD Supportive Housing Program	196,713	Funds	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HSI Emergency Assistance via Part B	165,000	Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	371,351		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	90	98	0	0	562,588	521,210
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	75	83	0	0	272,596	241,147
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	60	48	0	0	65,100	52,062
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	144	38	0	0	40,809	26,436
5.	Permanent Housing Placement Services	0	0	0	0	0	0
6.	Adjustments for duplication (subtract)	0	9	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	369	258	0	0	941,093	840,855
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	12	12	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 78 & 9)	369	258	12	12	0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	537	541			294,541	258,688
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	537	541			294,541	258,688
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	0	0			0	0
15.	Total Housing Information Services	0	0	0	0	0	0

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					33,308	8,698
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					34,334	73,490
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					117,642	82,188
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					1,353,276	1,181,731

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	48	0
2.	Alcohol and drug abuse services	56	0
3.	Case management	48	0
4.	Child care and other child services	6	0
5.	Education	48	0
6.	Employment assistance and training	48	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	48	0
8.	Legal services	18	0
9.	Life skills management (outside of case management)	48	0
10.	Meals/nutritional services	48	0
11.	Mental health services	48	0
12.	Outreach	48	0
13.	Transportation	41	0
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	553	
16.	Adjustment for Duplication (subtract)	12	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	541	0

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	35	0
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	2	0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	12	0
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	10	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	11	0
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column [1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	94	86	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	2	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	1	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	5	
			9 Death	0	Life Event
Permanent Supportive Housing Facilities/ Units	81	57	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	4	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	12	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	3	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	1	
			9 Death	4	Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	48	48	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	38	Stable/Permanent Housing (PH)
			4 Other HOPWA	3	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/unknown	0	
			9 Death	0	Life Event

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
35	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	35	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	332
b. Case Management	0
c. Adjustment for duplication (subtraction)	74
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	258
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable ongoing housing	210	0	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	258	0	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	210	0	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	210	0	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	0	0	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipient or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education, or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	6	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	89	0	5	0
Permanent Facility-based Housing Assistance/Units	72	4	1	4
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
Total Permanent HOPWA Housing Subsidy Assistance	161	4	6	4

Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	35	0	0	0
Total HOPWA Housing Subsidy Assistance	196	0	0	0

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail/prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-Based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) 5004102801	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name reStart, Inc.	Date Facility Began Operations (mm/dd/yy) 03/10/1981

2. Number of Units and Non-HOPWA Expenditures

Facility Name: reStart, Inc	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	12	0

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	reStart, Inc.
Site Information: Project Zip Code(s)	64106
Site Information: Congressional District(s)	MO-5
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	816.472.5664

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Evelyn E. Craig, Executive Director	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Evelyn E. Craig, Executive Director	Contact Phone (with area code) 816 472 5664

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	258

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	147
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	4
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	11
4. Transitional housing for homeless persons	0
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	15
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	4
7. Psychiatric hospital or other psychiatric facility	0
8. Substance abuse treatment facility or detox center	1
9. Hospital (non-psychiatric facility)	3
10. Foster care home or foster care group home	0
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	62
13. House you own	0
14. Staying or living in someone else’s (family and friends) room, apartment, or house	23
15. Hotel or motel paid for without emergency shelter voucher	2
16. Other	0
17. Don’t Know or Refused	0
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	258

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	5	3

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	258
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	0
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	6
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	264

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	29	5	2	0	36
3.	31 to 50 years	116	35	0	0	151
4.	51 years and Older	56	14	1	0	71
5.	Subtotal (Sum of Rows 1-4)	201	54	3	0	258
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	4	1	0	0	5
7.	18 to 30 years	0	0	0	0	0
8.	31 to 50 years	0	0	0	0	0
9.	51 years and Older	0	1	0	0	1
10.	Subtotal (Sum of Rows 6-9)	4	2	0	0	6
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	205	56	3	0	264

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	1	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	135	0	6	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	122	7	0	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	258	7	6	0
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to [http://www.huduser.org/portal/datasets/il/il2010/select Geography mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	234
2.	31-50% of area median income (very low)	19
3.	51-80% of area median income (low)	5
4.	Total (Sum of Rows 1-3)	258

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year <i>(if applicable)</i>	Non-HOPWA funds Expended <i>(if applicable)</i>	Name of Facility:
<input type="checkbox"/>	New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/>	Rehabilitation	\$	\$	
<input type="checkbox"/>	Acquisition	\$	\$	
<input type="checkbox"/>	Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started:	Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units =	Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab	0	0	0	0
Rental units rehabbed	0	0	0	0
Homeownership units constructed (if approved)	0	0	0	0

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: reStart, Inc

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	64					
b.	Community residence	0	0	0	0	0	0
c.	Project-based rental assistance units or leased units	0	0	0	0	0	0
d.	Other housing facility Specify:	0	0	0	0	0	0

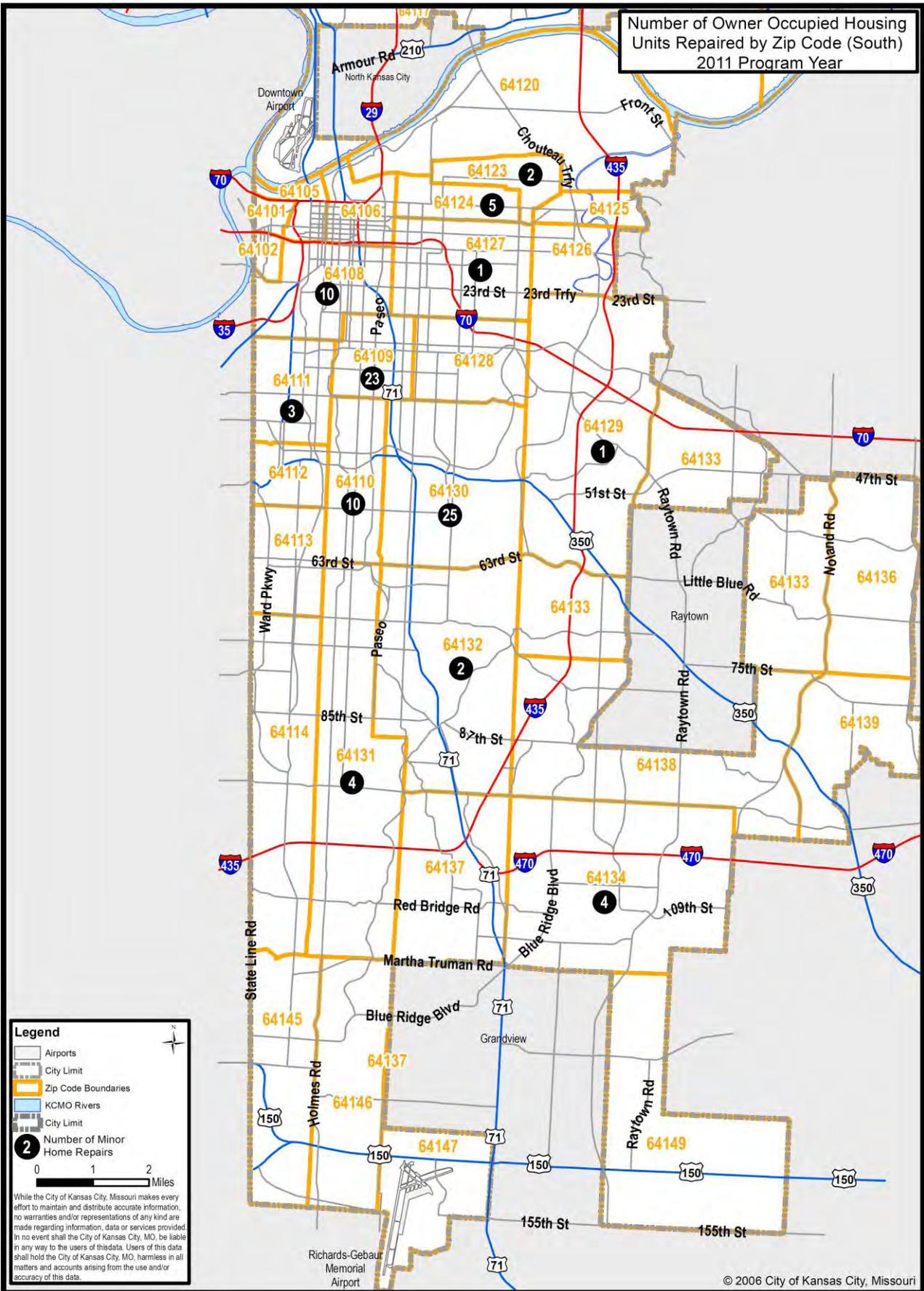
4. Households and Housing Expenditures

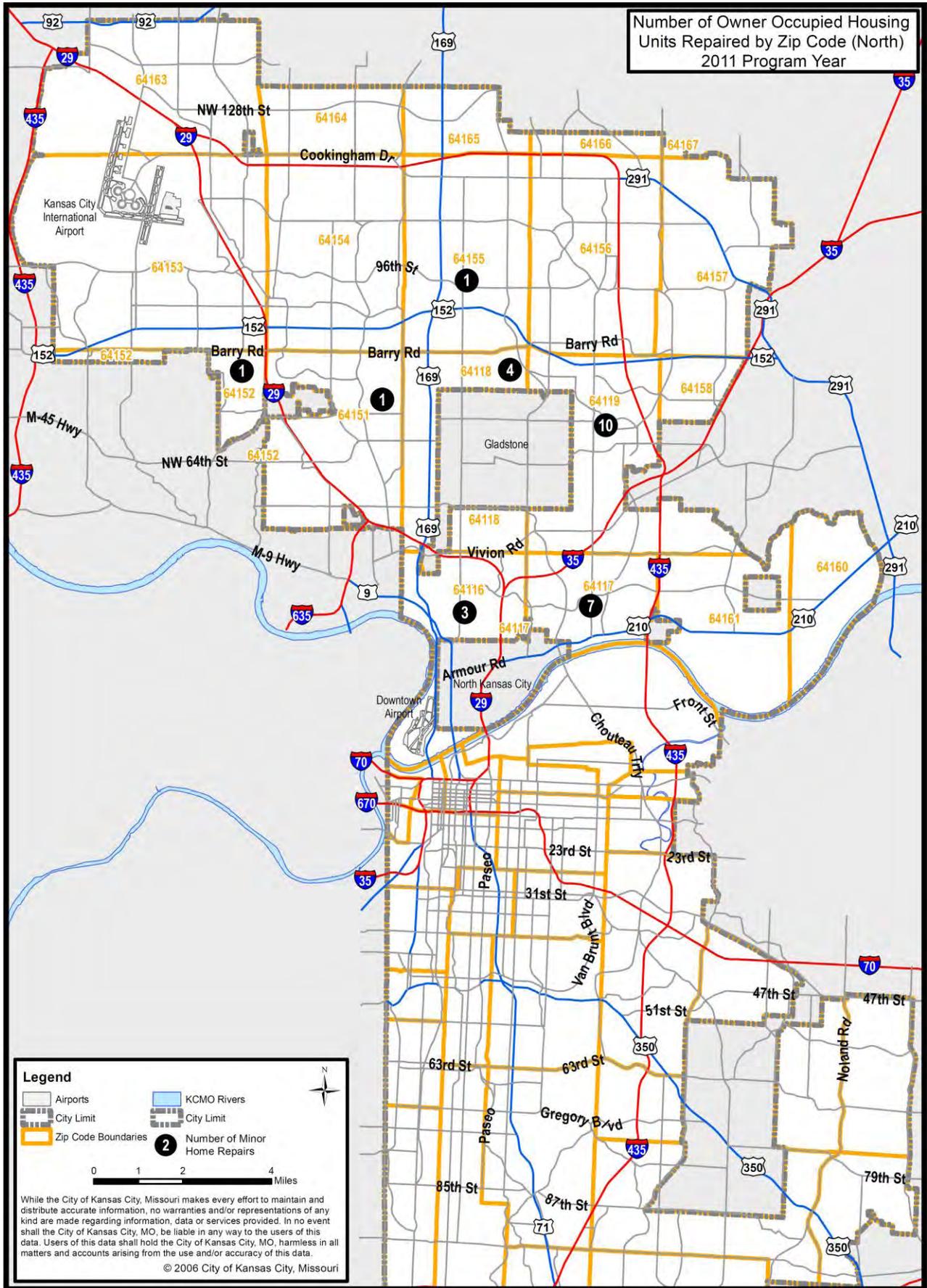
Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs	0	0
b.	Operating Costs	0	0
c.	Project-Based Rental Assistance (PBRA) or other leased units	0	0
d.	Other Activity (if approved in grant agreement) Specify:	0	0
e.	Adjustment to eliminate duplication (subtract)	0	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	0	0

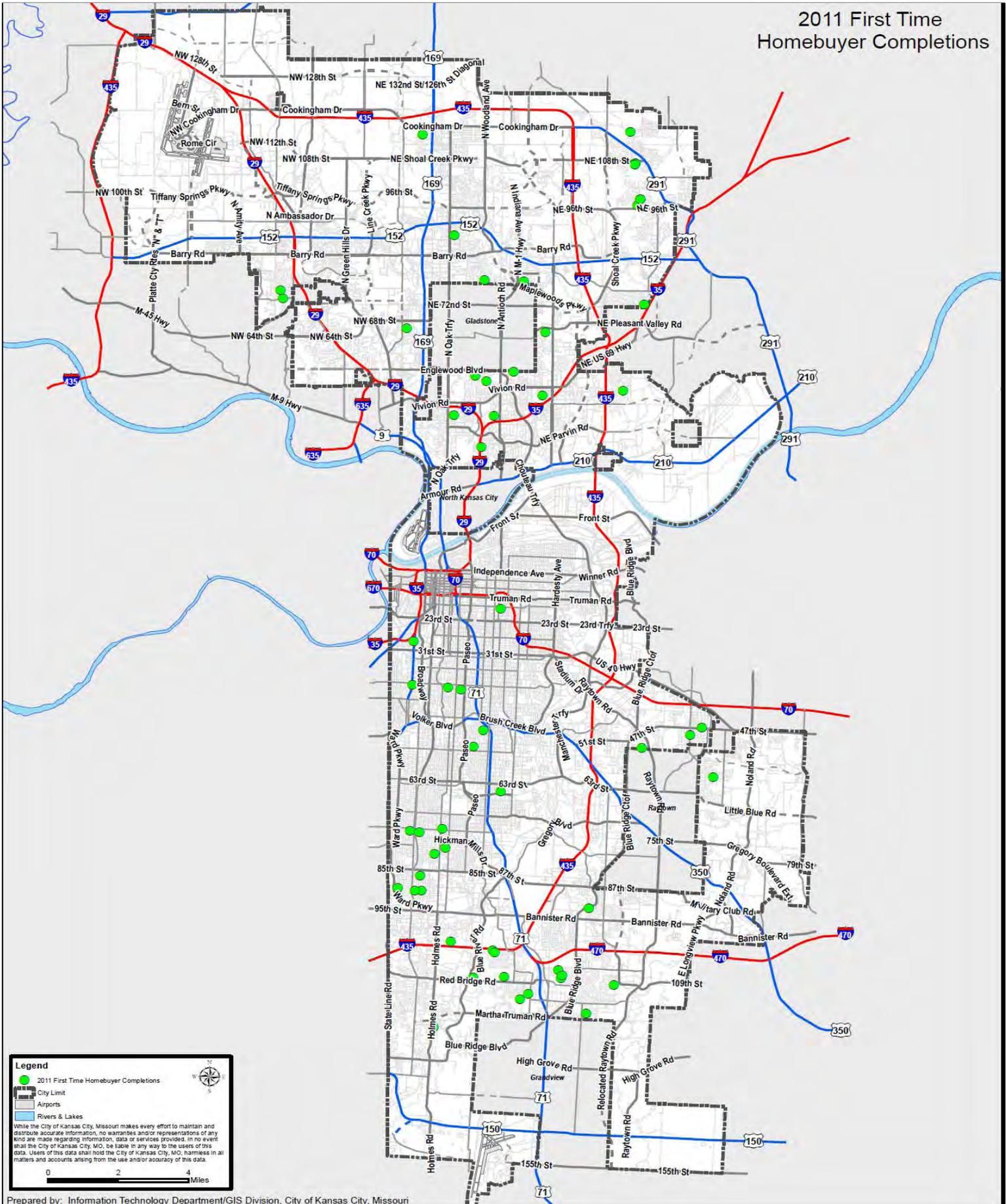
END OF HOPWA CAPER

Number of Owner Occupied Housing Units Repaired by Zip Code (South) 2011 Program Year

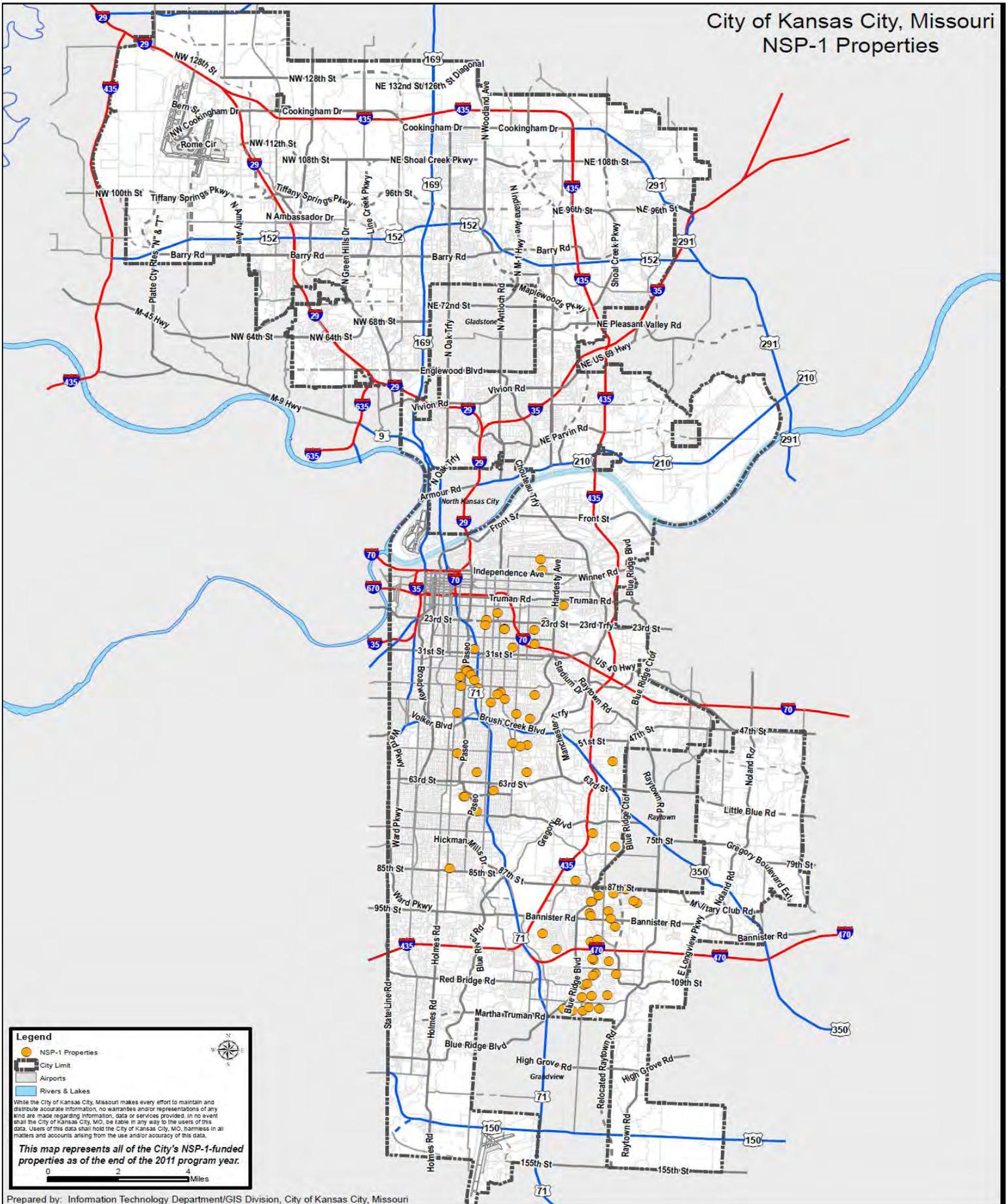




2011 First Time Homebuyer Completions



City of Kansas City, Missouri NSP-1 Properties



Prepared by: Information Technology Department/GIS Division, City of Kansas City, Missouri

**Section 3 New Hires by Project
2011 Program Year**

Project Name	Zip Code	New Hires	Section 3 New Hires
Blue Hills Community Services Center	64110	0	2
Chatham Apartments	64110	5	0
Highland Park Apartments	64108	2	2
New Northeast Community Service Center	64124	2	5
NSP-Ivanhoe	64109	1	2
Oakland Heights	64109	0	8
Palestine Commons	64128	6	18
Rose Brooks Center, Inc.	64132	4	9
Subtotals for City Projects		20	46
Section 3 New Hires on Non-City Projects			46
Totals		20	92

Part II: Contracts Awarded

1. Construction Contracts: **CDBG Program Funds**

A. Total dollar amount of all contracts awarded on the project	\$ 4,599,052.00
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 1,897,760.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	41.30 %
D. Total number of Section 3 businesses receiving contracts	25

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0.00
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0.00 %
D. Total number of Section 3 businesses receiving non-construction contracts	0.00

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Worked with organizations that received CDBG funds from the City of KCMO to ensure certified Section 3 business concerns were notified regarding bid opportunities and certified Section 3 residents were provided employment opportunities on HUD funded projects.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Part II: Contracts Awarded

1. Construction Contracts: **Minor Home Repair Program**

A. Total dollar amount of all contracts awarded on the project	\$ 921,505.00
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 641,676.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	69.63 %
D. Total number of Section 3 businesses receiving contracts	11

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0.00
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0.00 %
D. Total number of Section 3 businesses receiving non-construction contracts	0.00

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Minor Home Repair Agencies are working with the City's Section 3 Office to provide and expand economic opportunity associated with minor home repairs for certified Section 3 business concerns and employment opportunities for certified Section 3 residents.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

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CITY OF KANSAS CITY MISSOURI Report has been submitted.

August 6, 2012

Section 3 Summary ReportEconomic Opportunities for
Low and Very Low-Income Persons**U.S. Department of Housing
and Urban Development**
Office of Fair Housing
and Equal Opportunity**OMB Approval No.2529-0043**
(exp. 11/30/2010)**HUD Field Office :** KANSAS CITY, KS

See Public Reporting Burden Statement below

1. Recipient Name:		Recipient Address: <i>(street, city, state, zip)</i>	
City of Kansas City Missouri		414 E. 12th Street Kansas City, Missouri 64106	
2. Grant Number:	3. Total Amount of Award: \$ 7,323,734		
B08MN290001	Amount of All Contracts Awarded: \$ 1,307,966		
4. Contact Person:	5. Phone: 816-513-1836		
Phillip Yelder	Fax: 816-513-1805		
	E-Mail: phillip.yelder@kcmo.org		
6. Length of Grant: 40 <i>Month(s)</i>	7. Reporting Period: Quarter 4 of Fiscal Year 2012		
8. Date Report Submitted:	9. Program Code-Name:		
08/06/2012	10-Other Housing Programs		
Program Codes:			
3A = Public/Indian Housing Development	1 = Flexible Subsidy	2 = Section 202/811	
4 = Homeless Assistance	3B = Public/Indian Housing Operation	3C = Public/Indian Housing Modernization	
7 = CDBG-Entitlement	5 = HOME Assistance	6 = HOME-State Administered	
10= Other Housing Programs	8 = CDBG-State Administered	9 = Other CD Programs	

Part I. Employment and Training (Columns B, C, and F are mandatory fields.)					
A Job Category	B Number of New Hires	C Number of New Hires that are Sec.3 Residents	D % of Section 3 New Hires	E % of Total Staff Hours for Section 3 Employees	F Number of Section 3 Trainees
Professionals	0	0	0.00 %	0.00 %	0
Technicians	0	0	0.00 %	0.00 %	0
Office/Clerical	0	0	0.00 %	0.00 %	0
Officials/Managers	0	0	0.00 %	0.00 %	0
Sales	0	0	0.00 %	0.00 %	0
Craft Workers (skilled)	0	0	0.00 %	0.00 %	0
Operatives (semiskilled)	6	5	83.33 %	48.05 %	0
Laborers (unskilled)	0	0	0.00 %	0.00 %	0
Service Workers	0	0	0.00 %	0.00 %	0
Other (List) None	0	0	0.00 %	0.00 %	0
Total	6	5			0

Part II. Contracts Awarded**1. Construction Contracts:**

A. Total dollar amount of all construction contracts awarded on the project	\$ 1,307,966
B. Total dollar amount of construction contracts awarded to Section 3 businesses	\$ 866,640
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	66.30 %
D. Total number of Section 3 businesses receiving construction contracts	6

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0.00 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III. Summary of Efforts

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Select **yes** to all that apply)

No Recruited low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

Yes Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

Yes Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

No Coordinated with Youthbuild Programs and administered in the metropolitan area in which the Section 3 covered project is located.

Yes Other; describe below.

The Primary Property Developers "PPD" are working with the the City's Section 3 Office to provide and expand economic opportunity associated

with the redevelopment and revitalization of neighborhoods through the NSP 1 Program for certified Section 3 business concerns.

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

CITY OF KANSAS CITY MISSOURI Report has been submitted.

August 6, 2012

Section 3 Summary ReportEconomic Opportunities for
Low and Very Low-Income Persons**U.S. Department of Housing
and Urban Development**
Office of Fair Housing
and Equal Opportunity**OMB Approval No.2529-0043**
(exp. 11/30/2010)**HUD Field Office** : : KANSAS CITY, KS

See Public Reporting Burden Statement below

1. Recipient Name:	Recipient Address: <i>(street, city, state, zip)</i>
City of Kansas City Missouri	414 E. 12th Street Kansas City , Missouri 64106
2. Grant Number:	3. Total Amount of Award: \$ 2,680,688 Amount of All Contracts Awarded: \$ 420,809
M11MC290201	
4. Contact Person:	5. Phone: 816-513-1836
Phillip Yelder	Fax: 816-513-1805 E-Mail: phillip.yelder@kcmo.org
6. Length of Grant: 12 <i>Month(s)</i>	7. Reporting Period: Quarter 4 of Fiscal Year 2012
8. Date Report Submitted:	9. Program Code-Name:
08/06/2012	5-HOME Assistance

Program Codes:	1 = Flexible Subsidy	2 = Section 202/811
3A = Public/Indian Housing Development	3B = Public/Indian Housing Operation	3C = Public/Indian Housing Modernization
4 = Homeless Assistance	5 = HOME Assistance	6 = HOME-State Administered
7 = CDBG-Entitlement	8 = CDBG-State Administered	9 = Other CD Programs
10= Other Housing Programs		

Part I. Employment and Training (Columns B, C, and F are mandatory fields.)					
A Job Category	B Number of New Hires	C Number of New Hires that are Sec.3 Residents	D % of Section 3 New Hires	E % of Total Staff Hours for Section 3 Employees	F Number of Section 3 Trainees
Professionals	0	0	0.00 %	0.00 %	0
Technicians	0	0	0.00 %	0.00 %	0
Office/Clerical	0	0	0.00 %	0.00 %	0
Officials/Managers	0	0	0.00 %	0.00 %	0
Sales	0	0	0.00 %	0.00 %	0
Craft Workers (skilled)	0	0	0.00 %	0.00 %	0
Operatives (semiskilled)	4	4	100.00 %	20.63 %	0
Laborers (unskilled)	0	0	0.00 %	0.00 %	0
Service Workers	0	0	0.00 %	0.00 %	0
Other (List None)	0	0	0.00 %	0.00 %	0
Total	4	4			0

Part II. Contracts Awarded**1. Construction Contracts:**

A. Total dollar amount of all construction contracts awarded on the project	\$ 420,809
B. Total dollar amount of construction contracts awarded to Section 3 businesses	\$ 292,379
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	69.50 %
D. Total number of Section 3 businesses receiving construction contracts	4

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0.00 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III. Summary of Efforts

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Select **yes** to all that apply)

No Recruited low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

Yes Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

Yes Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

No Coordinated with Youthbuild Programs and administered in the metropolitan area in which the Section 3 covered project is located.

Yes Other; describe below.

The HOME Program agencies worked with the City's Section 3 Office to provide and expand economic opportunity associated with housing

**development activities for certified Section 3 business concerns and
employment opportunities for certified Section 3 residents.**

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	7,655,301.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	123,574.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	7,778,875.00

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	8,057,308.89
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	8,057,308.89
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,844,439.75
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	1,411,647.75
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	11,313,396.39
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(3,534,521.39)

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	6,689,339.67
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	6,689,339.67
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	83.02%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	1,631,825.36
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	1,631,825.36
32 ENTITLEMENT GRANT	7,655,301.00
33 PRIOR YEAR PROGRAM INCOME	207,055.37
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	7,862,356.37
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	20.75%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,844,439.75
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	1,844,439.75
42 ENTITLEMENT GRANT	7,655,301.00
43 CURRENT YEAR PROGRAM INCOME	123,574.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	7,778,875.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	23.71%

Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn in Report Year	
2011 1	2011 KCHD Grantee Administration	Costs associated with administering the HOPWA grant.	HOPWA	\$33,308.00	\$33,308.00	\$0.00	\$33,308.00	\$0.00
2	2011 RESTART	Facility Based Housing- Goal: 60 units	HOPWA	\$70,000.00	\$70,000.00	\$43,431.39	\$26,568.61	\$43,431.39
3	2011 SAVE, INC.		HOPWA	\$962,033.00	\$970,994.00	\$531,548.72	\$439,445.28	\$531,548.72
4	ESG11 Kansas City	ESG11 first allocation funds emergency homeless shelters, utility and rental assistance to prevent homelessness and the administration of the program	HESG	\$370,888.00	\$370,888.00	\$308,373.01	\$62,514.99	\$308,373.01
5	Section 108 Loan Repayment	108 Loan Repayment	CDBG	\$1,411,648.00	\$1,411,648.00	\$1,411,647.75	\$0.25	\$1,411,647.75
6	Administration	CDBG and HOME Administration	CDBG	\$1,531,060.00	\$1,581,180.74	\$1,094,598.40	\$486,582.34	\$1,094,598.40
			HOME	\$268,068.00	\$297,735.85	\$0.00	\$297,735.85	\$0.00
7	Childcare-Public Service	Operation Breakthrough and United Inner City Services	CDBG	\$198,038.00	\$198,038.00	\$173,026.25	\$25,011.75	\$173,026.25
8	Youth Services-Public Services	Boys and Girls Club, WEB DuBois, Urban Rangers, YMCA, and Mattie Rhodes	CDBG	\$322,320.00	\$322,320.00	\$245,311.59	\$77,008.41	\$245,311.59
9	Senior Services-Public Service	Palenstine Senior Citizen Activity Center	CDBG	\$39,029.00	\$39,029.00	\$36,204.50	\$2,824.50	\$36,204.50
10	Legal Aid-Public Service	Legal Aid of Western Missouri	CDBG	\$130,095.00	\$130,195.00	\$111,603.46	\$18,591.54	\$111,603.46
11	Community Garden-Public Service	KC Community Gardens	CDBG	\$33,825.00	\$33,825.00	\$33,579.15	\$245.85	\$33,579.15
12	Homeless Prevention-Public Service	KC Housing Information Center, Community Assistance Council, reStart, Benilde Hall	CDBG	\$424,988.00	\$424,988.00	\$303,604.90	\$121,383.10	\$303,604.90
13	NRSA Activities-Public Service	Guadalupe Centers	CDBG	\$199,300.00	\$199,300.00	\$166,259.00	\$33,041.00	\$166,259.00
14	Public Facility Improvements	Rose Brook Expnasion, reStart, Inc., WEB DuBois Learning Center, Blue Hills Community Services Center, BEU (Lincoln Building)	CDBG	\$755,000.00	\$3,373,923.69	\$3,081,843.75	\$292,079.94	\$874,656.98
15	Housing Rehabilitation	CDC Minor Home Repair- NNI, Ivanhoe, Westside, Blue Hills, NHS	CDBG	\$1,249,000.00	\$1,139,000.00	\$692,991.68	\$446,008.32	\$692,991.68
16	Rental Rehabilitation	Ivanhoe Neighborhood Council	CDBG	\$110,000.00	\$110,000.00	\$79,058.00	\$30,942.00	\$79,058.00
17	Economic Development	Small Business Assistance (HRD), Hispanic Economic Development Corp., Swope Business Park	CDBG	\$565,998.00	\$617,148.00	\$456,392.65	\$160,755.35	\$456,392.65
18	Blight Elimination-Code Enforcement (NCSD)	NCSD Code Enforcement	CDBG	\$1,000,000.00	\$1,000,000.00	\$865,643.11	\$134,356.89	\$865,643.11
19	Development Assistance	Unallocated Development Assistance	CDBG	\$255,000.00	\$0.00	\$0.00	\$0.00	\$0.00
20	Community Hosuing Development Org.-CHDO	CHDO Reserve	HOME	\$518,000.00	\$0.00	\$0.00	\$0.00	\$0.00
21	Housing Programs	KC Dream Home Buyer Assistance, NNI, Rental Housing production	HOME	\$1,002,000.00	\$631,898.97	\$31,898.97	\$600,000.00	\$0.00
22	Tenant Based Rentals Assistance	TBRA	HOME	\$892,620.00	\$0.00	\$0.00	\$0.00	\$0.00
23	KC Dream		HOME	\$402,000.00	\$340,680.00	\$34,400.00	\$306,280.00	\$0.00

Loans and Receivables Documentation

Loans and Other Receivables (Documentation)

<u>Single-Family</u>	<u>No. loans</u>	<u>Amt. Valued</u>
CDBG payable S-F loans to AmeriNational – Part 1	96	\$1,523,931.66
CDBG payable S-F loans to AmeriNational – Part 2	21	\$338,342.13
CDBG payable S-F loans to City – Part 1	15	\$95,076.80
CDBG payable S-F loans to City – Part 2	5	\$48,215.86
Subtotal	137	\$2,005,566.45
HOPE III grantable loans to City	19	\$188,432.15
HOME grantable loans to City	11	\$95,305.29
HOME payable loans to AmeriNational	63	\$751,168.87
HOME delay payable loans to AmeriNational	12	\$71,750.00
Subtotal	105	\$1,106,656.31
SINGLE-FAMILY TOTAL	242	\$3,112,222.76
<u>Multifamily</u>	<u>No. loans</u>	<u>Amt. Valued</u>
CDBG payable M-F loans to EDC	33	\$17,968,030.69
HOME payable M-F loans to EDC	11	\$5,772,271.01
MULTIFAMILY TOTAL	44	\$23,740,301.70

Please note that the total dollar amount of program income from disposition of HEDFC assets during the period of 6/1/11 through 5/31/12 is estimated to be \$2 million; but until the Receivership’s annual audit is completed in October, 2012, the Receiver cannot release the final number. In addition, the list of applicable HOME- and CDBG-funded properties either disposed of or reverting to the City has not yet been finalized. The following spreadsheets support these totals.

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

CDBG LOANS - WITH PAYMENTS

TRANSFER TO AMERINATIONAL

AS OF 9-30-11

Loan No.	Address	Date Closed	Lien Position	Maturity Date	Interest %	Monthly Payment	Economic (Principal) Balance	Deferred Balance	Non-Economic (Grantable) Balance	Current Balance	Comments
63	5764 Indiana	11/30/1977	1	07/15/2030	3	186.23	32,101.11	0.00	0.00	32,101.11	
78	5622 WABASH	1/20/1978	1	05/15/2008	0	66.60	4,308.58	0.00	0.00	4,308.58	Agreement to remit \$157.00 per month for 36 months.
123	3225 EAST 28TH ST	3/30/1978	1	02/28/1998	0	97.22	15,963.73	0.00	0.00	15,963.73	
368	2012 E. 59TH ST	9/2/1986	1	6/30/1987	1	37.63	9,898.13	0.00	0.00	9,898.13	
395	5612 PARK	5/30/1981	1	09/01/1987	1	6.17	6,291.87	0.00	0.00	6,291.87	MODIFIED 4-2-10
530	2323 CLEVELAND	5/30/1981	1	07/15/1986	1	20.62	0.00	15,995.95	0.00	15,995.95	Deed of trust currently unenforceable
541	4904 COLLEGE	5/30/1981	1	11/06/2004	3	102.91	11,507.12	0.00	0.00	11,507.12	
547	4911 CHESTNUT	4/17/2002	1	06/01/1990	1	19.84	0.00	7,637.57	0.00	7,637.57	Deed of trust currently unenforceable
551	5546 SOUTH BENTON	1/30/1983	1	04/15/1991	0	47.55	4,268.00	0.00	0.00	4,268.00	
556	3424 INDIANA	1/30/1984	1	04/30/1986	1	42.36	7,155.55	0.00	0.00	7,155.55	
628	2445 MYRTLE	12/30/1981	1	2/16/1991	3	40.70	0.00	15,885.81	0.00	15,885.81	Deed of trust currently unenforceable
640	3809 E. 25TH	12/30/1981	1	05/15/2005	3	50.82	0.00	19,885.29	0.00	19,885.29	
687	3802 INDIANA	3/30/1982	1	07/15/2008	3	63.70	0.00	23,949.18	0.00	23,949.18	Deed of trust currently unenforceable
699	2339 JARBOE	4/30/1982	1	06/01/1987	3	43.73	0.00	17,012.07	0.00	17,012.07	Deed of trust currently unenforceable
734	2333 HOLLY	7/30/1982	1	11/15/2024	3	109.07	14,119.02	0.00	0.00	14,119.02	Modified 9/28/2009
740	5208 SCARRITT	9/27/1982	1	12/15/1985	3	33.38	0.00	9,653.17	0.00	9,653.17	Deed of trust currently unenforceable
774	3619 BENTON BLVD	4/17/2002	1	04/15/2017	3	143.40	9,045.47	0.00	0.00	9,045.47	
777	2716 GROVE	1/30/1983	1	12/31/1999	3	44.00	6,399.66	0.00	0.00	6,399.66	
825	5606 WAYNE	11/30/1983	1	2/15/1991	3	59.38	0.00	22,693.50	0.00	22,693.50	Deed of trust currently unenforceable
847	5604 EUCLID	1/30/1984	1	12/15/1998	3	179.41	2,738.04	0.00	0.00	2,738.04	
885	3630 CHARLOTTE	5/30/1984	1	5/15/2025	0	123.14	20,195.86	0.00	0.00	20,195.86	MODIFIED 5-19-2010
970	2313 MERCIER	11/13/1984	1	02/15/1986	3	77.15	0.00	25,829.41	0.00	25,829.41	Deed of trust currently unenforceable
1076	5324 EUCLID	12/30/1985	1	05/31/2018	3	267.70	18,431.11	0.00	0.00	18,431.11	
1100	4118 BELLEFONTAINE	1/27/1986	1	11/8/2020	3	252.28	25,177.01	0.00	0.00	25,177.01	MODIFIED 11-8-10
1182	3015 ASKEW	4/28/1987	1	5/15/2017	3	193.94	12,519.02	0.00	0.00	12,519.02	Modified 5/11/09
1187	2634 LOCKRIDGE	6/16/1987	1	05/15/2007	3	242.30	13,753.24	0.00	0.00	13,753.24	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.											
CDBG LOANS - WITH PAYMENTS											
TRANSFERRED TO AMERINATIONAL											
AS OF 9-30-11											
ID	ADDRESS	DATE	UNIT	START DATE	TERMS	INT RATE	PRINCIPAL	INTEREST	TOTAL	STATUS	
1203	3810 HIGHLAND	6/23/1987	1	06/01/1988	3	42.50	0.00	15,513.96	0.00	15,513.96	Deed of trust currently unenforceable
1266	5406 GARFIELD	12/29/1987	1	4/15/2015	3	175.94	6,840.82	0.00	0.00	6,840.82	Modified 3/13/09
1315	5034 BELLEFONTAINE	6/24/2009	1	8/15/2024	0	188.25	28,990.33	0.00	0.00	28,990.33	Loan modified on 6/24/09 - This principal balance is not the new loan amount, but is the existing Deferred Balance at 5-31-09.
1329	1300 W. 41ST	3/10/1989	1	4/15/2025	3	256.14	32,274.99	0.00	0.00	32,274.99	MODIFIED 4-2-10
1340	6641 INDIANA	5/12/1989	1	08/15/2009	3	64.09	0.00	18,126.79	0.00	18,126.79	
1343	1617 EAST 39TH	5/17/1989	1	12/15/2024	3	172.37	22,477.30	0.00	0.00	22,477.30	Modified 11/3/09
1347	1308 E. 59TH ST.	5/30/1989	1	3/15/2025	3	168.29	22,211.91	0	0.00	22,211.91	MODIFIED 2/18/10
1362	2416 E. 70TH TERR	11/29/1989	1	7/15/2021	3	179.28	17,873.94	0.00	0.00	17,873.94	
1448	4914 GARFIELD	3/7/1991	1	6/15/2022	3	94.19	9,197.41	0.00	0.00	9,197.41	MODIFIED Aug 2002
1450	7415 HIGHLAND	3/22/1991	1	7/15/2011	0	146.00	25,539.47	0.00	0.00	25,539.47	Modified 7/21/11
1457	4133 PROSPECT	5/4/1990	1	8/15/2021	0	69.33	8,036.58	0.00	0.00	8,036.58	
1496	4035 S. BENTON	1/23/1992	1	04/15/2022	0	78.89	4,945.26	0.00	0.00	4,945.26	
1524	4336 FLORA	5/27/1992	1	9/15/2017	0	56.92	3,649.01	0.00	0.00	3,649.01	
1604	3621 E. GREGORY	12/17/1992	1	04/15/2023	0	163.33	24,758.57	0.00	0.00	24,758.57	Agreement to pay \$450 per month
1647	2404 BROOKLYN	3/22/1993	1	07/15/2023	0	137.50	17,421.23	0.00	0.00	17,421.23	
1699	5618 MICHIGAN	6/20/1993	1	12/15/2014	3	249.57	7,452.31	0.00	0.00	7,452.31	
1891	6647 COLLEGE	1/17/1995	2	06/15/2024	3	103.99	12,853.95	0.00	0.00	12,853.95	
1893	2431 ELMWOOD	1/25/1999	1	05/15/2015	0	112.92	4,967.68	0.00	0.00	4,967.68	
1905	4600 AGNES	2/24/1995	1	7/15/2025	0	99.62	16,448.09	0.00	0.00	16,448.09	
1909	6025 TRACY	3/16/1995	1	03/15/2015	3	94.11	8,883.50	0.00	0.00	8,883.50	
1928	6003 S BENTON	5/25/1995	1	09/15/2025	0	77.78	11,033.94	0.00	0.00	11,033.94	
1931	3739 HIGHLAND	5/26/1995	1	11/15/2025	0	94.58	25,351.78	0.00	0.00	25,351.78	
2097	4328 HIGHLAND	3/26/1996	1	7/15/2026	0	91.08	16,294.85	0.00	0.00	16,294.85	
2104	3909 E. 39TH	4/10/1996	1	7/15/2026	0	90.28	15,831.72	0.00	0.00	15,831.72	
2119	4503 VIRGINIA	4/26/1996	1	08/15/2021	0	121.33	14,403.94	0.00	0.00	14,403.94	
2392	6820 BELLEFONTAINE	4/1/1997	1	07/01/2012	3	102.90	901.99	0.00	0.00	901.99	
2490	2619 KENSINGTON	11/26/1997	1	02/15/2018	3	195.50	13,220.10	0.00	0.00	13,220.10	
2533	3910 SPRUCE	2/2/1998	1	05/15/2018	3	249.57	26,923.25	0.00	0.00	26,923.25	Modified 7/27/11
2537	4030 BALES	2/10/1998	1	05/15/2018	3	179.77	11,306.52	0.00	0.00	11,306.52	
2558	4012 E. 16TH TERR	3/20/1998	2	04/15/2028	2	73.18	11,882.59	0.00	0.00	11,882.59	
2573	4001 E. 16TH TERR	4/10/1998	2	05/15/2028	2	72.45	12,394.87	0.00	0.00	12,394.87	
2599	8400 E. 49TH ST	5/26/1998	1	09/15/2013	3	120.85	2,803.24	0.00	0.00	2,803.24	
2685	5027 BELLEFONTAINE	11/25/1998	1	03/15/2019	3	252.46	20,440.84	0.00	0.00	20,440.84	
2692	4345 JACKSON	12/22/1998	2	01/15/2029	2	64.02	11,337.41	0.00	0.00	11,337.41	
2707	5405 CHESTNUT	12/30/1998	2	1/15/2029	2	67.64	12,551.43	0.00	0.00	12,551.43	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

CDBG LOANS - WITH PAYMENTS

TRANSFER TO AMERINATIONAL

AS OF 9-30-11

2750	5415 CHESTNUT	11/15/1998	2	06/15/2029	2	68.01	12,145.57	0.00	0.00	12,145.57	
2784	2009 E. 61ST	7/20/1999	2	08/15/2029	2	72.08	13,577.03	0.00	0.00	13,577.03	
2789	2009 OLIVE	7/26/1999	2	09/15/2029	2	68.01	12,307.39	0.00	0.00	12,307.39	
2821	623 BROOKLYN	9/13/1999	2	0/15/2029	2	72.08	13,253.94	0.00	0.00	13,253.94	
2867	6715 JACKSON	12/23/1999	2	01/15/2030	2	72.08	13,304.89	0.00	0.00	13,304.89	
2932	3215 HIGHLAND	7/7/2000	2	08/15/2030	3	93.60	18,143.37	0.00	0.00	18,143.37	
2947	2004 E. 61ST ST	9/22/2000	2	08/15/2030	2	72.08	13,846.14	0.00	0.00	13,846.14	
2977	715 NE 46TH ST	2/1/2000	1	05/15/2016	3	139.10	7,381.30	0.00	0.00	7,381.30	
2985	2535 CHARLOTTE	11/20/2000	1	12/15/2031	3	102.45	18,031.22	0.00	0.00	18,031.22	
3027	4110 E. 16TH CT	10/23/2001	2	11/15/2031	2	72.08	14,431.75	0.00	0.00	14,431.75	
3058	1314 BROOKLYN	7/11/2001	1	08/15/2031	2	72.08	14,208.18	0.00	0.00	14,208.18	
3080	2029 SUMMIT	11/30/2001	2	12/15/2032	2	72.08	14,469.20	0.00	0.00	14,469.20	
3104B	4019 N TRACY	7/26/2002	2	08/15/2032	0	25.00	26,629.00	0.00	0.00	26,629.00	
3133	4023 N. TRACY	8/27/2001	2	07/15/2031	0	113.81	16,939.23	0.00	0.00	16,939.23	
3163	2442 PROSPECT	5/31/2002	1	8/15/2022	3	186.90	21,192.85	0.00	0.00	21,192.85	
3185	3735 MONTGALL	2/6/2002	1	5/15/2017	3	79.42	4,959.56	0.00	0.00	4,959.56	
3194	2012 KANSAS	4/25/2002	1	7/15/2022	3	356.05	31,880.91	0.00	0.00	31,880.91	
3238	7901 E. 117TH TERR	6/19/2002	2	8/15/2017	3	55.59	5,896.31	0.00	0.00	5,896.31	
3240	2537 VAN BRUNT	2/11/2002	1	5/15/2022	3	232.10	24,760.71	0.00	0.00	24,760.71	
3246	720 EWING	6/20/2002	2	07/15/2032	3	84.33	15,750.35	0.00	0.00	15,750.35	
3273	3425 PASEO	5/22/2002	2	05/15/2032	3	84.33	15,716.86	0.00	0.00	15,716.86	
3287	2017 BROOKLYN	8/14/2002	2	9/15/2032	3	84.33	15,745.80	0.00	0.00	15,745.80	
3359	4620 CHESTNUT	4/22/2003	1	7/15/2023	3	129.78	15,396.76	0.00	0.00	15,396.76	
3390	2312 BROOKLYN	10/7/2003	2	11/15/2033	3	84.33	18,187.12	0.00	0.00	18,187.12	
3398	2425 MYRTLE	10/3/2003	1	01/15/2024	3	92.92	10,490.27	0.00	0.00	10,490.27	
3416	7205 ASKEW	12/30/2002	2	1/15/2033	3	84.33	15,866.80	0.00	0.00	15,866.80	
3422	7801 E 52nd Street	5/20/2003	1	8/20/2023	3	151.68	17,907.89	0.00	0.00	17,907.89	
3610	2500 W PASEO	9/19/2003	1	10/15/2033	3	284.55	55,063.75	0.00	0.00	55,063.75	
3628	2522 TRACY	11/21/2003	1	02/15/2019	3	509.93	40,872.66	0.00	0.00	40,872.66	
3648	2917 MERCIER	10/1/2003	2	11/15/2033	3	84.33	16,613.10	0.00	0.00	16,613.10	
3665	2013 E. 61ST TERR	12/16/2003	2	03/15/2034	3	84.33	16,511.76	0.00	0.00	16,511.76	
3681	4430 E. 10TH ST	4/7/2004	1	04/15/2034	3	150.37	29,543.87	0.00	0.00	29,543.87	
3702	11116 BRISTOL TERR	5/18/2004	2	06/15/2006	5	40.80	6,946.14	0.00	0.00	6,946.14	
3743	4656 CLEVELAND	2/12/2005	1	02/15/2035	1	125.10	30,743.71	0.00	0.00	30,743.71	
5002	1730 MADISON	5/31/2006	2	6/15/2036	3	84.33	17,660.23	0.00	0.00	17,660.23	Servicing for The City
TOTALS						11,242.22	1,331,748.96	192,182.70	0.00	1,523,931.66	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

LOANS (HDCIC) - PAYMENTS

TRANSFER TO AMERINATIONAL

AS OF 9-30-11

Loan No.	Alt Loan No.	Address	Lien Position	Date Closed	Maturity Date	Interest %	Monthly Payment	EconLoan Balance	Deferred Balance	Non-Econ Balance	Current Balance	Comments
02040		5927 HIGHLAND	2	10/31/85	NONE	2.00	36.97	1,746.34			1,746.34	
02063	70003	1906 E. 61ST TERR	2	12/23/88	NONE	2.00	58.54	11,138.69			11,138.69	
02069	70008	3800 E. 18TH CT	2	08/31/89	9/1/2019	2.00	67.44	11,857.46			11,857.46	
02111	70037	1027 BROOKLYN	2	09/19/92	5/1/2022	2.00	72.06	8,416.53			8,416.53	
02122	70052	1319 E. 41ST	2	03/10/94	4/1/2024	0.00	75.00	18,649.99			18,649.99	
02127	70042	1312 E. 41ST ST	2	06/04/93	1/1/2012	0.00	149.00	4,657.76			4,657.76	
02147	70050	1907 NORTON	2	12/28/93	2/1/2024	0.00	75.00	20,094.49			20,094.49	
02161	70081	5347 MYRTLE	2	11/21/94	NONE	0.00	75.00	19,920.70			19,920.70	
02163	70047	1804 NORTON	2	12/30/93	3/1/2024	2.00	74.40	9,693.15			9,693.15	
02171		3100 E. 11TH	2	09/21/95	NONE	0.00	75.00	21,142.03			21,142.03	
02172	70071	1864 BENTON	2	09/29/94	4/1/2034	0.00	50.11	12,868.78			12,868.78	
02176	70073	1911 BENTON	2	09/27/94	12/1/2024	0.00	51.39	7,947.61			7,947.61	
02180		2116 BELLEVIEW	2	06/15/95	6/15/2000	0.00	65.00	22,237.04			22,237.04	
02182		7330 PARK	2	06/26/95	NONE	0.00	75.00	20,096.75			20,096.75	
02189		2101 E. 79TH	2	09/20/95	11/1/2025	0.00	75.00	20,758.78			20,758.78	
02217		2925 JARBOE	2	09/25/95	5/15/2017	0.00	65.00	23,177.47			23,177.47	
02233		3620 PASEO	2	09/28/95	NONE	0.00	75.00	22,660.26			22,660.26	
02240		2206 PARK	2	04/19/96	NONE	0.00	41.43	24,324.04			24,324.04	
02260		3522 E. 73RD	2	09/25/93	NONE	0.00	75.00	19,742.98			19,742.98	
02268		5421 CHESTNUT	2	12/06/96	NONE	0.00	75.00	26,848.58			26,848.58	
02280		5729 COLLEGE	2	06/13/97	7/1/2027	2.00	63.10	10,362.70			10,362.70	
TOTALS								338,342.13	-	-	338,342.13	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

CDBG LOANS

TRANSFER TO THE CITY

AS OF 9-30-11

Loan No.	Address	Date Closed	Lien Position	Maturity Date	Interest %	Monthly Payment	Economic (Principal) Balance	Deferred Balance	Non-Economic (Grantable) Balance	Current Balance	Comments
1121	2412 E. 70TH TERR	3/5/1986	N/A	3/4/2016	1	13.92	937.09	0.00	0.00	937.09	Participation - MHDC
1129	5108 MICHIGAN	3/13/1986	N/A	03/12/1991	1	10.79	127.07	0.00	0.00	127.07	Participation - MHDC
1157	4605 ELMWOOD	8/12/1986	N/A	08/11/1991	1	16.86	197.08	0.00	0.00	197.08	Participation - MHDC
1166	5456 COLLEGE	9/4/1986	N/A	08/31/2006	1	10.99	694.27	0.00	0.00	694.27	Participation - MHDC
1186	2438 MYRTLE	11/30/1981	1	2/15/2024	3	68.84	8,749.52	0.00	0.00	8,749.52	Modified 1/29/09 - Borrower deceased - payments not being made.
1211	7219 MONROE	6/4/1987	N/A	8/15/2017	1	12.22	102.93	0.00	0.00	102.93	Participation - MHDC
1217	1226 W. 20TH TERR	8/7/1987	N/A	08/15/2017	1	10.87	260.16	0.00	0.00	260.16	Participation - MHDC
1222	3629 FOREST	8/25/1987	N/A	8/15/2017	1	15.72	803.47	0.00	0.00	803.47	Participation - MHDC
1248	2555 HOLMES	10/16/1987	N/A	11/15/2017	1	61.00	137.65	0.00	0.00	137.65	Participation - MHDC
1262	3800 FOREST	10/31/1987	N/A	12/15/2017	1	20.50	357.54	0.00	0.00	357.54	Participation - MHDC
1263	3418 INDIANA	12/13/1987	1	11/15/2008	3	61.00	0.00	22,109.93	0.00	22,109.93	Deed of trust currently unenforceable
1511	1301 COLLEGE	3/17/1992	1	07/15/2012	3	209.64	31,172.71	0.00	0.00	31,172.71	Assign to City per 9-16 email - C. Boyd
1538	6210 AGNES	7/27/1992	1	11/15/2012	0	47.23	6,515.51	0.00	0.00	6,515.51	Borrower deceased - assign to City
2439	3312 BELLEFONTAINE	8/21/1997	1	11/15/2012	3	143.64	3,676.31	0.00	0.00	3,676.31	Delinquent
3106	6906 EWING	6/20/2001	3	06/20/2016	0	0.00	0.00	0.00	19,235.56	19,235.56	Default - MOVED OUT - rental
TOTALS						703.22	53,731.31	22,109.93	19,235.56	95,076.80	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

HDCIC LOANS - OTHER

TRANSFER TO THE CITY

AS OF 9-30-11

Loan No.	Alt Loan No.	Address	Lien Position	Date Closed	Maturity Date	Interest %	Monthly Payment	EconLoan Balance	Deferred Balance	Non-Econ Balance	Current Balance	Comments
02020		2018 E. 61st	N/A	01/17/85	NONE	4.00	73.90	10,537.33			10,537.33	No security interest
02076	70017	3812 E. 19TH	2	01/08/90	1/1/2020	2.00	77.10	10,793.29			10,793.29	Assign to City per 9-16 email - C. Boyd
02081		4037 VIRGINIA	2	04/22/86	NONE	1.00	39.06	2,875.14			2,875.14	MHDC participation
02236		2202 PARK	2	08/25/95	10/1/2025	0.00	75.00	24,010.10			24,010.10	Assign to City per 9-16 email - C. Boyd
TOTALS								48,215.86	-	-	48,215.86	

Housing & Economic Development Financial Corporation

HOPE III Loans - Servicing Only

TRANSFER TO THE CITY

AS OF 9-30-11

Loan No.	Address	Date Closed	Maturity Date	Interest %	Monthly Payment	Escrow	Economic Balance	Deferred Balance	Non-Economic Balance	Current Balance	Comments
P1771	9721 DONNELLY	06/16/94	02/16/14	0	0.00		0.00	0.00	4,714.30	4,714.30	Already approved for early granting. Must remit fees due prior to granting remainder.
P1814	6030 PASEO	07/29/94	07/29/14	0	0.00		0.00	0.00	5,142.89	5,142.89	HEDFC is merely servicing this loan.
P1820	11300 BOOTH	08/31/94	07/19/14	0	0.00		0.00	0.00	4,671.50	4,671.50	HEDFC is merely servicing this loan.
P1821	1905 E. 79TH	08/31/94	08/15/14	0	0.00		0.00	0.00	2,571.45	2,571.45	HEDFC is merely servicing this loan.
P1865	7636 CAMPBELL	11/15/94	11/15/14	0	0.00		0.00	0.00	9,150.02	9,150.02	HEDFC is merely servicing this loan.
P1935	5212 E. 41ST TERR	05/31/95	05/15/15	0	0.00		0.00	0.00	4,714.35	4,714.35	HEDFC is merely servicing this loan.
P1968	3700 E. 59TH	07/31/95	07/15/15	0	0.00		0.00	0.00	4,114.30	4,114.30	HEDFC is merely servicing this loan.
P2032	6213 WALROND	11/29/95	11/29/15	0	0.00		0.00	0.00	4,542.90	4,542.90	HEDFC is merely servicing this loan.
P2123	5410 BONITA	04/29/96	04/29/16	0	0.00		0.00	0.00	4,285.80	4,285.80	HEDFC is merely servicing this loan.
P2151	7741 GRAND	06/27/96	07/15/16	0	0.00		0.00	0.00	8,357.20	8,357.20	HEDFC is merely servicing this loan.
P2190	5030 FOREST	09/27/96	10/31/16	0	0.00		0.00	0.00	11,999.48	11,999.48	HEDFC is merely servicing this loan.
P2384	2409 E. 10TH ST	01/31/97	01/31/17	0	0.00		0.00	0.00	5,785.74	5,785.74	HEDFC is merely servicing this loan.
P2509	2207 E. 74TH ST	12/30/97	11/15/17	0	0.00		0.00	0.00	8,742.88	8,742.88	HEDFC is merely servicing this loan.
P2572	2405 E. 10TH	03/31/98	03/31/18	0	0.00		0.00	0.00	6,685.76	6,685.76	HEDFC is merely servicing this loan.
P2962	3816 E. 72ND TERR	10/26/00	10/31/20	0	0.00		0.00	0.00	13,500.00	13,500.00	HEDFC is merely servicing this loan.
P3123	7509 E. 110TH ST	11/16/01	11/15/21	0	0.00		0.00	0.00	19,800.00	19,800.00	HEDFC is merely servicing this loan. The borrower moved out several years ago and is living in Grandview. The City has been informed of this.
P3508	11335 SYCAMORE TR	09/30/03	09/30/23	0	0.00		0.00	0.00	22,550.00	22,550.00	HEDFC is merely servicing this loan.
P3523	7705 E. 112TH TERR	08/06/03	08/31/23	0	0.00		0.00	0.00	18,817.86	18,817.86	HEDFC is merely servicing this loan.
P3593	7810 E. 117TH TERR	09/25/03	09/25/23	0	0.00		0.00	0.00	28,285.72	28,285.72	HEDFC is merely servicing this loan.
Totals					0.00	0.00	0.00	0.00	188,432.15	188,432.15	
HEDFC is servicing these loans for the Homesteading Authority.											
These loans were funded using CDBG funds, and could be granted now by the City.											

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

HOME LOANS -

TRANSFER TO THE CITY

AS OF 9-30-11

Loan No.	Address	Date Closed	Original Loan Balance	Maturity Date	Lien Position	Afford. Period (Yrs)	Interest %	Monthly Payment	Escrow	Economic (Principal) Balance	Deferred Balance	Non-Economic (Grantable) Balance	Current Balance	Comments
M1829	5201 OVERTON CIR	09/09/94	19,800.00	8/9/2009	2	10	0	0.00		0.00	0.00	4,569.30	4,569.30	Default - Rental
M2086	1130 E. 76TH TERR	03/08/96	8,700.00	3/8/2011	3	5	0	0.00		0.00	0.00	6,692.31	6,692.31	Default - Rental
M2121	5832 E. 12TH	04/30/96	6,000.00	4/30/2011	3	5	0	0.00		0.00	0.00	4,615.40	4,615.40	Default - Rental
M2411B	5819 WOODLAND	05/01/97	9,000.00	5/1/2012	2	5	0	0.00		0.00	0.00	3,461.60	3,461.60	Default - Not living there
M2749	4005 E. 16TH TERR	05/25/99	19,500.00	4/15/2029	3	N/A	0	65.00		14,755.00	0.00	0.00	14,755.00	Xfer to City per 9/16 email from C. Boyd
M2751	7425 FLORA	05/21/99	12,000.00	4/15/2029	3	N/A	0	40.00		10,382.68	0.00	0.00	10,382.68	Xfer to City per 9/16 email from C. Boyd
M3022	5859 E. 9TH ST	03/06/01	13,750.00	2/15/2031	2	N/A	0	45.84		13,500.00	0.00	0.00	13,500.00	Default - Not living there
M3583	2201 E. 67TH TERR	05/18/04	11,000.00	5/31/2015	2	5	0	0.00		0.00	0.00	11,000.00	11,000.00	Default - deceased -Xfer to City per 9/16 email from C. Boyd
M3637	2236 E. 68TH ST	12/08/03	13,990.00	12/31/2014	2	5	0	0.00		0.00	4,138.00	12,591.00	16,729.00	Default - rental
M3714	2021 MERCIER	03/31/05	12,000.00	3/31/2016		5	0	0.00	0.00	0.00	0.00	9,600.00	9,600.00	Default - April 2011 - Moved to Kansas
TOTALS			125,740.00					150.84	-	38,637.68	4,138.00	52,529.61	95,305.29	

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.
HOME LOANS - WITH CURRENT PAYMENTS
TRANSFER TO AMERINATIONAL
AS OF 9-30-11

Loan No.	Address	Date Closed	Original Loan Balance	Maturity Date	Lien Position	HUD Afford. Period (Yrs)	Interest %	Monthly Payment	Escrow	EconLoan Balance	Deferred Balance	Non-Econ Balance	Current Balance
M2380	116 N. JACKSON	01/30/97	5,800.00	1/30/2012	1	N/A	0	0.00		5,602.79	0.00	0.00	5,602.79
M2413	6628 PARK	05/07/97	6,700.00	4/15/2027	2	N/A	0	22.33		4,200.82	0.00	0.00	4,200.82
M2417	2207 E. 67TH TERR	06/11/97	6,500.00	5/15/2022	2	N/A	0	21.67		4,114.37	0.00	0.00	4,114.37
M2488	5819 GARFIELD	12/05/97	5,440.00	11/15/2032	2	N/A	0	18.13		4,587.24	0.00	0.00	4,587.24
M2518	916 PARK	01/28/98	17,600.00	2/27/2028	2	N/A	0	58.67		15,198.67	0.00	0.00	15,198.67
M2529	3300 PASEO	01/29/98	17,600.00	1/15/2028	3	N/A	0	58.67		11,450.81	0.00	0.00	11,450.81
M2536	5419 CHESTNUT	02/13/98	17,600.00	1/15/2028	2	N/A	0	58.67		11,498.32	0.00	0.00	11,498.32
M2574A	5418 NORTON	04/29/98	17,600.00	4/15/2028	2	N/A	0	58.67		14,197.14	0.00	0.00	14,197.14
M2627	5203 EUCLID	07/15/98	7,049.00	7/15/2028	3	N/A	0	23.50		4,743.18	0.00	0.00	4,743.18
M2631	436 SPRUCE	07/17/98	7,693.00	7/15/2028	3	N/A	0	25.65		5,230.60	0.00	0.00	5,230.60
M2651	7434 FLORA	09/21/98	6,975.00	9/15/2028	3	N/A	0	23.25		4,715.50	0.00	0.00	4,715.50
M2677	2212 E. 68TH	01/07/99	7,090.00	1/15/2029	2	N/A	0	23.63		5,001.09	0.00	0.00	5,001.09
M2689	5411 CHESTNUT	12/01/98	18,300.00	12/15/2028	3	N/A	0	61.00		12,732.18	0.00	0.00	12,732.18
M2695	4000 E. 16TH TERR	01/29/99	19,700.00	1/15/2029	3	N/A	0	65.67		13,822.69	0.00	0.00	13,822.69
M2700	5810 PARK	12/30/98	4,157.00	12/15/2028	2	N/A	0	13.86		2,813.13	0.00	0.00	2,813.13
M2702	6614 OLIVE	01/14/99	7,400.00	1/15/2029	2	N/A	0	24.67		5,155.01	0.00	0.00	5,155.01
M2708	1201 DRURY	01/08/99	6,750.00	1/15/2029	3	N/A	0	22.50		4,746.82	0.00	0.00	4,746.82
M2732	7427 BALES	02/25/99	10,725.00	2/15/2029	3	N/A	0	35.75		7,475.50	0.00	0.00	7,475.50
M2739	2412 E. 11TH	04/29/99	18,300.00	4/15/2029	3	N/A	0	61.00		12,871.00	0.00	0.00	12,871.00
M2775	4003 E. 16TH CT	06/21/99	29,700.00	5/15/2029	2	N/A	0	99.00		20,987.96	0.00	0.00	20,987.96
M2778	4338 SPRUCE	07/22/99	18,000.00	6/15/2029	3	N/A	0	60.00		13,198.40	0.00	0.00	13,198.40
M2779	2500 E. 11TH	02/04/00	18,300.00	1/15/2030	3	N/A	0	61.00		13,542.00	0.00	0.00	13,542.00
M2782	719 NEWTON	08/20/99	19,400.00	7/15/2029	3	N/A	0	64.67		13,836.41	0.00	0.00	13,836.41
M2783	3320 PASEO	09/09/99	19,500.00	7/15/2029	3	N/A	0	65.00		13,910.00	0.00	0.00	13,910.00
M2785	6719 JACKSON	11/18/99	27,450.00	10/15/2029	3	N/A	0	91.50		24,173.50	0.00	0.00	24,173.50
M2786	2429 E. 70TH ST	12/16/99	8,800.00	12/15/2029	2	N/A	0	29.23		6,401.59	0.00	0.00	6,401.59
M2795	7400 OLIVE	01/24/00	10,800.00	5/15/2017	3	N/A	0	36.00		7,884.00	0.00	0.00	7,884.00
M2796	2109 OLIVE	10/19/99	27,600.00	9/15/2029	2	N/A	0	92.00		19,946.00	0.00	0.00	19,946.00
M2798	2007 E. 61ST	08/20/99	19,500.00	6/15/2029	3	N/A	0	65.00		13,845.00	0.00	0.00	13,845.00
M2816	5415 HIGHLAND	10/18/99	7,800.00	9/15/2029	3	N/A	0	26.00		5,802.11	0.00	0.00	5,802.11

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.

HOME LOANS - WITH CURRENT PAYMENTS

TRANSFER TO AMERINATIONAL

AS OF 9-30-11

M2819	3909 VINEYARD	10/01/99	6,600.00	9/15/2029	3	N/A	0	22.00	4,708.88	0.00	0.00	4,708.88	
M2831	6809 PASEO	11/10/99	4,635.00	10/15/2023	3	N/A	0	20.00	2,975.00	0.00	0.00	2,975.00	
M2833	1609 WINCHESTER	12/30/99	6,750.00	11/15/2029	2	N/A	0	22.50	4,836.44	0.00	0.00	4,836.44	
M2842	2029 KENSINGTON	11/29/99	8,440.00	10/15/2029	2	N/A	0	28.13	5,988.95	0.00	0.00	5,988.95	
M2847	5409 BROOKLYN	11/29/99	8,400.00	10/15/2029	3	N/A	0	28.00	6,076.00	0.00	0.00	6,076.00	
M2849	1009 PARK	12/17/99	18,400.00	11/15/2029	3	N/A	0	61.33	13,432.27	0.00	0.00	13,432.27	
M2875	4205 E. 56TH ST	02/10/00	10,470.00	1/15/2030	3	N/A	0	34.90	8,555.20	0.00	0.00	8,555.20	
M2876	4344 SPRUCE	03/16/00	27,000.00	2/15/2030	2	N/A	0	90.00	19,860.00	0.00	0.00	19,860.00	
M2878	125 N. VAN BRUNT	02/11/00	12,075.00	1/15/2030	3	N/A	0	40.25	9,113.85	0.00	0.00	9,113.85	
M2888	3545 BENTON BLVD	05/15/00	6,885.00	4/15/2030	2	N/A	0	22.95	3,202.15	0.00	0.00	3,202.15	
M2894	2429 E. 68TH ST	10/06/00	10,100.00	9/15/2030	2	N/A	0	33.67	7,211.47	0.00	0.00	7,211.47	
M2900	3000 BRUSH CREEK	05/11/00	5,250.00	5/15/2027	3	N/A	0	20.04	3,767.04	0.00	0.00	3,767.04	
M2903	6920 BROOKLYN	08/22/01	9,126.00	8/22/2026	3	N/A	0	30.42	8,336.15	0.00	0.00	8,336.15	
M2938	8301 E. 105TH	07/24/00	22,488.00	7/15/2030	2	N/A	0	74.96	17,979.38	0.00	0.00	17,979.38	
M2944	8400 E. 106TH	08/29/00	9,000.00	7/15/2030	3	N/A	0	30.00	7,021.20	0.00	0.00	7,021.20	
M2948	533 PROSPECT	09/15/00	9,825.00	8/15/2030	3	N/A	0	32.75	7,365.40	0.00	0.00	7,365.40	
M2951	2024 PARK	03/15/01	29,370.00	2/15/2031	2	N/A	0	97.90	22,811.30	0.00	0.00	22,811.30	
M2963	2937 LOCKRIDGE	04/16/01	18,750.00	3/15/2031	2	N/A	0	62.5	14,560.00	0.00	0.00	14,560.00	
M2967	3617 E. 73RD	11/19/00	31,883.00	10/15/2030	2	N/A	0	106.28	24,230.84	0.00	0.00	24,230.84	
M2971	4940 HIGHLAND	10/31/00	2,562.00	9/15/2015	2	N/A	0	21.35	942.66	0.00	0.00	942.66	
M2983	6633 COLLEGE	11/29/00	21,640.00	11/15/2030	3	N/A	0	72.13	16,550.45	0.00	0.00	16,550.45	
M3013	7201 MYRTLE	04/18/01	7,000.00	3/15/2011	2	N/A	0	116.67	1,165.14	0.00	0.00	1,165.14	
M3014	2007 BROOKLYN	03/15/01	36,000.00	2/15/2031	2	N/A	0	120.00	32,665.20	0.00	0.00	32,665.20	
M3028	3504 BENTON BLVD	03/21/01	15,500.00	2/15/2031	2	N/A	0	51.67	12,038.11	0.00	0.00	12,038.11	
M3036	703 NEWTON	04/30/01	34,740.00	3/15/2031	2	N/A	0	115.80	27,067.44	0.00	0.00	27,067.44	
M3039	5718 COLLEGE	04/27/01	26,400.00	3/15/2031	2	N/A	0	88.00	21,670.59	0.00	0.00	21,670.59	
M3055	1315 E. 28TH	05/09/01	10,000.00	4/15/2031	2	N/A	0	33.34	7,804.64	0.00	0.00	7,804.64	
M3076	1540 E. 50TH TERR	05/14/01	7,091.00	5/15/2031	2	N/A	0	23.64	5,577.80	0.00	0.00	5,577.80	
M3094	2428 BROOKLYN	01/11/02	38,670.00	12/15/2031	2	N/A	0	128.90	31,546.72	0.00	0.00	31,546.72	
M3095	653 BROOKLYN	08/16/01	28,650.00	7/15/2031	2	N/A	0	95.00	22,855.00	0.00	0.00	22,855.00	
M3096	2012 PARK	06/28/01	32,445.00	5/15/2031	2	N/A	0	108.15	25,514.73	0.00	0.00	25,514.73	
M3097	1753 HOLLY	10/03/01	31,200.00	10/15/2031	2	N/A	0	104.00	24,960.00	0.00	0.00	24,960.00	
M3225	1725 HOLLY	02/06/02	30,900.00	1/15/2032	2	N/A	0	103.00	25,095.04	0.00	0.00	25,095.04	
TOTALS			994,074.00					3,302.92	0.00	751,168.87	0.00	0.00	751,168.87

HOUSING & ECONOMIC DEVELOPMENT FINANCIAL CORP.
HOME LOANS - PAYMENT DELAYED UNTIL 1ST MORTGAGE PAID
TRANSFER TO AMERINATIONAL
AS OF 9-30-11

Loan No.	Address	Date Closed	Original Loan Balance	Maturity Date	Lien Position	HUD Afford. Period (Yrs)	Interest %	Monthly Payment	Escrow	Economic Balance (Principal)	Deferred Balance	Non-Economic (Grantable) Balance	Current Balance
M2120	1214 E. 49TH TERR	04/30/96	6,000.00	3/30/2036	2	N/A	0	0.00		6,000.00	0.00	0.00	6,000.00
M2309	5008 FOREST	11/07/96	9,000.00	11/7/2011	2	N/A	0	0.00		9,000.00	0.00		9,000.00
M2359	4115 SCARRITT	12/23/96	5,750.00	12/23/2011	2	N/A	0	0.00		5,750.00	0.00	0.00	5,750.00
M2374	9800 E. 41ST	01/13/97	7,000.00	1/13/2012	2	N/A	0	0.00		7,000.00	0.00	0.00	7,000.00
M2391	5444 GARFIELD	03/07/97	5,500.00	3/15/2027	2	N/A	0	91.67		5,500.00	0.00	0.00	5,500.00
M2400	5108 N. CYPRESS	04/11/97	5,300.00	4/30/2012	2	N/A	0	0.00		5,300.00	0.00	0.00	5,300.00
M2404	5112 WOODLAND	04/23/97	4,900.00	4/23/2012	2	N/A	0	0.00		4,900.00	0.00	0.00	4,900.00
M2407	3018 WABASH	05/02/97	3,000.00	4/30/2012	2	N/A	0	0.00		3,000.00	0.00	0.00	3,000.00
M2411	5819 WOODLAND	05/01/97	4,500.00	5/1/2012	2	N/A	0	0.00		4,500.00	0.00	0.00	4,500.00
M2431	5500 GARFIELD	07/09/97	5,500.00	7/9/2012	2	N/A	0	0.00		5,500.00	0.00	0.00	5,500.00
M2486	6622 OLIVE	12/22/97	6,500.00	12/15/2027	2	N/A	0	0.00		6,500.00	0.00		6,500.00
M2574	5418 NORTON	04/29/98	8,800.00	4/15/2028	2	N/A	0	58.67			8,800.00	0.00	8,800.00
TOTALS			71,750.00					150.34	-	62,950.00	8,800.00	-	71,750.00

NOTE: Loan M2486 - 1st payment (principal only) is due 12-15-2012.

NOTE: All loans (except for No. M2486) require payment of the principal over 60 months (no interest) beginning with the month in which the 1st mortgage is satisfied.

Numeric Multi-Family Loan Listing		
4/30/2012		
Project Number	Borrower	Loan Ledger Balance
01002	Cunningham, Huie & Alsia	58,373.00
01045	Nix, James B. & Barbara	8,800.00
01046	Nix, James B. & Barbara	8,800.00
01052	Summit Associates	27,688.00
01061	Cooper, Mary & Bayer, Jacob dba Westside Associates LP	33,526.00
01080	Linwood Boulevard Apartments, L.P.	369,171.00
01098	Jefferson Place	239,336.00
01105	Old Town Partners, LP (Askew Saddlery)	1,881,654.21
01107	Mount Cleveland, L.P. (Mount Cleveland I) (151,650 HOME Loan)	816,647.97
01110	Old Town Partners II, L.P. (Volker Place Apts)	1,379,994.00
01112	Hospital Hill Phase II, L.P. (Longfellow Apts)	3,182,050.99
01115	Troostwood Townhomes	2,715,019.66
01116	East Attucks Community Housing, Inc. (Sycamore Groves)	349,560.00
01118	Park Gate, L.P. - Part Gate Apartments - EMCA	625,099.49
01119	DLS Jackson Partners, L.P.	87,484.32
01120	United-Parker Square Limited Partnership	825,697.98
01123	Old Town Partners III, L.P. (Pacific House)	734,925.84
01126	Pennway Plaza Associates, Inc.	446,222.99
01128	Freeway Homes, a Missouri Corporation	753,000.00
01130	Old Town Partners IV, L.P. (Chase Apartments)	86,127.57
01133	Heritage Noland Associates, LP (Cardinal Ridge)	296,535.00
01135	Old Town Partners V - Jewell Apartments	53,962.58
01137	Swope Parkway Estates, L.P.	450,000.00
01139	3223 Hardesty, L.P. (Ridgeview Heights Apts.)	750,000.00
01140	Vine Street Lofts (22nd & Vine)	1,567,750.00
01141	Old Town Partners VI, L.P. (Chamber Lofts)	283,624.70
01142	Old Town Partners VII, LP (Hanover Lofts)	116,572.58
01147	41st and Paseo, L.P. (Twin Elms, 4109-4125 Paseo)	496,442.26
01150	Old Town Partners VIII, LP (Finance Lofts)	350,000.00
01152	Solo Lofts, LP	131,268.99
01153	Swope Community Builders (Mt. Cleveland Heights II)	551,323.37
01153	Swope Community Builders (Mt. Cleveland Heights II) Grantable part	192,000.00
80060	Community Development Corporation of KC	191,652.40
80520	Woodland Associates, L.P. (Woodland Heights I)	87,810.09
80530	Woodland Associates, L.P. (Woodland Heights II)	260,000.00
90110	Heryer, Daniel & Salvaggio, Brooke	80,750.00
90302	Jazz District Associates, LP (Residential)	1,581,084.29
90306	Jazz District Commercial, LLC and Jazz District Redev Corp	750,000.00
92400	Entrepreneur Project, Inc.	30,416.36
92553	Midtown Community Dev Corp, dba Com Bldrs of KC (Mt Clev Est)	535,660.80
93044	Neighborhood Housing Services of Kansas City	77,964.85
93149	Swope Community Builders (Woodland Heights/Little Sister of the Poor)	196,304.41
93923	Pedcor Investments-1998 XXXIII, L.P.	80,000.00
	TOTAL	23,740,301.70
*Loan No. 01107 Moount Cleveland L.P.has two funds: 680,850 CDBG & 151,650 HOME		
	11 HOME Loans SubTotal - 5,772,271.01	
	33 CDBG Loans SubTotal - 17,968,030.69	

Citizen Comments

Public Hearing

August 14, 2012

6:00 p.m.

MainCor

3215 Main Street

Kansas City, MO 64106

No one attended the public hearing, nor were any public comments received.